

Schwarz's lemma. Suppose $f: \Delta \rightarrow \Delta$ is holomorphic and that $f(0) = 0$. Then either

(1) $|f(z)| < |z|$ for every non-zero z in Δ or

(2) $f(z) = e^{i\theta} z$ for some real constant θ .

In addition we have $|f'(0)| \leq 1$. If equality holds we are in case (1) above otherwise we are in case 2.

Proof. $f(z) = a_1 z + a_2 z^2 + \dots$
 $= z(a_1 + a_2 z + \dots)$
 $= z \cdot g(z)$ g holomorphic

For $r < 1$ we can apply the maximum principle to g on the disk $D_r = \{z \mid |z| \leq r\}$ and obtain

$$|g(z)| \leq \sup_{|w|=r} |g(w)| < \frac{1}{r} \quad (*)$$

since on D_r :

$$\begin{aligned} 1 > |f(z)| &= |z \cdot g(z)| = |z| \cdot |g(z)| \\ &= r \cdot |g(z)| \end{aligned}$$

hence max occurs on ∂D_r by the maximum principle.

(Note that there are two versions of the maximum principle. Here we are using the version which says that a cont. fun. on the closed disk which is holomorphic in the interior takes its maximum on the boundary.)

(Below we use a refined version which implies that if a holomorphic function on the open disk achieves its maximum then it is constant.)

Letting $r \rightarrow 1$ in equation * we get

$|g(z)| \leq 1$ in Δ , note in particular that
 $|g(0)| = |f'(0)| \leq 1$.

If $|g| = 1$ at some point of the open disk Δ then by the second version of the maximum principle g is constant $g(z) = c$. Plus $|c| = 1$ and $c = e^{i\theta}$.

g is constant by the ^{refined} maximum principle and $g(z) = e^{i\theta} z$ so (2)

holds. Otherwise $|g| < 1$ and (1) holds.

To prove the last two statements note that g is defined and holomorphic on Δ and since $f(z) = z \cdot g(z)$ we have $f'(0) = g(0)$.

If $|f'(0)| = 1$ then $|g|$ achieves its maximum in Δ and we are in case 2. If $|f'(0)| < 1$ then $|g(0)| < 1$ then $|g(z)|$ cannot be 1 at any $z \in \Delta$

so we are in case 1.

Theorem. The elements of $\text{Aut}(\Delta)$ are precisely the Möbius transformations of the form

$$f(z) = \frac{az + \bar{c}}{cz + \bar{a}} \text{ with } |a|^2 - |c|^2 = 1.$$

Proof. Elements of this form form a group.

Elements are closed under composition:

Write C_{∞} as $CP^1 = \mathbb{C}^2/\mathbb{C}^*$ $PGL(2, \mathbb{C})$ acts on CP^1 . Map from matrix to LFT

$$\begin{pmatrix} a & \bar{c} \\ c & \bar{a} \end{pmatrix} \begin{pmatrix} b & \bar{d} \\ d & \bar{b} \end{pmatrix} = \begin{pmatrix} ab + d\bar{c} & cd + \bar{c}\bar{b} \\ bc + \bar{a}d & c\bar{d} + \bar{a}\bar{b} \end{pmatrix}$$

\hookrightarrow conjugation condition holds.

\downarrow
 $\det = a\bar{a} - c\bar{c} = |a|^2 - |c|^2 = 1$. Unit. of det means cond $|a|^2 - |c|^2 = 1$ is preserved

Closed under taking inverses:

$$\begin{pmatrix} a & \bar{c} \\ c & \bar{a} \end{pmatrix}^{-1} = \begin{pmatrix} \gamma a & -\bar{c} \\ -c & \gamma \bar{a} \end{pmatrix}$$

has same form.

Det condition holds.

Check that the disk is taken into the disk.

$$|f(z)|^2 = \frac{|cz + \bar{c}|^2}{|cz + \bar{a}|^2} = \frac{(cz + \bar{c})(\bar{c}\bar{z} + c)}{|cz + \bar{a}|^2}$$

$$= \frac{c\bar{a}z\bar{z} + \bar{c}c\bar{z} + cz + c\bar{c}}{|cz + \bar{a}|^2}$$

$$1 - |f(z)|^2 =$$

$$\frac{\cancel{c\bar{c}z\bar{z}} + \cancel{\bar{a}c\bar{z}} + \cancel{cz} + \cancel{c\bar{c}} - c\bar{a}z\bar{z} - \bar{c}c\bar{z} - cz - c\bar{c}}{|cz + \bar{a}|^2}$$

$$= \frac{1 - (c\bar{c} - a\bar{a})z\bar{z}}{|cz + \bar{a}|^2} = (1 - |z|^2) \frac{1}{|cz + \bar{a}|^2}$$

$$\uparrow \text{Thus } 1 - |f(z)|^2 = (1 - |z|^2) \cdot \frac{1}{|cz + \bar{a}|^2}$$

So if $z \in \Delta$ so $1 - |z|^2 < 1$ then

$$1 - |f(z)|^2 < 1 \text{ and } f(z) \in \Delta. \quad \text{QED}$$

Same argument applies to f^{-1} so
 $f(\Delta) = \Delta$. QED.

Observe that:

$$\begin{aligned} f'(z) &= \left(\frac{az+b}{cz+d} \right)' = \frac{a(cz+d) - c(az+b)}{(cz+d)^2} \\ &= \frac{\cancel{acz} + ad - \cancel{acz} - bc}{(cz+d)^2} \\ &= \frac{ad-bc}{(cz+d)^2}. \end{aligned}$$

Applied to $\begin{pmatrix} a & \bar{c} \\ c & \bar{a} \end{pmatrix}$ we get $\frac{1}{(cz+d)^2}$

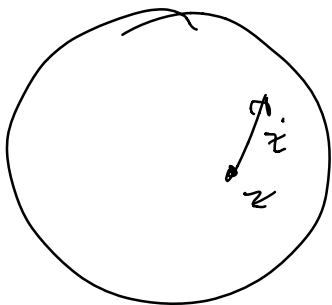
$$\text{so } |f'(z)| = \frac{1-|z|^2}{1-|f(z)|^2}.$$

$$\text{Plus } |f'(z)| = \frac{1 - |f(z)|^2}{1 - |z|^2}$$

A consequence of these calculations is that a linear fractional transformation preserves the hyperbolic metric on Δ .

For $z \in \Delta$ let $S(z) = \frac{2}{1 - |z|^2}$.

Define a metric on Δ so that the length of (z, \dot{z}) is $S(z) \cdot |\dot{z}|$.



Claim. f preserves this metric.

Applying f to (z, \dot{z}) gives $(f(z), f'(z) \cdot \dot{z})$

$$\text{Check } g(z) \cdot |\dot{z}|^2 \stackrel{?}{=} g(f(z)) \cdot |f'(z) \cdot \dot{z}|^2$$

$$\text{or } |f'(z)|^2 \stackrel{?}{=} \frac{g(z)}{g(f(z))}$$

$$\text{But } \frac{g(z)}{g(f(z))} = \frac{\frac{2}{1-|z|^2}}{\frac{2}{1-|f(z)|^2}} = \frac{1-|f(z)|^2}{1-|z|^2} \stackrel{!}{=} |f'(z)|^2$$

Note that any choice of a constant gives an invariant metric. The 2 yields a metric with curvature 1.

Remark. For any $h: \Delta \rightarrow \Delta$ we can define $|h'(w)|_{\text{hyp}}$ to be

$$(w, \dot{w}) \rightarrow (h(w), h'(w) \cdot \dot{w})$$

the ratio $|(h(w), h'(w) \cdot \dot{w})|_{\text{hyp}}$ to $|(w, \dot{w})|_{\text{hyp}}$.

This is the factor by which h changes
hyp length at w .

$$\begin{aligned} |h'(w)|_{\text{hyp}} &= \frac{g(h(w)) \cdot |h'(w)| \cdot |\dot{w}|}{g(w) \cdot |\dot{w}|} \\ &= |h'(w)| \cdot \frac{g(h(w))}{g(w)}. \end{aligned}$$

Previous calculation says $|f'(w)|_{\text{hyp}} = 1$ if
 f is in G .

Define the length of a path γ to be

$$L(\gamma) = \int_{\gamma} g(\gamma(t)) \cdot |\gamma'(t)| dt \text{ and}$$

$$p(z, w) = \inf_{\substack{\gamma(0)=z \\ \gamma(1)=w}} L(\gamma).$$

If $f \in G$ then $\rho(f(z), f(w)) = \rho(z, w)$.

Claim. G acts transitively on Δ .

Let $w \in \Delta$.

Find a, c with $|a|^2 - |c|^2 = 1$ with $f(0) = w$

$$\text{or } \frac{a \cdot 0 + \bar{c}}{c \cdot 0 + \bar{a}} = \frac{\bar{c}}{\bar{a}} = w.$$

Write $\bar{a} = v$ $\bar{c} = v \cdot w$. Want to choose v so that $|a|^2 - |c|^2 = 1$. We have

$$|a|^2 - |c|^2 = v^2 - v^2 |w| = v^2 (1 - |w|).$$

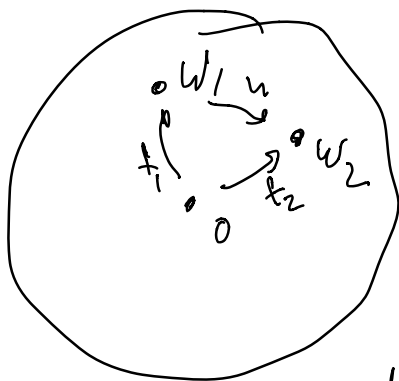
Set $v = \frac{1}{\sqrt{1-|w|}}$. This is justified since $|w| < 1$ so $(1-|w|)^{-1/2}$.

If w_0, w_1 are any two points in D
choose f_0, f_1 with $f_j(0) = w_j$ so

$f_1(f_0^{-1}(w_0)) = f_1(0) = w_1$ and $f_1 \circ f_0^{-1}$
takes w_0 to w_1 .

Thm. (Schwarz-Pick). Let h be any holomorphic map $h: \Delta \rightarrow \Delta$. Then h does not increase the hyperbolic distance.

Proof. Say $h(z_1) = w_2$



Say $f_1(z) = w_1$, $f_2(z) = w_2$.

Now $f_2^{-1} \circ h \circ f_1$ takes 0 to 0 and takes Δ to Δ . We can apply the standard Schwarz lemma to get

$$(f_2^{-1} \circ h \circ f_1)'(0) \leq 1.$$

Measuring the effect on the hyperbolic metric gives

$$|(f_2^{-1})'|_{h_{\text{HP}}} \cdot |h'|_{h_{\text{HP}}} \cdot |f_1'|_{h_{\text{HP}}} \leq 1$$

so $|h'|_{h_{\text{HP}}} \leq 1$.

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$$f'_2(h_1(z)) \cdot h'_1(f_1(z)) \cdot f'_1(z)$$

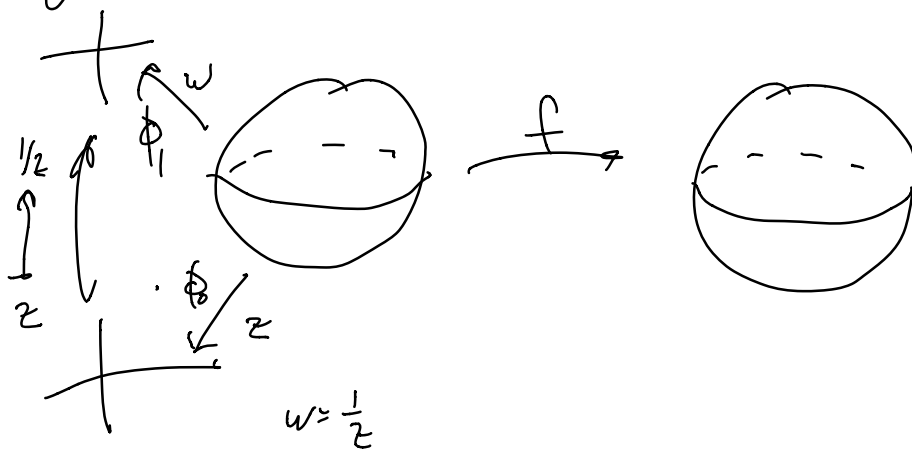
$$|f'(z)|_{\text{hyp}} = \frac{|f'(z)| \cdot |g(h(z))|}{|g(z)|}$$

Automorphisms of the complex plane.

Theorem. A holomorphic function $f: \mathbb{C} \rightarrow \mathbb{C}$ is in $\text{Aut}(\mathbb{C})$ if and only if $f(z) = az + b$ for some constants $a \neq 0$ and b .

Proof. Say that $f: \mathbb{C} \rightarrow \mathbb{C}$ is holomorphic and injective. We can view f as a hol. map $\mathbb{C} \xrightarrow{f} \mathbb{C}_\infty$.

Is it possible to extend f a holomorphic function whose domain is \mathbb{C}_∞ ?



If so then $f \circ \phi_i^{-1}$ would be meromorphic.

Write $F(w) = f(\frac{1}{w})$.

$f \circ \phi_i^{-1}(w) = f(\frac{1}{w})$ would have a pole at $w=0$.

Now F is defined in $\{0 < |w| < \beta\}$ and 0 is an isolated singular point.

It is either removable, a pole or an essential singularity. Which?

If it were essential then the image of the upper hemisphere $\{|z| > \beta\} = \{|w| < \beta\}$ would be dense in \mathbb{C} . But since f and hence F is injective the image of the upper hemisphere contains no points in the image of the lower hemisphere so 0 is not essential. In particular

∞ is a removable singularity

or a pole for F and
 f does extend a holomorphic map
from \mathbb{C}_∞ to \mathbb{C}_∞ (taking ∞ to a finite pt. or ∞).

So $f(z) = \frac{P(z)}{Q(z)}$. When is a rational
map injective? $f(z) = w$ has a unique
solution?

$$\frac{P(z)}{Q(z)} = w \quad \text{so} \quad P(z) = wQ(z)$$
$$P(z) - wQ(z) = 0.$$

This has a unique solution if $\max\{\deg P, \deg Q\}$

= 1 so $f(z) = \frac{az+b}{cz+d}$

since f takes w finite z to ∞ $f(z) = cz+b$
as claimed

$$\frac{P'Q - PQ'}{Q^2}$$

Carathéodory-Wierstrass

$f(D-\{a\})$ avoids a nbhd of w .

$z \mapsto \frac{1}{z-w}$ takes w to ∞ .

$z \mapsto \frac{1}{f(z)-w}$ avoids a nbhd. of ∞ so
it is bounded.

Add. function has a removable
singularity.

$$\frac{1}{f(z)-w} = g(z)$$

$$f(z)-w = \frac{1}{g(z)}$$

$f(z) = w + \frac{1}{g(z)}$ is meromorphic
or has a removable sing.