Mark Harrison: Curriculum Vitae

Personal details

Date of Birth	6 April 1949
Place of Birth	Cambridge, England
Nationality	British
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Education and employment

Higher Education	Postgraduate: Clare College, Cambridge (1973 to 1974) St Antony's College, Oxford (1970 to 1973) Undergraduate: Clare College, Cambridge (1967 to 1970)
Degrees	DPhil (Modern History), University of Oxford (1974) BA (Economics & Politics), University of Cambridge (1970)
Employment	University of Warwick, Department of Economics: Emeritus Professor (2019 to present) Professor (full time 1998 to 2014, part time to 2019) Reader (1993 to 1998) Senior Lecturer (1985 to 1993) Lecturer (1975 to 1985) Temporary Lecturer (1974 to 1975)

Honours and other affiliations

Honours	Fellow of the Academy of Social Sciences (2019) The Russian National Prize for Applied Economics (2012) The Alec Nove Prize of the British Association for Slavonic and East European Studies (1997)
Other affiliations	 Centre for Economic Policy Research: research fellow (2019 to present) University of Warwick, Centre on Competitive Advantage in the Global Economy: research associate (2011 to present) University of Birmingham, Department of Political Science and International Studies: honorary senior research fellow (1998 to present) Stanford University, Hoover Institution: research fellow (2009 to 2016) Campbell national fellow (2008 to 2009) distinguished visiting fellow (2004 to 2008) Columbia University, Russian Institute: senior fellow (1981)

Work in Progress

State Capacity, Authority, and Secrecy under Soviet Communism (working title)

Abstract. The Soviet state was one of the most secretive states that ever existed. Virtually all business belonged to the state, and the state belonged to the party. All state and party business was secret, not just to the public but to everyone outside the room, until a decision was made to disclose some aspect. What was disclosed was never more than the tip of a vast iceberg. Defended by a complex apparatus of rules and checks administered by the secret police, Soviet secrecy was also highly effective. This book collects my research on the political economy of secrecy under Soviet rule, based on many years of research in once-secret Soviet-era archives. I aim to fill two gaps in the literature - one the core role of secrecy in building the communist states of the twentieth century; the other the effects of secrecy on authoritarian state capacity. State capacity is thought to be essential for sustained economic growth. Secrecy contributed powerfully to Soviet state authority, particularly its apparent capacity for decisiveness, free of the countervailing pressures and demands for accountability that might have arisen from a more informed elite and citizenry. But secrecy was double-edged. It raised transaction costs, lowered the quality of government officials, incentivized dishonesty, eroded citizens' trust in institutions and in each other, and led to an uninformed leadership. As a result, Soviet state capacity was much less than appeared from the outside. The book concludes by reflecting on secrecy and authoritarianism after Soviet rule, in the era of the internet and peer-to-peer information sharing.

If You do not Change your Behaviour: Managing Threats to State Security in Lithuania under Soviet rule (with Evgenia Nazrullaeva)

Abstract. In Soviet Lithuania (and elsewhere) from the 1950s to the 1980s, the KGB applied a form of "zero-tolerance" policing, or profilaktika, to incipient threats to state security. Petty deviation from socio-political norms was regarded as a person's first step towards more serious state crimes, and as a bad example for others. As long as petty violators could be classed as confused or misled rather than motivated by anti-Soviet conviction, their mistakes would be corrected by a KGB warning or "preventive discussion." Successful prevention avoided the costly removal of the subject from society. This represented a complete contrast to the Stalin years, when prevention relied largely on eliminating the subject from society. Preventive discussions were widely practised in many different circumstances. KGB internal evaluations concluded that these discussions were extremely effective in preventing further violations. This was the front line of the Soviet police state; it was perhaps the largest programme for personally targeted behaviour modification anywhere in the world at that time outside the education sector. It was also a front line of the Cold War because the foreign adversary was seen as the most important source of misleading or confusing influence. Our work aims to understand the origins and operation of profilaktika, including how and to whom it was applied, how it worked on the individual subject, and its wider influence on the Soviet Union's social and political order.

Contracting for Counterintelligence: The KGB and Soviet Informers of the 1960s and 1970s

Abstract. The informer network was a part of the human capital of the communist police state, which had the property of dissolving the freestanding social capital of ordinary citizens. How was it built, and what was the agency of the informers in the process? A few documents from the archives of the Soviet security police allow us to see good practices as the KGB saw them. They show some of the routes by which informers came to the attention of the KGB, their varied motivations, and their social and psychological

strengths and weaknesses. The pivot of the process was a contract for counterintelligence services. The contract itself was partly written, partly verbal or implied, and highly incomplete. Before the contract, searching and due diligence were required to identify potential recruits. After the contract, to turn a recruit into a productive informer involved a further period of training and monitoring, often extending to renegotiation and further investments by both sides in the capabilities of the informer and the relationship of trust with the handler. Trust and deception were two sides of the informer's coin.

The Economics of the Second World War: Eighty Years on (with Stephen Broadberry)

Abstract. The economic history of the twentieth century is written largely in terms of peacetime. There are the periods before, between, and after the two World Wars, but this leaves out the war periods themselves as aberrations that are not amenable to normal economic analysis. To mark the centenary of the First World War, the CEPR Economic History Programme commissioned a number of VoxEU columns, which were collected in an eBook, The Economics of the Great War: A Centennial Perspective. The positive response encouraged us to propose a similar debate on the economics of the Second World War, to mark the eightieth anniversary of that even greater conflagration. Just as for the First World War, there is a traditional literature on the economics of 1939-1945, largely organised on a national basis, with a wealth of administrative detail, but often light on analytical clarity. Meanwhile, economists and historians have shown fresh interest in conflicts among and within states, their roots, their management, and their long-term consequences for twenty-first century states and societies. Associated with this are novel findings on economic aspects of the Second World War which are, in varying degrees, international or comparative, contributing new data and original analytical insights. We are currently commissioning contributions, initially for publication as VoxEU columns, later to be collected in an edited CEPR eBook. Our aim is to cover a range of topics - the preparations for war; the conduct of the war; and the consequences of the war.

Publications

Books

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- Harrison, Mark, ed. 2014. *Unlocking Development*. A CAGE policy report. London: The Social Market Foundation (116 pp.).
- Markevich, Andrei, and Mark Harrison. 2013. *Pervaia mirovaia voina, grazhdanskaia voina, i vosstanovlenie: natsional'nyi dokhod Rossii v 1913-1928 gg.* [First World War, Civil War, and Recovery: Russia's National Income, 1913 to 1928]. Moscow: Mysl' (110 pp.).

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- Barber, John, and Mark Harrison. 1991. *The Soviet Home Front, 1941-5: a Social and Economic History of the USSR in World War II.* London: Longman. Hardback and paperback editions (xiii+245 pp).
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Book chapters

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- Harrison, Mark.1996. Soviet Agriculture and Industrialisation. In *Agriculture and Economic Growth from the Eighteenth Century to the Present*, pp. 192-208. Edited by John A. Davis and Peter Mathias. Oxford: Blackwell.
- Harrison, Mark. 1995. The Soviet Economy and Relations with the USA and Britain. In *The Rise and Fall of the Grand Alliance, 1941-1945*, pp. 69-89. Edited by Howard Temperley and Ann Lane. London and Basingstoke: Macmillan.
- Harrison, Mark. 1995. Soviet National Accounting for World War II: an Inside View. In Soviet History, 1917-1953: Essays in Honour of R. W. Davies, pp. 219-42. Edited by J. M. Cooper, Maureen Perrie, and E. A. Rees. London and Basingstoke: Macmillan.
- Harrison, Mark. 1994. National Income. In *The Economic Transformation of the Soviet Union, 1913-1945*, pp. 38-56. Edited by R. W. Davies, Mark Harrison, and S. G.
 Wheatcroft. Cambridge: Cambridge University Press.
- Harrison, Mark. 1994. The Second World War. In *The Economic Transformation of the Soviet Union, 1913-1945*, pp. 238-267. Edited by R. W. Davies, Mark Harrison, and S. G. Wheatcroft. Cambridge: Cambridge University Press.
- Harrison, Mark. 1994. The Soviet Defense Industry Complex in World War II. In *World War II and the Transformation of Business Systems*, pp. 237-62. Edited by Jun Sakudo and Takao Shiba. Tokyo: University of Tokyo Press.
- Harrison, Mark. 1992. War Finance. In *The New Palgrave Dictionary of Money and Finance*, vol. 3, pp. 783-5. Edited by John Eatwell, Murray Milgate, and Peter Newman. London: Macmillan.
- Harrison, Mark. 1992. The Soviet Union. In *The Civilian in War: Occupation and the Home Front in World War II*, pp. 59-76. Edited by Jeremy Noakes. Exeter: University of Exeter Press, Exeter Studies in History.
- Harrison, Mark. 1992. Barbarossa: die sowjetische Antwort, 1941 [Barbarossa: the Soviet response, 1941]. In Zwei Wege nach Moskau. Vom Hitler-Stalin Pakt bis zum Unternehmen Barbarossa [Two roads to Moscow: from the Hitler-Stalin pact to Codename Barbarossa], pp. 443-63. Edited by Bernd Wegner. Munich: Piper.
- Harrison, Mark. 1990. The Peasantry and Industrialisation. In *From Tsarism to the New Economic Policy: Continuity and Change*, pp. 104-24. Edited by R. W. Davies. London: MacMillan.
- Harrison, Mark. 1981. Chayanov y la economía del campesinado ruso. In *Chayanov y la teoría de la economía campesina*, pp. 153-188. Edited by José Aricó. Mexico: Ediciones Pasado y Presente.
- Harrison, Mark. 1986. Why Did NEP Fail? In *Soviet Industrialisation and Soviet Maturity*, 8-22. Edited by Keith Smith. London: Routledge, Kegan Paul.
- Harrison, Mark. 1986. Lessons of Soviet Planning for Full Employment. In *Labour and Employment in the USSR*, pp. 69-82. Edited by David Lane. Brighton: Wheatsheaf.
- Harrison, Mark. 1985. Discussion. In Soviet Investment for Planned Industrialisation, 1929-1937, pp. 52-9. Edited by R. W. Davies. Berkeley, CA: Berkeley Slavic Specialties.
- Harrison, Mark. 1985. Primary Accumulation in the Soviet Transition. In *The Agrarian Question in Socialist Transitions*, pp. 81-103. Edited by Ashwani Saith. London: Frank Cass.
- Harrison, Mark. 1984. Why was NEP Abandoned? In *The Soviet Rural Economy*, pp. 63-78. Edited by Robert C. Stuart. Totowa, NJ: Rowman & Allanheld.
- Harrison, Mark. 1984. Lessons of Soviet Planning for Full Employment. In *Out of Work: Perspectives on Mass Unemployment*, pp. 118-30. Edited by Keith Cowling and Paul Stoneman. University of Warwick, Department of Economics.
- Harrison, Mark. 1983. L'economia camperola, el marxisme subordinat i la lluita per una agricultura socialitzada a la Unió Soviètica en els anys 1920 [Peasant Economy,

Subordinate Marxism, and the Struggle for Socialised Agriculture in the Soviet Union in the 1920s]. In *1er col.loqui d'història agrària* [First Colloquium of Agrarian History], pp. 23-40. Edited by Rafael Aracil and Màrius Garcia Bonafé. Valencia: Institució Alfons el Magnànim.

Harrison, Mark. 1982. Chayanov's Theory of Peasant Economy; Towards a Practical Theory of Agrarian Transition. In *Rural Development: Theories of Peasant Economy and Agrarian Change*, pp. 246-257 and 399-404. Edited by John Harriss. London: Hutchinson.

Occasional papers

- Harrison, Mark, and Debin Ma. 2013. Soaring Dragon, Stumbling Bear: China's Rise in a Comparative Context. CAGE-Chatham House policy briefing paper no. 6. Presented at Chatham House, London, 20 March 2013.
- Cooper, Julian, Keith Dexter, and Mark Harrison. 1999. The Numbered Factories and Other Establishments of the Soviet Defence Industry Complex, 1927 to 1968, Part I, Factories & Shipyards. Version 1.0. University of Birmingham, Centre for Russian and East European Studies. Soviet Industrialisation Project, Occasional Paper no. 2. Version 2.0, with Ivan Rodionov. University of Warwick, Department of Economics, 2000.
- Harrison, Mark. 1977. Soviet Peasants and Soviet Price Policy in the 1920s. Soviet Industrialisation Project Series, no. 10. University of Birmingham, Centre for Russian and East European Studies.

Consultancy

Brück, Tilman, Mark Harrison, and John Holmes. 2008. Economic Crises and Terrorism. Policy Brief to the European Commission. Berlin: Network for the Economic Analysis of Terrorism.

DPhil dissertation

Harrison, R. M. 1974. Theories of Peasant Economy: Critique of the Works of the Organization of Production School of Agricultural Economy, with particular reference to A.V. Chayanov. University of Oxford, DPhil Dissertation.

Keynote Presentations and Public Lectures

- The Soviet Economy, 1917-1991: Its Life and Afterlife. Public lecture in the Political Economy Project series at Dartmouth College, Hanover, New Hampshire (November 2018).
- The Soviet Economy: the Late 1930s in Historical Perspective. St Catherine's Foundation lecture to the annual conference of the Centre for Russian, European, and Eurasian Studies, University of Birmingham at Cumberland Lodge, Windsor (June 2018).
- The Soviet Economy, 1917-1991: Its Life and Afterlife. Public lecture for the ESRC Festival of Social Science at the University of Warwick (November 2017).
- The Soviet Economy, 1917-1991: Its Life and Afterlife. Keynote lecture to the Clifford Symposium on the 100th anniversary of the October Revolution at Middlebury College, Middlebury, Vermont (October 2017).
- The Soviet Economy, 1917-1991: Its Life and Afterlife. Keynote panel at the British Association for Slavonic and East European Studies annual conference in Cambridge (April 2017).
- The Soviet Economy, 1917-1991: Its Life and Afterlife. Keynote lecture to the Annual International Symposium for Quantitative History in Kaifeng, China (July 2017).
- The Future of Comparative Economics. Keynote panel at the World Conference of Comparative Economics in St Petersburg, Russia (June 2017).

- Secrecy and State Capacity: A Look Behind the Iron Curtain. Keynote lecture to the WEast (Workshop of the Eastern European Economic History Initiative) meeting in London (April 2017).
- The Soviet Economy, 1917-1991: Its Life and Afterlife. Public lecture to the GB-Russia Society in London (March 2017).
- The Stalinist Economic System. Public lecture in the series Revolution, Transformation, Globalization: Russia, 1917-2017, at the Osteuropa Institut, Free University Berlin (November 2016).
- What do Secret Policemen really do? Insights from History and Social Science. Public lecture at the Hoover Institution, Stanford University (July 2014).
- Myths of the Great War. Keynote lecture to the Appalachian Spring conference, Appalachian State University, Boone, NC (March 2014).
- Myths of the Great War. Keynote lecture to the annual conference of the Economic History Society at the University of Warwick (March 2014).
- Great War, Civil War, and Recovery: Russia's National Income, 1913 to 1928. Keynote lecture to the annual conference of the Urals Federal University, Ekaterinburg, Russia (April 2013).
- Soaring Dragon, Stumbling Bear: China's Rise in a Comparative Context. Public lecture in the series Russia's Economic History in the Twentieth Century, sponsored by the Gaidar Foundation at the Polytechnic Museum, Moscow (April 2013).
- What do Secret Policemen really do? Insights from History and Social Science. Public lecture for the ESRC Festival of Social Science at the University of Warwick (November 2013).

PhD Students and Post-Doctoral Fellows since 2000

- Richterová, Daniela. University of Warwick, PhD in Politics and International Studies, 2014-2018. Title: Communist Czechoslovakia, Revolutionaries and Terrorists: An Investigation into State Relations with Violent Non-State Actors. Co-supervisor with Richard Aldrich.
- Chen, Xuezheng. University of Warwick, PhD in Economics, 2005 to 2008. Title: From Autocracy to Democracy: Revolution vs Transformation. Co-supervisor with Amrita Dhillon.
- Markevich, Andrei. University of Warwick, Marie Curie Fellow, 2005 to 2007. Title: Dictatorship and Hierarchy in the Soviet Economy.
- Eloranta, Jari. University of Warwick, Marie Curie Fellow, 2002 to 2004. Title: Warfare and Welfare: the Demand for Central Government Spending.
- Engmann, Dorothy. University of Warwick, PhD in Economics, 1999 to 2003. Title: Corruption, Taxation, and Loan Conditionality: a Contribution to the Macroeconomics of Reform and Transition with Reference to Russia. Co-supervisor with Marcus Miller.
- Lee, Suk. University of Warwick, PhD in Economics, 1999 to 2003. Title: Institutional Economics of Food Shortage in the Democratic People's Republic of Korea. Cosupervisor with Sayantan Ghosal.
- Eloranta, Jari. European University Institute, PhD in History & Civilization, 1999-2002. Title: The Demand for External Security by Domestic Choices: Military Spending as a Public Good among Eleven European Democracies, 1920-1938. Co-supervisor with Alan Milward and Jaime Reis.

Research Grants

2011 to 2012 One Day We Will Live Without Fear. Principal Investigator. The Hoover Institution: \$25,000.

2009 to 2010	CEELBAS Midlands Russia Seminar. Co-organizer with Jeremy Smith (Birmingham). Centre for East European Language Based Studies, UCL: £1,780.
2007 to 2010	Adapting to the Entirely Unpredictable, and Other Aspects of Dynamic Behaviour. Scientist in Charge, with Peter Hammond, Marie Curie Chair of Excellence. European Commission: €493,237.
2006	Political Economy of the Soviet Command System. Principal Investigator. University of Warwick North America Travel Fund: £850.
2005 to 2007	Dictatorship and Hierarchy in the Soviet Economy. Scientist in Charge, with Andrei Markevich, Marie Curie Fellow. European Commission: €161,428.
2004	Political Economy of the Soviet Command System. Principal Investigator. British Academy: £1,140.
2002 to 2004	Warfare and Welfare: the Demand for Central Government Spending, 1870 to 1938. Scientist in Charge, with Jari Eloranta, Marie Curie Fellow. European Commission: €107,072.
1996 to 2000	Invention, Imitation, and the Birth of Soviet Aerospace. Principal investigator. Leverhulme Trust: £15,954; British Academy: £4,000; University of Warwick Research & Innovations Fund: £750.
1993 to 1996	The Soviet Defence Industry Complex from Lenin to Khrushchev. Principal investigator with Dr J.D. Barber, King's College, Cambridge, assisted by Boris Starkov and Nikolai Simonov. Economic and Social Research Council: £29,720; University of Warwick Research & Innovations Fund: £450 + £600.
1994 to 1996	Productivity Performance and Potential of the Independent States of the Former Soviet Union. Associate participant; Prof. H.H. van Ark, Groningen, principal investigator. INTAS: ECU1,600.
1993 to 1994	Economic Mobilisation for World War II: Six Great Powers in International Comparison, 1937-1945. Principal investigator with Prof. Dr Werner Abelshauser, Bielefeld. Volkswagen Foundation: DM70,200.
1991 to 1993	Soviet Production, Employment and the Defence Burden, 1937 and 1940-1945. Principal investigator, assisted by Edwin Bacon and Nikolai Simonov. Leverhulme Trust: £50,650.
1984 to 1988	Defence and Development in Soviet Planning after 1945. Principal investigator, assisted by John R. Crowfoot. Nuffield Foundation: £3,000.

Professional Associations

American Economic Association Association for Comparative Economic Studies Association for Slavic, East European, and Eurasian Studies British Association for Slavonic and East European Studies Economic History Association Economic History Society Royal Economic Society

Service

External service

Economic Affairs: editorial board member (2012 to present).

Maddison Project: advisory board member (2010 to 2018).

- Association for Slavic, East European, and Eurasian Studies: Ed A. Hewett prize committee, chair (2009 to 2011).
- Network for the Economic Analysis of Terrorism, member (2007 to 2010).
- Conference of Heads of University Departments of Economics, steering committee member (2006 to 2009).
- Hoover Institution: Library & Archives workshop on authoritarian regimes, associate convenor (2003 to 2016).
- PERSA (Political Economy Research in Soviet Archives) Working Papers: editor (2000 to 2012).
- London School of Economics, external examiner: MSc in the Political Economy of Transition in Europe (1994 to 1998).
- University of Birmingham: external examiner: undergraduate and postgraduate taught courses and dissertations in economics, economic history, and defence studies (1994 to 1997).
- European University Institute, London School of Economics, Stockholm School of Economics, and Universities of Birmingham, Bologna, Glasgow, Leeds, London, and Oxford: external examiner of candidate for PhD.

Refereeing activity

Australian Economic History Review; American Economic Review; Anthem Press; Business History Review; Cambridge Journal of Economics; Cambridge University Press; Carl Beck Papers; Cliometrica; Croom Helm; Economic and Social Research Council; ESRC Research Grants Board; Econometrica; Economic History Review; Economic Journal; Economica; Economics of Planning; Europe-Asia Studies; European Review of Economic History; Explorations in Economic History; Hague Journal on the Rule of Law; Historical Journal; Hague Journal on the Rule of Law; Hungarian National Research, Development and Innovation Office (NKFIH).; Journal of Comparative Economics; Journal of Cold War Studies; Journal of Conflict Resolution; Journal of Economic History; Journal of Interdisciplinary History; Journal of Institutional and Theoretical Economics; Journal of Slavic Military Studies; Kritika; Longman Group UK; Manchester University Press; Narodowe Centrum Nauki (Poland); National Science Foundation; Nederlandse Organisatie voor Wetenschappelijk Onderzoek (NWO); Oxford University Press, Palgrave Macmillan; Quarterly Journal of Economics; Reinvention; Review of Economic Studies; Review of International Political Economy; Review of International Studies; Revolutionary Russia; Routledge, Chapman & Hall; Russian Review; Scandinavian Economic History Review; Scandinavian Journal of Economic History; Slavonic & East European Review; Slavic Review; Social Science and Humanities Research Council of Canada; Sociological Forum; Soviet and Post-Soviet Review; Terrorism and Political Violence; Times Higher Education; Times Literary Supplement; Third World Quarterly; University of Toronto Press; Yale University Press

Service at Warwick

Centre on Competitive Advantage in the Global Economy, associate director for impact (2013 to 2016).

Philosophy, Politics, and Economics examinations secretary (2011 to 2013); director (2012 to 2013).

- Constitutional Advisory Group (chaired by Sir Martin Harris), member (2009 to 2010); Harris Report implementation group, chair (2010).
- Academic Resourcing Committee member (2007 to 2008, 2009 to 2011).
- Transparent Accounts steering group, member (2007 to 2008).
- Economics, head of department (2005 to 2008).
- Email Replacement Project Board member (2005 to 2007).
- Academic Quality and Standards Committee member (2003 to 2008).
- Managed Learning Environment working group, member (2003 to 2004).
- Senate, member (2002 to 2008, 2009 to 2011).
- Faculty of Social Sciences, Undergraduate Studies committee member (2001 to 2004). Economics, QAA subject review coordinator (2000 to 2001).
- Faculty of Social Sciences, board member (1999 to 2004, 2005 to 2008); deputy chair
 - (2009 to 2011).
- Economics, director of first-year undergraduate studies (1998 to 1999); director of undergraduate studies (1999 to 2004)
- Economics, 2Plus2 degrees coordinator (1996 to 1998).
- Examinations Committee, member (1992 to 1993 and 1995 to 1997).
- Economics, chief examinations secretary (1989 to 1991, 1993 to 1996).
- Economics & Politics, admissions tutor (1986 to 1988).
- East Asia interest group, member (1986 to 1987).
- Economics, research reports (1983 to 1986).
- Economics, central timetable liaison (1983 to 1986).
- Economics & Economic History, admissions tutor (1978 to 1988).
- Economics, university library and bookshop liaison (1975 to 1978).