

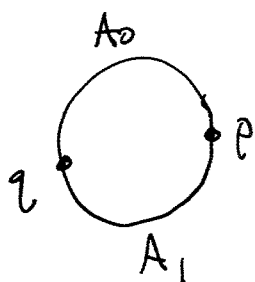
Thm. Let  $f: S \rightarrow S$  be an expanding map from the circle to itself with degree 2. Let  $\Sigma_2$  be the sequence space on the symbols 0 and 1.

Let  $\sigma: \Sigma_2 \rightarrow \Sigma_2$  be the left shift. Then there is a semi-conjugacy  $h: \Sigma_2 \rightarrow S$  so that  $h \sigma = f h$ .

Proof.  $f$  has a unique fixed point.

Denote it by  $p$ .  $f^{-1}(p)$  contains 2 points one of which is  $p$ . Let  $q$  be the second point.

Let  $A_0 = (p, q)$  and  $A_1 = (q, p)$ . Thus  $S = A_0 \cup A_1 \cup \{p, q\}$ .



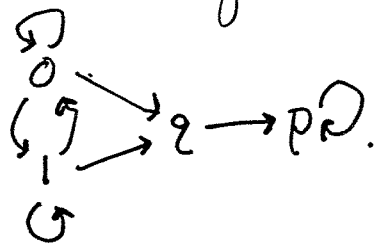
Fix an  $n \geq 1$ . Given  $x \in S$  we can consider its trajectory of length  $n$ :  $x, f(x), f^2(x) \dots f^{n-1}(x)$ .

We associate to  $x$  a sequence of  $n$ -symbols  $w_0 \dots w_{n-1}$  where:

$$w_j = \begin{cases} 0 & \text{if } f^j(x) \in A_0 \\ 1 & \text{if } f^j(x) \in A_1 \\ p & \text{if } f^j(x) = p \\ q & \text{if } f^j(x) = q \end{cases}$$

$S$  decomposes into subsets with different itineraries  $w_0 \dots w_{n-1}$ . We want to understand this decomposition.

Note that itineraries follow the transitions given by:



A vertex of level  $n$  corresponds to a word  $w_0 \dots w_{n-1}$  which contains a  $p$  or  $q$ .

For a word  $w_0 \dots w_{n-1}$  containing only 0's and 1's we write  $A_{w_0 \dots w_{n-1}}$  for the set of  $x$  with  $n$ -step itinerary  $w_0 \dots w_{n-1}$ .

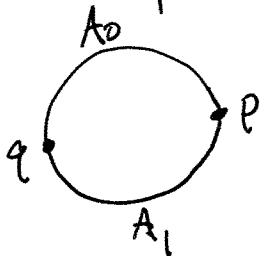
Claim. ① For any  $w_0 \dots w_{n-1} \in \Sigma_2$   
 $A_{w_0 \dots w_{n-1}}$  is a non-empty open interval.

② The closure  $\bar{A}_{w_0 \dots w_{n-1}}$  is a closed interval with boundary consisting of vertices of level  $n$ .

③  $f^n|_{A_{w_0 \dots w_{n-1}}}$  is a homeomorphism onto S-P.  $f^{n-1}|_{\bar{A}_{w_0 \dots w_{n-1}}}$  is a homeomorphism onto  $\bar{A}_{w_{n-1}}$ .

We prove the claim by induction.

For  $n=1$  this is a statement about the decomposition and is easily seen to hold.



Assume the statement for  $n$  and we will prove it for  $n+1$ .

Let  $w_0 \dots w_n$  be a word of 0's and 1's of length  $n+1$ .

The definition of itinerary shows that  $A_{w_0 \dots w_n}$  is a subset of  $A_{w_0 \dots w_{n-1}}$ .

It also shows that  $A_{w_0 \dots w_n}$  consists of those points  $x$  in  $A_{w_0 \dots w_{n-1}}$  for which  $f^n(x) \in A_{w_n}$ . By induction  $f^n|_{A_{w_0 \dots w_{n-1}}}$  is a homeomorphism <sup>to S.P.</sup>. Let  $g = f^n|_{A_{w_0 \dots w_{n-1}}}$ .  $g^{-1}(A_{w_n})$  is an interval since  $A_{w_n}$  is an interval.

Length estimate for intervals  $A_{ub} \dots a_{n-1}$ .

Recall that  $f'$  is continuous and  $|f'(x)| \geq \epsilon > 0$

since the circle is compact this implies

$|f'(x)| \geq |f'(x_0)| = k > 0$ . So we have a uniform

estimate. Now  $f^n: A_{ub} \dots a_{n-1} \rightarrow [p, p+1]$  is 1-1

$$\text{so } \int_{A_{ub} \dots a_{n-1}} (f^n)'(x) dx = (p+1) - p = 1$$

$$\begin{aligned} \text{But } 1 &= \int_A (f^n)'(x) dx = \int_A f'(x) \cdot f'(f(x)) \cdot \dots \cdot f'(f^{n-1}(x)) dx \\ &\geq \int_A k^n dx \\ &= k^n \cdot |A| \end{aligned}$$

which gives  $|A| \leq \frac{1}{k^n}$ .

Now we construct the semi-conjugacy  
from  $\Sigma_2$  to  $S = \mathbb{R}/\mathbb{Z}$ .

Let  $\omega = \omega_0 \omega_1 \dots$  be an infinite sequence.

Consider  $\bigcap_{n=0}^{\infty} \bar{A}_{\omega_0 \dots \omega_n}$ .

These intervals are nested and decreasing  
in length to zero, so they the intersection  
is a single point. Call it  $h(\omega)$ .

$h$  is continuous. Given  $\varepsilon > 0$  choose an  $n$   
so that  $\frac{1}{k^n} \leq \varepsilon$ . Let  $\delta = \frac{1}{2^n}$ . If  $d(\omega, \omega') \leq \frac{1}{2^n} = \delta$   
then  $\omega_0 \dots \omega_{n-1} = \omega'_0 \dots \omega'_{n-1}$  so  $h(\omega)$  and  $h(\omega')$   
are in  $\bar{A}_{\omega_0 \dots \omega_{n-1}}$  which has diameter  $\leq \frac{1}{k^n} = \varepsilon$ .

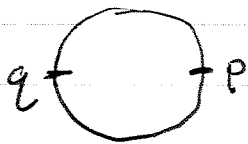
$h$  is a semi-conjugacy.  
 $f \circ h = h \circ \sigma$ .

Holder continuity but  
no better.

$$\begin{aligned} f(h(\omega)) &= f\left(\bigcap_{n=0}^{\infty} \bar{A}_{\omega_0 \dots \omega_n}\right) = \bigcap_{n=0}^{\infty} f(\bar{A}_{\omega_0 \dots \omega_n}) \\ &= \bigcap_{n=0}^{\infty} \bar{A}_{\omega_1 \dots \omega_n} \\ &= h(\sigma(\omega)). \end{aligned}$$

When does  $h$  map two points  $w, w'$

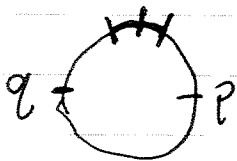
When do two points map to have the same image under  $h$ ?  $h(w) = h(w')$ ?



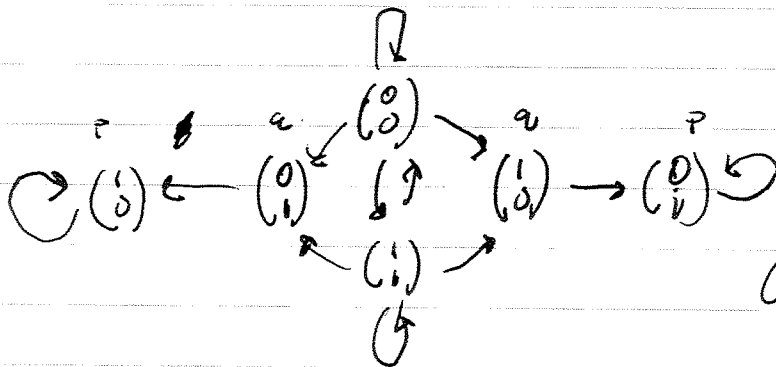
Either  $w_0 = w'_0$

This means that for each finite sequence  $w_0 \dots w_{n-1}, w'_0 \dots w'_{n-1}$  the sets  $A_{w_0 \dots w_{n-1}}$  and  $A_{w'_0 \dots w'_{n-1}}$  intersect.

There are 4 cases  $w_0 = w'_0 = 0$  or  $w_0 = w'_0 = 1$

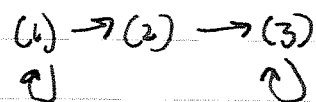


or  $w_0 \neq w'_0$  and  $w_0 w'_0 = q$   
 or  $w_0 \neq w'_0$  and  $w_0 w'_0 = p$ .



if  $A_{w_0}$

Allowable transitions



going from (2) to (3) the symbols switch

We can say

Prop.

$$= w_0 w_1 \dots$$

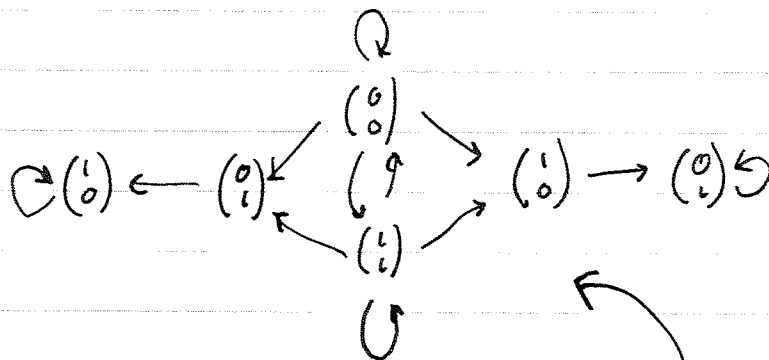
$$= w_0' w_1' \dots$$

Two sequences  $w$  and  $w'$  have the same

image under  $\iota$  if the sequence of pairs

$$\begin{pmatrix} w_0 \\ w_0' \end{pmatrix} \begin{pmatrix} w_1 \\ w_1' \end{pmatrix} \dots \text{ is compatible with the}$$

graph:



Example.

$$0110000\dots$$

$$0101111\dots$$

$$\begin{pmatrix} 0 \\ 0 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \dots$$

or

$$0000\dots$$

$$1111\dots$$

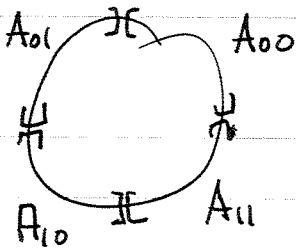
$$\begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} \dots$$

This graph also determines when 2 binary expansions represent the same number.



Recall that when we were coding intervals we described the ~~coding~~ itineraries of

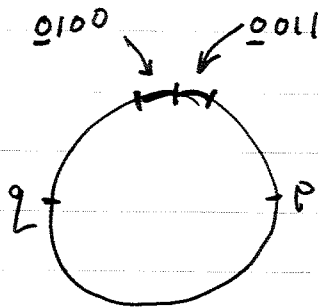
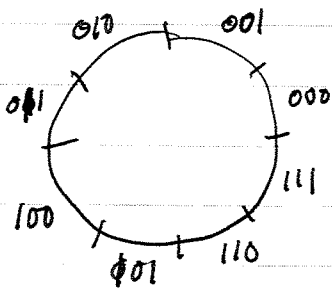
the interior points.



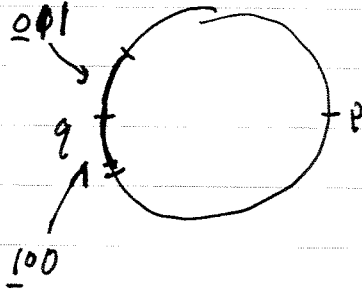
When the coding of the boundary points is

ambiguous, instead of coding the boundary

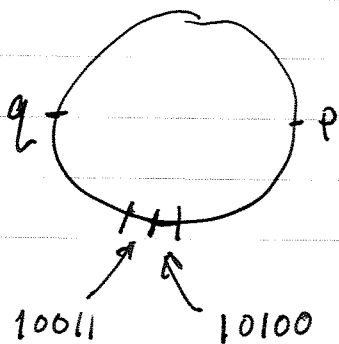
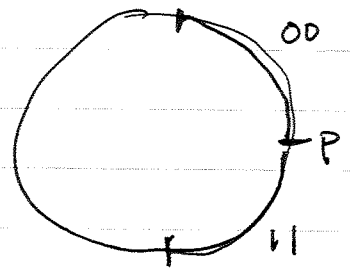
points we want to compare the codes of the two intervals on either side.



→



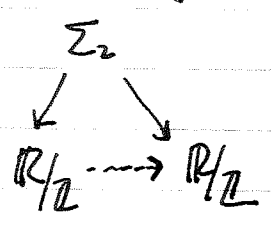
→



(8)

Prove that  $f_n$  and  $f_m$  are only top. conj. if  $n \equiv m \pmod{2}$

Skem. If  $f$  and  $g$  are expanding maps of degree  $\neq \pm 2$  then  $f$  and  $g$  are topologically conjugate.



Quotient topology?

Very different from circle homeomorphisms

Skem. If  $f$  is an expanding map then periodic points are dense,  $f$  is top. transitive.