New upscaled charge transport equations for highly heterogeneous multiphase materials

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I) Applications and basic upscaling idea

II) Upscaling of **charge transport** equations in heterogeneous media

III) Effective macroscopic catalyst layer formulations

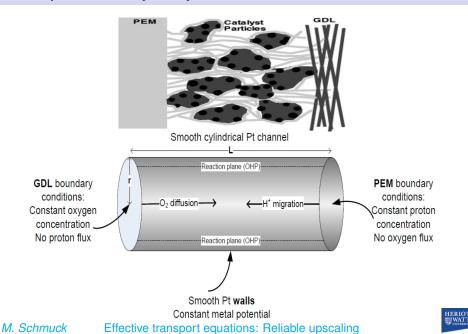
IV) Control of Macroscopic Transport Characteristics?



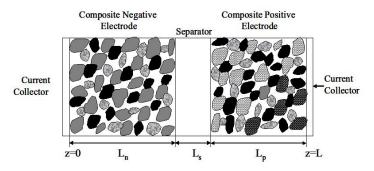
Part I): Applications and basic upscaling idea



Example I: Catalyst layer in PEM fuel cell



Example II: Batteries



Legend:

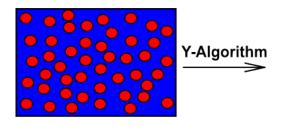
- Negative electrode active material (secondary particle)
- Positive electrode active material (secondary particle)
- Binder



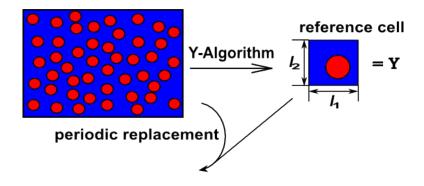
- Carbon additive
- Pores filled by electrolyte

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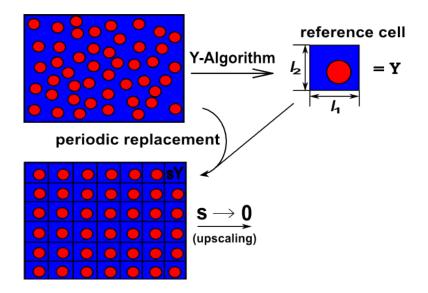




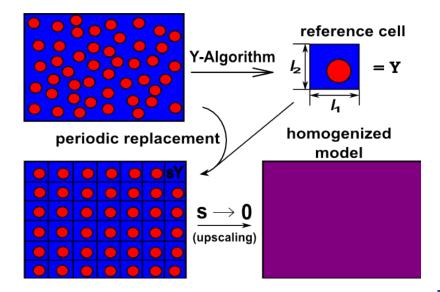














Effective transport equations: Reliable upscaling

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1) Make the Ansatz:

 $\mathbf{u}_{s}(t,x) \approx \mathbf{u}_{0}(t,x,y) + s\mathbf{u}_{1}(t,x,y) + s^{2}\mathbf{u}_{2}(t,x,y) + \dots,$

where y := x/s is the microscale.

- Insert 1) in the periodic model: (formal method by assuming differentiability) Collect terms of the same order in *s*.
- "Take the leading order terms": Solvability requirements provide the upscaled system for u₀.

References: - [Bensoussan, Lions, Papanicolaou (78)], - [Cioranescu, Donato (99)], - [Chechkin, Piatnitski, Shamaev (07)], - [G.A. Pavliotis, A.M. Stuart (08)]



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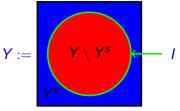


Part II): Upscaling of charge transport equations in heterogeneous media





Reference configuration: Solid-electrolyte composite



Extension: Presence of a surface charge density σ_s on *I* Replace (continuity of fluxes)

$$-\lambda^2 \nabla_n \phi_s \big|_{\partial Y^s} = -\alpha \nabla_n \phi_s \big|_{\partial Y^s} \quad \text{on } I,$$

by

$$-\kappa(\mathbf{X}/\mathbf{S})\nabla_n\phi_{\mathbf{S}}\big|_{\partial Y^{\mathbf{S}}}=\mathbf{S}\sigma_{\mathbf{s}}(\mathbf{X}/\mathbf{S})\big|_{\partial Y^{\mathbf{S}}}\qquad\text{on }I,$$

for the Debey length λ and dielectric permittivity $\alpha := \frac{\epsilon_m}{\epsilon_f}$ such that $\hat{\kappa}(x) := \lambda^2 \chi_{\Omega^s}(x) + \alpha \chi_{\Omega \setminus \Omega^s}(x)$ *M. Schmuck* Effective transport equations: Reliable upscaling

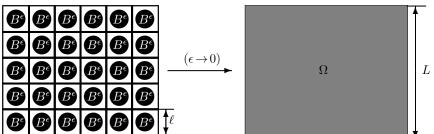


Reference cell \boldsymbol{Y}



Periodic covering by cells Y

Homogenous approximation





Definition: (Scale separation) We say that the chemical potential μ is scale separated if and only if

$$\frac{\partial \mu(u_0(x,t))}{\partial x_k} = \begin{cases} 0 \\ \frac{\partial \mu(u_0(x,t))}{\partial x_k} \end{cases}$$

on the reference cell \mathbf{Y} , on the macroscale Ω ,

where $u_0(x)$ is the upscaled/slow variable solving the corresponding upscaled equation.



Micro:
$$\begin{cases} \partial_t n_s^+ = \operatorname{div} \left(\nabla n_s^+ + n_s^+ \nabla \phi_s \right) & \text{in } \Omega^s \\ \partial_t n_s^- = \operatorname{div} \left(\nabla n_s^- - n_s^- \nabla \phi_s \right) & \text{in } \Omega^s \\ -\operatorname{div} \left(\hat{\kappa}(x/s) \nabla \phi_s \right) = n_s^+ - n_s^- & \text{in } \Omega \end{cases}$$

$$\int \nabla_n n_s^+ + n_s^+ \nabla_n \phi_s = 0 \qquad \text{on } I_s$$

Micro interface: $\begin{cases} \nabla_n n_s^- - n_s^- \nabla_n \phi_s = 0 & \text{on } I_s \\ -\hat{\kappa}(x/s) \nabla_n \phi_s = s \sigma_s(x/s) & \text{on } I_s \end{cases}$

Macro:
$$\begin{cases} \boldsymbol{p}\partial_t \boldsymbol{n}_0^+ = \operatorname{div}\left(\hat{\mathbf{D}}\nabla\boldsymbol{n}_0^+ + \boldsymbol{n}_0^+\hat{\mathbf{M}}\nabla\phi_0\right) & \text{in }\Omega\\ \boldsymbol{p}\partial_t \boldsymbol{n}_0^- = \operatorname{div}\left(\hat{\mathbf{D}}\nabla\boldsymbol{n}_0^- - \boldsymbol{n}_0^-\hat{\mathbf{M}}\nabla\phi_0\right) & \text{in }\Omega\\ -\operatorname{div}\left(\hat{\boldsymbol{\kappa}}_{\text{eff}}\nabla\phi_0\right) = \boldsymbol{p}(\boldsymbol{n}_0^+ - \boldsymbol{n}_0^-) + \rho_s & \text{in }\Omega \end{cases}$$

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Micro:
$$\begin{cases} \partial_t n_s^+ = \operatorname{div} \left(\nabla n_s^+ + n_s^+ \nabla \phi_s \right) & \text{in } \Omega^s \\ \partial_t n_s^- = \operatorname{div} \left(\nabla n_s^- - n_s^- \nabla \phi_s \right) & \text{in } \Omega^s \\ -\operatorname{div} \left(\hat{\kappa}(x/s) \nabla \phi_s \right) = n_s^+ - n_s^- & \text{in } \Omega \end{cases}$$

$$(\nabla_n n_s^+ + n_s^+ \nabla_n \phi_s = 0 \qquad \text{on } I_s$$

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becomes under local thermodynamic equilibrium

Macro:
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where $\rho_s := \frac{1}{|Y|} \int_I \sigma_s(x, y) do(y)$.



Rigorous error bounds / Convergence rates:

Theorem: [M.S. 2012, ZAMM 92:304–319 (2012)] Let $\partial\Omega$ be of class C^{∞} and ξ^k , $\zeta^{kl} \in W^{1,\infty}(Y)$. Then, for $e_s^1 := n_s^+ - K_s^1 n_0^+$, $e_s^2 := n_s^- - K_s^1 n_0^-$, and $e_s^3 := \phi_s - K_s^1 \phi_0$, there holds

$$\begin{aligned} \left\| \mathbf{e}_{s}^{1} \right\|^{2}(T) &\leq CTs, \\ \left\| \mathbf{e}_{s}^{2} \right\|^{2}(T) &\leq CTs, \\ \left\| \mathbf{e}_{s}^{3} \right\|^{2}_{H^{1}(\Omega)}(T) &\leq C(T+1)s \end{aligned}$$

where

$$\mathrm{K}^{1}_{s} u_{0}(t,x) := \left(1 - s \sum_{k=1}^{N} \xi^{k}(x/s) \partial_{x_{k}}\right) u_{0}(t,x) \,.$$

Related Reference: Two-scale converge result - [M. S., COMMUN MATH SCI, 9(3):685-710 (2011)] M. Schmuck Effective transport equations: Reliable upscaling



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2) Key ideas in the proof:

Step 1: Define error variables

$$\mathbf{e}_{s}^{i} := \boldsymbol{u}_{s}^{i} - s \sum_{r=1}^{d} \xi^{i_{r}}(\boldsymbol{y}) \frac{\partial \boldsymbol{u}_{0}^{i}}{\partial \boldsymbol{x}_{r}}$$

for
$$i = 1, 2, 3$$
.

Step 2: Determine equations for e_s^i based on

$$\frac{\partial u(x,y)}{\partial x} = \partial_x u(x,y) + \frac{1}{s} \partial_y u(x,y$$

such that for r = 1, 2

$$\begin{cases} \frac{\partial \mathbf{e}_{s}^{\prime}}{\partial t} = \operatorname{div}\left(\nabla \mathbf{e}_{s}^{\prime} + z_{r}\mathbf{e}_{s}^{\prime}\nabla \mathbf{e}_{s}^{3}\right) + \mathbf{s}\mathbf{F}_{s}^{\prime} & \text{in } \Omega^{s} \times]\mathbf{0}, \mathcal{T}[\,,\\ \mathbf{e}_{s}^{\prime} = \mathbf{s}\mathbf{G}_{s}^{\prime} & \text{on } \partial\Omega^{s} \times]\mathbf{0}, \mathcal{T}[\,,\\ -\operatorname{div}\left(\kappa(\mathbf{x}/\mathbf{s})\nabla \mathbf{e}_{s}^{3}\right) = \mathbf{e}_{s}^{1} - \mathbf{e}_{s}^{2} + \mathbf{s}\mathbf{F}_{s}^{3} & \text{in } \Omega_{T}\,,\\ \mathbf{e}_{s}^{3} = \mathbf{s}\mathbf{G}_{s}^{3} & \text{on } \partial\Omega \times]\mathbf{0}, \mathcal{T}[\,.\end{cases}$$

Effective transport equations: Reliable upscaling



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Einstein relations:

$$\hat{\mathbf{M}} \neq \frac{\hat{\mathbf{D}}}{kT}$$

Consequence:

Seem to loose Boltzmann distribution in equilibrium and Einstein relations !

But:

Introduce the mean field approximations

 $\overline{\nabla n^{\pm}} := \hat{\mathbf{D}} \nabla n^{\pm} ,$ $\overline{\nabla \phi} := \hat{\mathbf{M}} \nabla \phi ,$

then again the Boltzmann distribution is obtained, i.e.,

 $kTn^{\pm}M_{\pm}\overline{\nabla\mu} = D_{\pm}\overline{\nabla n^{\pm}} + z_{\pm}n^{\pm}kTM_{\pm}\overline{\nabla\phi},$

where $\mu = \frac{\tilde{\mu}}{kT}$ and $\phi = \frac{e\Phi}{kT}$.

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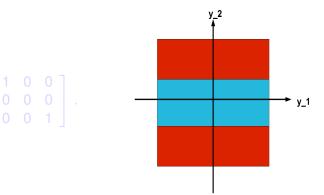
where $\mu = \frac{\tilde{\mu}}{kT}$ and $\phi = \frac{e\Phi}{kT}$.

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Example I: Straight channels

Assumption: Insulating porous matrix, i.e., $\alpha \rightarrow 0$ The correction tensor $\hat{D} = \hat{M}$ becomes

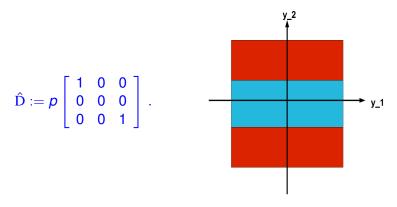


Literature: Auriault & Lewandowska 1997



Example I: Straight channels

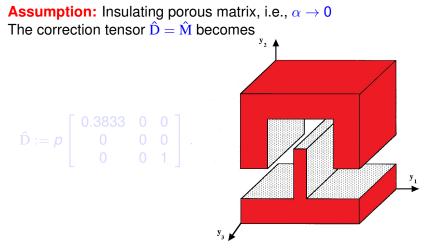
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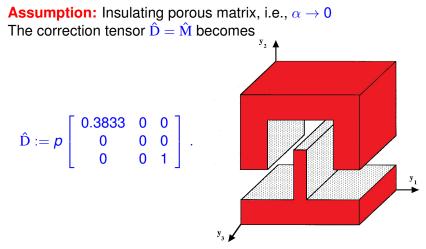
Example II: Perturbed straight channels



Literature: Auriault & Lewandowska 1997



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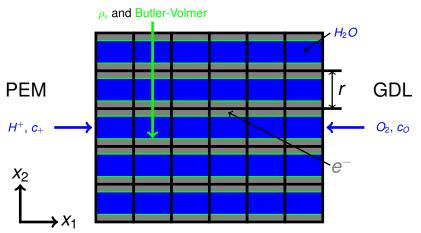


Part III): Effective Proton Transport in Catalyst Layers



1) Effective catalyst layer in PEMFC: [with P. Berg (NTNU)]

Periodic catalyst layer: Microscopic scenario



Butler-Volmer: $O_2 + 4H^+ + 4e^- \Rightarrow 2H_2O$

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Result:

[M.S. & P. Berg, Appl. Math. Res. Express. 2013(1):57-78 (2013)]

Under large overpotential, i.e., $\Phi^{s} - \Phi_{eq} \gg 0$, we have

Micro bulk:
$$\begin{cases} -\Delta c_{\mathcal{O}}^{s} = 0 & \text{in } \Omega^{s} \\ -\text{div} \left(\nabla c_{+}^{s} + c_{+}^{s} \nabla \phi^{s} \right) = 0 & \text{in } \Omega^{s} \\ -\text{div} \left(\hat{\kappa}(x/s) \nabla \phi^{s} \right) = c_{+}^{s} & \text{in } \Omega \end{cases}$$

$$\left(-\nabla_n \boldsymbol{c}_O^s = \boldsymbol{s}\beta_O(\boldsymbol{c}_O^s)^{n_O}(\boldsymbol{c}_+^s)^{n_+} \exp\left[-\alpha_c \left(\boldsymbol{\Phi}^s - \boldsymbol{\Phi}_0\right)\right]\right) \qquad \text{on } I$$

Interface:
$$\begin{cases} -\nabla_n c^s_+ - c^s_+ \nabla_n \Phi^s = s \beta_+ (c^s_O)^{n_O} (c^s_+)^{n_+} \exp\left[-\alpha_c \left(\Phi^s - \Phi_0\right)\right] & \text{on } I \\ -\kappa(x/s) \nabla_n \Phi^s = s \sigma_s(x, x/s) & \text{on } I \end{cases}$$

turns after upscaling under scale separation into

$$\int -\operatorname{div}\left(\hat{\mathsf{D}}^{O}\nabla C_{O}\right) = \overline{\beta}_{O}(C_{+})^{n_{+}}(C_{O})^{n_{O}}\exp\left(-\alpha_{c}(\Phi-\Phi_{0})\right)\,,\qquad\qquad \text{in }\Omega$$

where
$$\overline{\beta}_O := \beta_O \Lambda = \frac{l_0 \Lambda}{4 e D_O}$$
 and $\overline{\beta}_+ := \beta_O \Lambda = \frac{l_0 \Lambda}{4 e D_+}$ with $\Lambda := |I|$.

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$$\left(-\nabla_n c_O^s = \mathbf{s}\beta_O(c_O^s)^{n_O}(c_+^s)^{n_+} \exp\left[-\alpha_c \left(\Phi^s - \Phi_0\right)\right]\right) \qquad \text{on } I$$

Interface:
$$\begin{cases} -\nabla_n c_+^s - c_+^s \nabla_n \Phi^s = \mathbf{s}\beta_+ (c_0^s)^{n_0} (c_+^s)^{n_+} \exp\left[-\alpha_c \left(\Phi^s - \Phi_0\right)\right] & \text{on } I \\ -\kappa(x/s)\nabla_n \Phi^s = \mathbf{s}\sigma_s(x, x/s) & \text{on } I \end{cases}$$

turns after upscaling under scale separation into

$$\left(-\operatorname{div}\left(\hat{\mathsf{D}}^{\mathsf{O}}\nabla C_{\mathsf{O}}\right)=\overline{\beta}_{\mathsf{O}}(C_{+})^{n_{+}}(C_{\mathsf{O}})^{n_{\mathsf{O}}}\exp\left(-\alpha_{\mathsf{c}}(\Phi-\Phi_{0})\right),\qquad \text{in }\Omega\right)$$

$$\begin{cases} -\operatorname{div}\left(\hat{\mathbf{D}}^{+}\nabla C_{+}+C_{+}\hat{\mathbf{M}}^{+}\nabla \Phi\right)=\overline{\beta}_{+}(C_{+})^{n_{+}}(C_{O})^{n_{O}}\exp\left(-\alpha_{c}(\Phi-\Phi_{0})\right), & \text{in }\Omega \end{cases}$$

$$\left(-\operatorname{div}\left(\hat{\varepsilon}(\lambda^{2},\gamma)\nabla\Phi\right)=\boldsymbol{\rho}\boldsymbol{C}_{+}+\rho_{s},\qquad \text{in }\Omega\right)$$

where
$$\overline{\beta}_{O} := \beta_{O} \Lambda = \frac{i_{0} \Lambda}{4eD_{O}}$$
 and $\overline{\beta}_{+} := \beta_{O} \Lambda = \frac{i_{0} \Lambda}{4eD_{+}}$ with $\Lambda := |I|$.
M. Schmuck Effective transport equations: Reliable upscaling

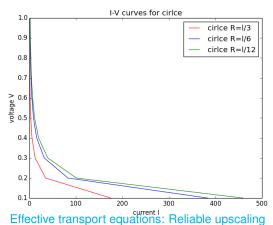


I-V curves: Circle shaped pores ($R = \ell/a, a = 3, 6, 12$)





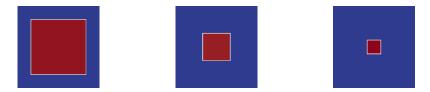


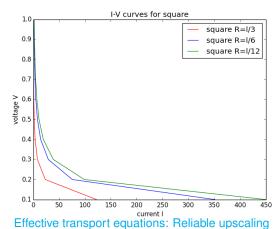


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I-V curves: Square with $(R = \ell/a, a = 3, 6, 12)$

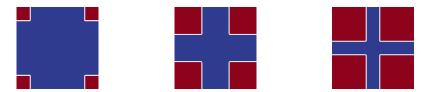


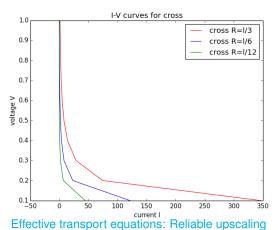




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I-V curves: Cross shaped pores ($R = \ell/a, a = 3, 6, 12$)







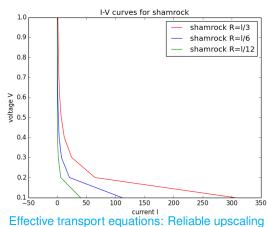
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I-V curves: Shamrock ($R = \ell/a, h = R/2, a = 3, 6, 12$)













Extension towards fluid flow

[M. Schmuck & P. Berg, J ELECTROCHEM SOC, 161(8):E3323-E3327 (2014)]

$$\int -\epsilon^2 \Delta \mathbf{u}^s + \nabla \boldsymbol{p}^s = \kappa \mathbf{f}^s := -\kappa \mathbf{c}^s_+ \nabla \phi^s \qquad \text{in } \Omega$$

$$v \mathbf{u}^{\epsilon} = 0$$
 in Ω^{ϵ}

$\begin{array}{l} \text{Micro bulk:} & \begin{cases} \operatorname{div} \mathbf{u}^{\epsilon} = \mathbf{0} & \text{in } \Omega^{\epsilon} \\ \partial_{l} \boldsymbol{c}_{O}^{\epsilon} - \operatorname{div} \left(\mathbb{D}^{O} \nabla \boldsymbol{c}_{O}^{s} - \operatorname{Pe} \mathbf{u}^{\epsilon} \boldsymbol{c}_{O}^{s} \right) = \mathbf{0} & \text{in } \Omega^{s} \\ \partial_{l} \boldsymbol{c}_{+}^{s} - \operatorname{div} \left(\mathbb{D}^{+} \left(\nabla \boldsymbol{c}_{+}^{s} + \boldsymbol{c}_{+}^{s} \nabla \boldsymbol{\phi}^{s} \right) - \operatorname{Pe} \mathbf{u}^{s} \boldsymbol{c}_{+}^{s} \right) = \mathbf{0} & \text{in } \Omega^{s} \\ -\operatorname{div} \left(\mathbb{E} (\boldsymbol{x} / \boldsymbol{s}) \nabla \boldsymbol{\phi}^{s} \right) = \boldsymbol{c}_{+}^{s} & \text{in } \Omega \end{array}$

$$\int \mathbf{u}_{\tau}^{s} = 0 \qquad \qquad \text{on } I$$

$$\mathbf{u}_n^s = -\frac{s}{2} R_w(c_+^s, c_O^s, \eta^s) \qquad \text{on } I$$

Interface:
$$\left\{ -\nabla_n c_O^s = s \beta_O(c_O^s)^{n_O} (c_+^s)^{n_+} \exp\left[-\alpha_c \left(\Phi^s - \Phi_0\right)\right] \right\}$$
 on l

$$\begin{pmatrix} -\nabla_n c^s_+ - c^s_+ \nabla_n \Phi^s = \mathbf{s}\beta_+ (c^s_O)^{n_O} (c^s_+)^{n_+} \exp\left[-\alpha_c \left(\Phi^s - \Phi_0\right)\right] & \text{on } I \\ -\kappa(x/s) \nabla_n \Phi^s = \mathbf{s}\sigma_s(x, x/s) & \text{on } I \end{cases}$$

where

$$\boldsymbol{\mathit{R}}_{\iota}(\boldsymbol{\mathit{c}}^{\boldsymbol{s}}_{+},\boldsymbol{\mathit{c}}^{\boldsymbol{s}}_{O},\eta^{\boldsymbol{s}}) := \beta_{\iota}\left(\boldsymbol{\mathit{c}}^{\boldsymbol{s}}_{+}\right)^{n_{+}}\left(\boldsymbol{\mathit{c}}^{\boldsymbol{s}}_{O}\right)^{n_{O}}\exp\left(-\alpha_{\boldsymbol{c}}\eta^{\boldsymbol{s}}\right),$$

and $\beta_{\iota} := \frac{i_0 L}{4eD^{\iota}}$ for $\iota \in \{+, O\}$ and $\beta_w = \frac{i_0 M_w}{a_w F}$ Effective transport equations: Reliable upscaling M. Schmuck



Local Thermodynamic Equilibrium (LTE): A system depending on a flow velocity **U** is in **local thermodynamic equilibrium** if and only if

$$\mathbf{0} = \frac{\partial}{\partial x_k} \mu_\iota - \mathbf{U}_k \,, \quad \text{for} \quad \mathbf{1} \le k \le N \,,$$

on the level of microscale Y, where $\iota \in \{O, +\}$, U_k is the k-th velocity component of the upscaled fluid velocity U and μ_{ι} denotes the electrochemical potenials w.r.t. upscaled variables, *i.e.*,

$$\mu_{\iota} := \begin{cases} \ln C_O & \text{if } \iota = O, \\ \ln C_+ + z_+ \Phi & \text{if } \iota = +. \end{cases}$$



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Effective transport equations: Reliable upscaling

Result: Two-scale asymptotics

Idea: Apply LTE and multiscale expansions of the form

 $v^{s}(x) = v(x, x/s) \approx V(x, x/s) + sv_{1}(x, x/s) + \mathcal{O}(s^{2}),$

where *V* denotes the upscaled variable. **Result:**

$$\begin{cases} \mathbf{U} = \mathbf{C}_{+}\overline{\mathbf{M}}^{+}\nabla\Phi - \overline{\mathbb{K}}\nabla \mathbf{P}, & \text{in }\Omega, \\ \operatorname{div} \mathbf{U} \\ = -\frac{1}{2}\overline{\beta}_{w}(\mathbf{C}_{+})^{n_{+}}(\mathbf{C}_{O})^{n_{O}}\exp\left(-\alpha_{c}(\Phi-\Phi_{0})\right), & \text{in }\Omega, \end{cases} \\ \begin{cases} \theta\partial_{t}\mathbf{C}_{O} - \operatorname{div}\left(\overline{\mathbb{D}}^{O}\nabla\mathbf{C}_{O} - \operatorname{Pe}\mathbf{U}\mathbf{C}_{O}\right) \\ = \frac{1}{4}\overline{\beta}_{O}(\mathbf{C}_{+})^{n_{+}}(\mathbf{C}_{O})^{n_{O}}\exp\left(-\alpha_{c}(\Phi-\Phi_{0})\right), & \text{in }\Omega, \end{cases} \\ \begin{cases} \theta\partial_{t}\mathbf{C}_{+} - \operatorname{div}\left(\overline{\mathbb{D}}^{+}\nabla\mathbf{C}_{+} + \mathbf{C}_{+}\overline{\mathbb{M}}^{+}\nabla\Phi - \operatorname{Pe}\mathbf{U}\mathbf{C}_{+}\right) \\ = \overline{\beta}_{+}(\mathbf{C}_{+})^{n_{+}}(\mathbf{C}_{O})^{n_{O}}\exp\left(-\alpha_{c}(\Phi-\Phi_{0})\right), & \text{in }\Omega, \end{cases} \end{cases}$$

$$\left\{-\operatorname{div}\left(\overline{\mathbb{E}}
abla \Phi\right) = heta \mathcal{C}_+ + \mathcal{Q}_s \quad ext{in } \Omega \,,
ight.$$

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Effective transport equations: Reliable upscaling



3) Moving frame approach: Strongly periodic fluid flow

Periodic flow problem:

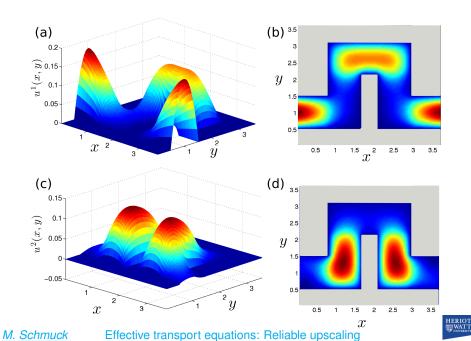
$$-\mu \Delta_{\mathbf{y}} \mathbf{u} + \nabla_{\mathbf{y}} p = \mathbf{e}_{1} \qquad \text{in } Y^{1} ,$$

$$\operatorname{div}_{\mathbf{y}} (\mathbf{u}) = 0 \qquad \text{in } Y^{1} ,$$

$$\mathbf{u} = \mathbf{0} \qquad \text{on } I_{Y} ,$$

$$\mathbf{u} \text{ and } p \text{ are } Y \text{-periodic.}$$





Ansatz: Asymptotic expansion with drift $v^j := \frac{Pe_{loc}}{|Y^1|} \int_{Y^1} u^j(y) dy$

$$u^{\epsilon}(t,x) = u\left(t, x - \frac{\mathbf{v}}{\epsilon}t, x/\epsilon\right) \approx U(t,x) + \sum_{i=1}^{\infty} \epsilon^{i} u_{i}\left(t, x - \frac{\mathbf{v}}{\epsilon}t, x/\epsilon\right)$$

~~

Result:

$$\begin{cases} \theta \partial_t C_O - \operatorname{div} \left(\overline{\mathbb{D}}^O(\mathbf{u}) \nabla C_O \right) \\ &= \frac{1}{4} \overline{\beta}_O(C_+)^{n_+} (C_O)^{n_O} \exp\left(-\alpha_c (\Phi - \Phi_0)\right), & \text{in } \Omega, \end{cases} \\ \begin{cases} \theta \partial_t C_+ - \operatorname{div} \left(\overline{\mathbb{D}}^+(\mathbf{u}) \nabla C_+ + C_+ \overline{\mathbb{M}}^+ \nabla \Phi \right) \\ &= \overline{\beta}_+ (C_+)^{n_+} (C_O)^{n_O} \exp\left(-\alpha_c (\Phi - \Phi_0)\right), & \text{in } \Omega, \end{cases} \\ \begin{cases} -\operatorname{div} \left(\overline{\mathbb{E}} \nabla \Phi \right) = \theta C_+ + Q_s. \end{cases} \end{cases}$$

Effective transport equations: Reliable upscaling



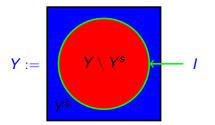
M. Schmuck

Part IV): Control of Macroscopic Transport Characteristics



Microscopic formulation

Material characteristic: Composite with high contrast in electric permittivity ⇒ strongly oscillating electric potential



 $\begin{aligned} \text{Micro:} & \begin{cases} \partial_t n_s^+ = \operatorname{div} \left(\nabla n_s^+ + n_s^+ \nabla \phi_s \right) & \text{in } \Omega^s \\ \partial_t n_s^- = \operatorname{div} \left(\nabla n_s^- - n_s^- \nabla \phi_s \right) & \text{in } \Omega^s \\ -\operatorname{div} \left(\hat{\kappa}(x/s) \nabla \phi_s \right) = n_s^+ - n_s^- & \text{in } \Omega \end{aligned} \\ \end{aligned}$ $\begin{aligned} \text{Micro interface:} & \begin{cases} \nabla_n n_s^+ + n_s^+ \nabla_n \phi_s = 0 & \text{on } I_s \\ \nabla_n n_s^- - n_s^- \nabla_n \phi_s = 0 & \text{on } I_s \\ -\hat{\kappa}(x/s) \nabla_n \phi_s & \text{continuous over} \end{cases} \end{aligned}$ $\begin{aligned} \text{M. Schmuck} & \text{Effective transport equations: Reliable upscaling} \end{aligned}$



Microscopic formulation

Material characteristic: Composite with high contrast in electric permittivity ⇒ strongly oscillating electric potential

$$Y := \bigvee_{Y \setminus Y^{S}} I - I$$

$$Micro: \begin{cases} \partial_{t}n_{s}^{+} = \operatorname{div}(\nabla n_{s}^{+} + n_{s}^{+}\nabla\phi_{s}) & \operatorname{in}\Omega^{S} \\ \partial_{t}n_{s}^{-} = \operatorname{div}(\nabla n_{s}^{-} - n_{s}^{-}\nabla\phi_{s}) & \operatorname{in}\Omega^{S} \\ -\operatorname{div}(\hat{\kappa}(x/s)\nabla\phi_{s}) = n_{s}^{+} - n_{s}^{-} & \operatorname{in}\Omega \end{cases}$$

$$Micro \text{ interface:} \begin{cases} \nabla_{n}n_{s}^{+} + n_{s}^{+}\nabla_{n}\phi_{s} = 0 & \operatorname{on} I_{s} \\ \nabla_{n}n_{s}^{-} - n_{s}^{-}\nabla_{n}\phi_{s} = 0 & \operatorname{on} I_{s} \\ -\hat{\kappa}(x/s)\nabla_{n}\phi_{s} & \operatorname{continuous over} I_{s} \end{cases}$$

$$M. Schmuck \qquad \text{Effective transport equations: Reliable upscaling} \end{cases}$$



Idea: Use modified expansions $(u_s^1 := n_s^+, u_s^2 := n_s^-)$

$$\begin{aligned} \mathbf{u}_{s}^{r} &= \mathbf{u}_{0}^{r} - s \sum_{k=1}^{N} \xi^{r_{k}}(t, \mathbf{x}, \mathbf{x}/s) \frac{\partial \phi_{0}}{\partial x_{k}} + s^{2} \sum_{k,l=1}^{N} \zeta^{r_{kl}}(t, \mathbf{x}, \mathbf{x}/s) \mathbf{u}_{0}^{r} + \dots \quad \text{for } r = 1, 2, \\ \phi_{s} &= \phi_{0} - s \sum_{k=1}^{N} \xi_{\phi}^{k}(\mathbf{x}/s) \frac{\partial \phi_{0}}{\partial x_{k}} + s^{2} \sum_{k,l=1}^{N} \zeta_{\phi}^{kl}(\mathbf{x}/s) \frac{\partial^{2} \phi_{0}}{\partial x_{k} \partial x_{l}} + \dots, \end{aligned}$$

where $\xi^{r_k}(\cdot, \cdot, y) \in V(\Omega_T, W_{\sharp}(Y^s)), \xi^{3_k}(y) \in W_{\sharp}(Y), \zeta^{r_{kl}}(\cdot, \cdot, y) \in V(\Omega_T, W_{\sharp}(Y^s))$, and $\zeta^{3_{kl}}(y) \in W_{\sharp}(Y)$ solve elliptic cell problems.

Result: u₀ is solution of the following upscaled system

 $\begin{cases} \boldsymbol{\rho}\partial_t \mathbf{u}_0^r - \boldsymbol{\rho} \Delta \mathbf{u}_0^r + \operatorname{div} \left(\mathbb{D}^r(t, \boldsymbol{x}) \nabla \phi_0 \right) - \operatorname{div} \left(\boldsymbol{z}_r \mathbf{u}_0^r \mathbb{M} \nabla \phi_0 \right) = \mathbf{0} & \operatorname{in} \Omega_T, \\ -\operatorname{div} \left(\boldsymbol{\epsilon}^0 \nabla \phi_0 \right) = \boldsymbol{\rho} \left(\mathbf{u}_0^1 - \mathbf{u}_0^2 \right) & \operatorname{in} \Omega_T, \end{cases}$

where $p := |Y^s| / |Y|$ is the porosity and the tensors $\mathbb{D}^r(t, x) := \{\mathbb{D}^r_{kl}(t, x)\}_{1 \le k, l \le N}, \mathbb{M} := \{\mathbb{M}_{kl}\}_{1 \le k, l \le N}$, and $\epsilon^0 := \{\epsilon^0_{kl}\}_{1 \le k, l \le N}$ are defined by

M. Schmuck

Effective transport equations: Reliable upscaling



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where $\xi^{r_k}(\cdot, \cdot, y) \in V(\Omega_T, W_{\sharp}(Y^s)), \xi^{3_k}(y) \in W_{\sharp}(Y), \zeta^{r_{kl}}(\cdot, \cdot, y) \in V(\Omega_T, W_{\sharp}(Y^s))$, and $\zeta^{3_{kl}}(y) \in W_{\sharp}(Y)$ solve elliptic cell problems.

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$$\begin{split} \mathrm{D}_{ik}^{r}(\boldsymbol{t},\boldsymbol{x}) &:= \frac{1}{|Y|} \int_{Y^{s}} \sum_{j=1}^{N} \left\{ \delta_{ij} \partial_{y_{j}} \xi^{r_{k}}(\boldsymbol{t},\boldsymbol{x},\boldsymbol{y}) \right\} \, d\boldsymbol{y} \,, \\ \mathrm{M}_{ik} &:= \frac{1}{|Y|} \int_{Y^{s}} \sum_{j=1}^{N} \left\{ \delta_{ik} - \delta_{ij} \partial_{y_{j}} \xi^{3_{k}}(\boldsymbol{y}) \right\} \, d\boldsymbol{y} \,, \\ \epsilon_{ik}^{0} &:= \frac{1}{|Y|} \int_{Y} \sum_{j=1}^{N} \hat{\kappa}(\boldsymbol{y}) \left(\delta_{ik} - \delta_{ij} \partial_{y_{j}} \xi^{3_{k}}(\boldsymbol{y}) \right) \, d\boldsymbol{y} \,. \end{split}$$

Reference: [M. Schmuck, J MATH PHYS, 54(2):21 p.021504 (2013)]



Conclusion:

- Presented formal and rigorous upscaling/homogenization methods.
- Systematically derived upscaled charge transport equations valid for different pore geometries
- Developed a framework for deriving effective macroscopic catalyst layer equations
- Upscaling provides means to control transport on the macroscale!

Thank You for Your Attention!



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