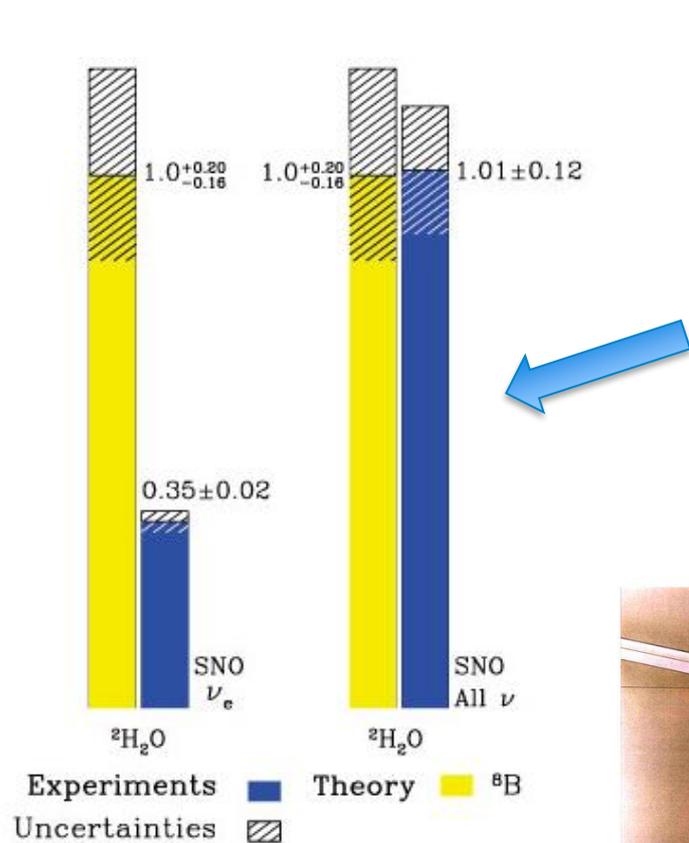


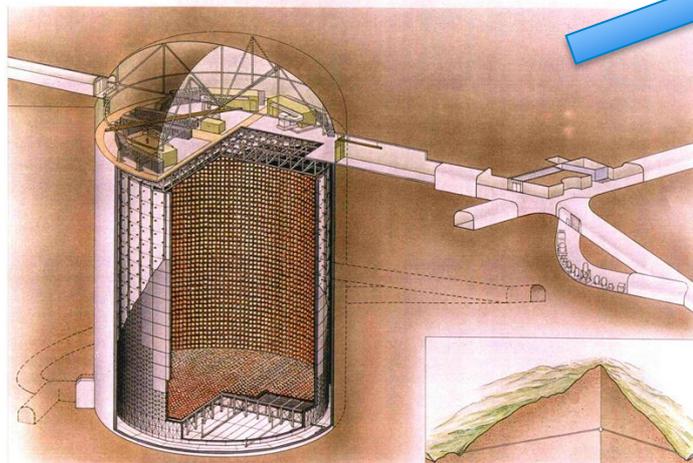
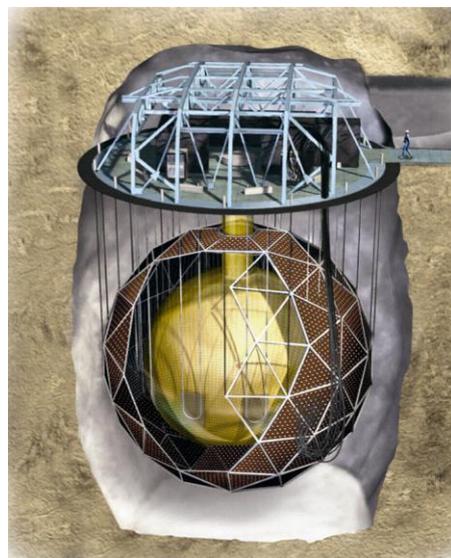
# Long-baseline neutrino oscillation physics in Japan

Mark Scott  
University of Warwick  
10th June 2021

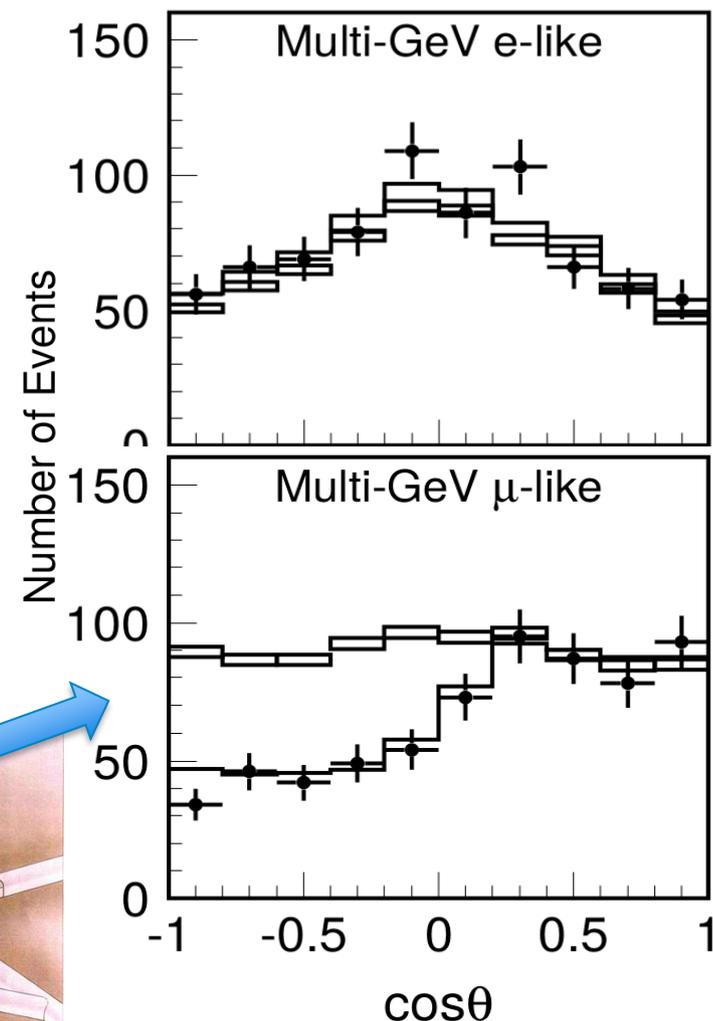
# Neutrino Oscillations



Art McDonald  
2015 Nobel



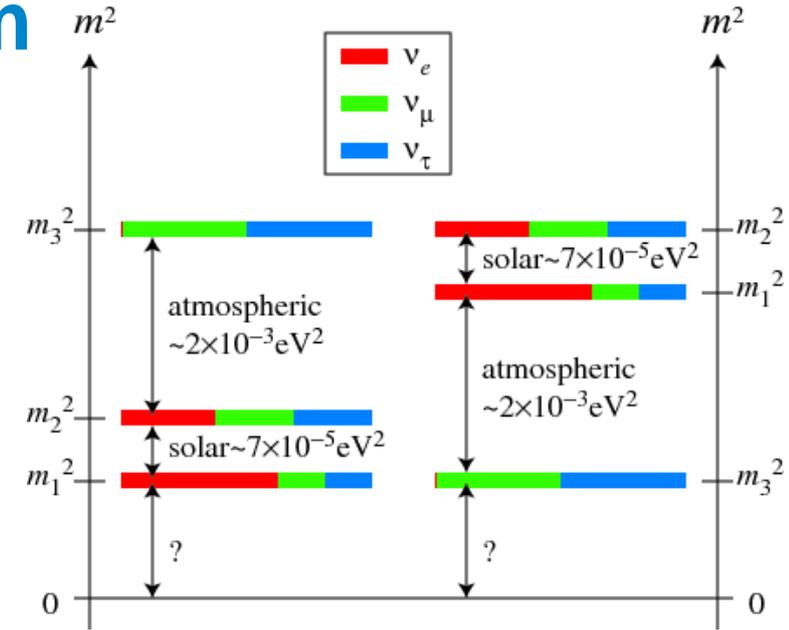
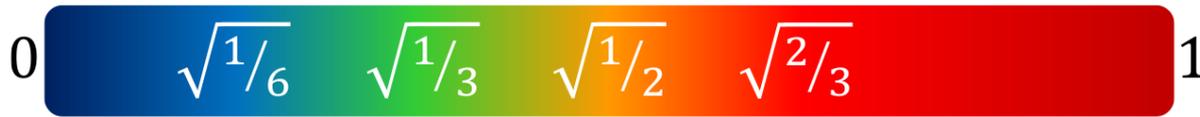
SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO



Takaaki Kajita  
2015 Nobel

# Neutrino Oscillation Formalism

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



$$\begin{aligned} c_{ij} &= \cos \theta_{ij} \\ s_{ij} &= \sin \theta_{ij} \\ \delta &= \delta_{\text{CP}} \end{aligned}$$

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}$$

Atmospheric / Beam

$$\begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix}$$

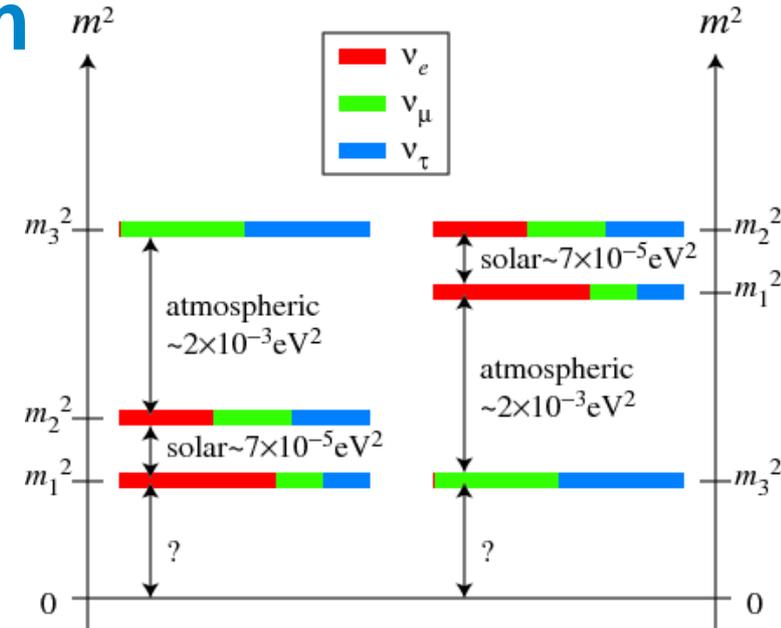
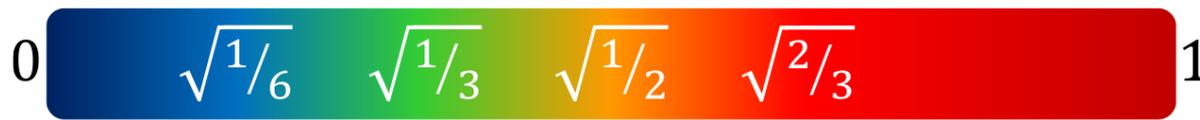
Beam / Reactor

$$\begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Reactor / Solar

# Neutrino Oscillation Formalism

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



What **do** we know?

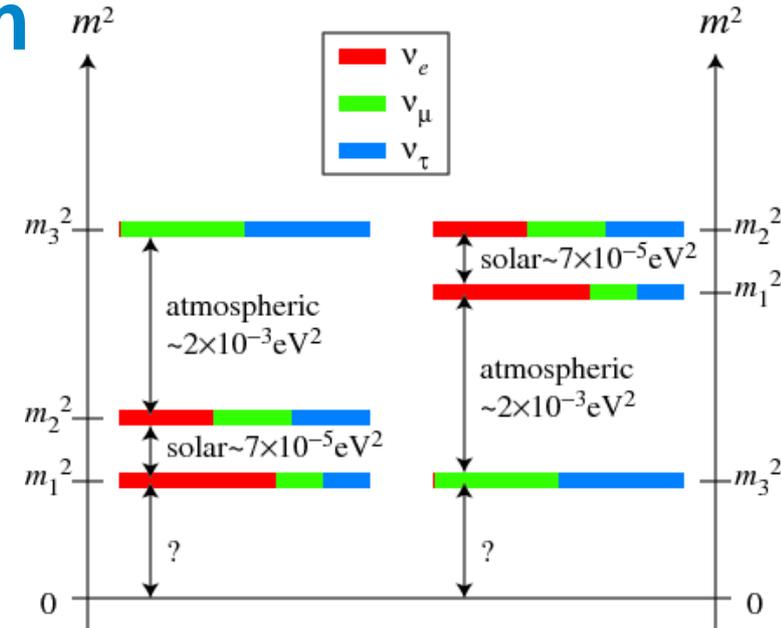
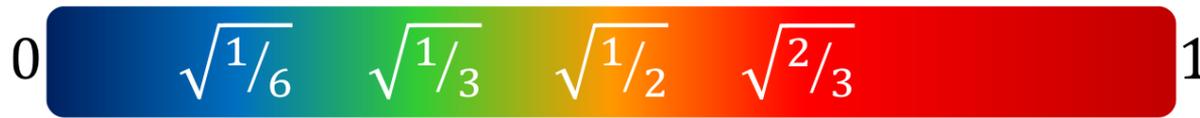
- $\theta_{23} = 45.6^\circ \pm 2.3^\circ$
- $\theta_{13} = 8.3^\circ \pm 0.2^\circ$
- $\theta_{12} = 33.6^\circ \pm 0.8^\circ$
- $|\Delta m_{32}^2| = (2.45 \pm 0.05) \times 10^{-3} \text{ eV}^2 \text{c}^{-4}$
- $\Delta m_{21}^2 = (7.53 \pm 0.18) \times 10^{-5} \text{ eV}^2 \text{c}^{-4}$

What **don't** we know?

- Is  $\theta_{23} == 45^\circ$  (octant)?
- Is  $\Delta m_{32}^2 > 0$  (mass ordering)?
- Do neutrinos violate CP-symmetry?
- New physics?

# Neutrino Oscillation Formalism

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$



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What **don't** we know?

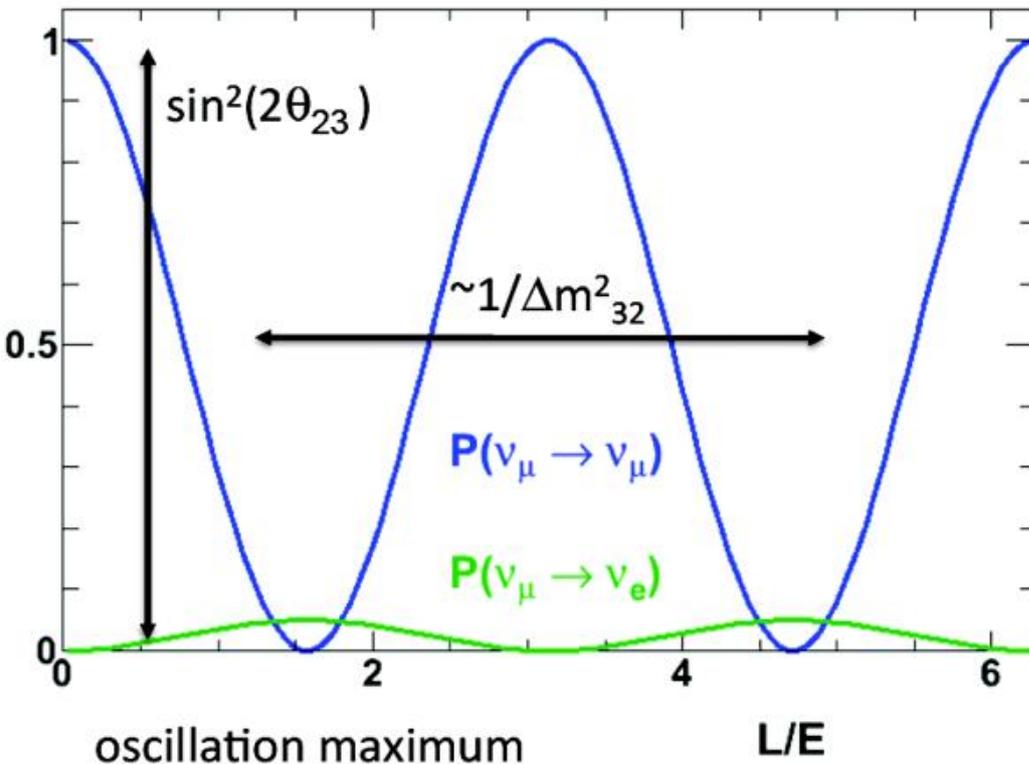
- Is  $\theta_{23} == 45^\circ$  (octant)?
- Is  $\Delta m^2_{32} > 0$  (mass ordering)?
- Do neutrinos violate CP-symmetry?
- New physics?

# Long-baseline neutrino experiments

- Leading order oscillation probabilities for  $\nu_\mu$  survival and  $\nu_e$  appearance

$$P(\nu_\mu \rightarrow \nu_\mu) \cong 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$



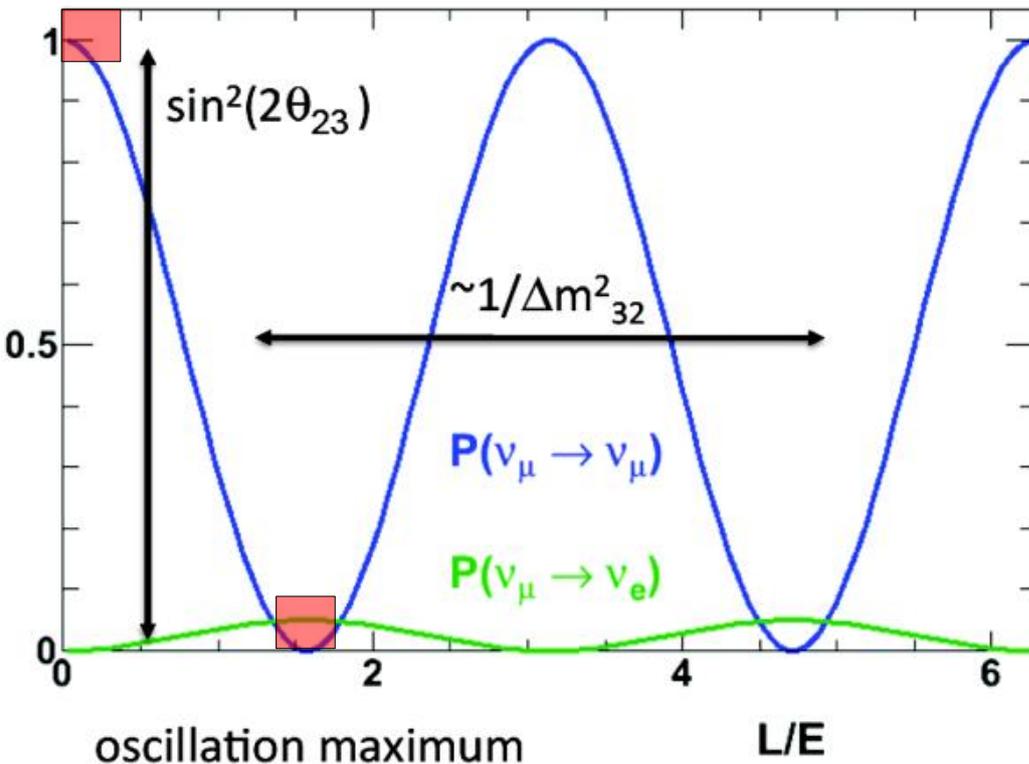
# Long-baseline neutrino experiments

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$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$

- Need to sample spectrum at different values of  $L/E$
- Build two detectors
- One close to neutrino source
- Other at maximal oscillation



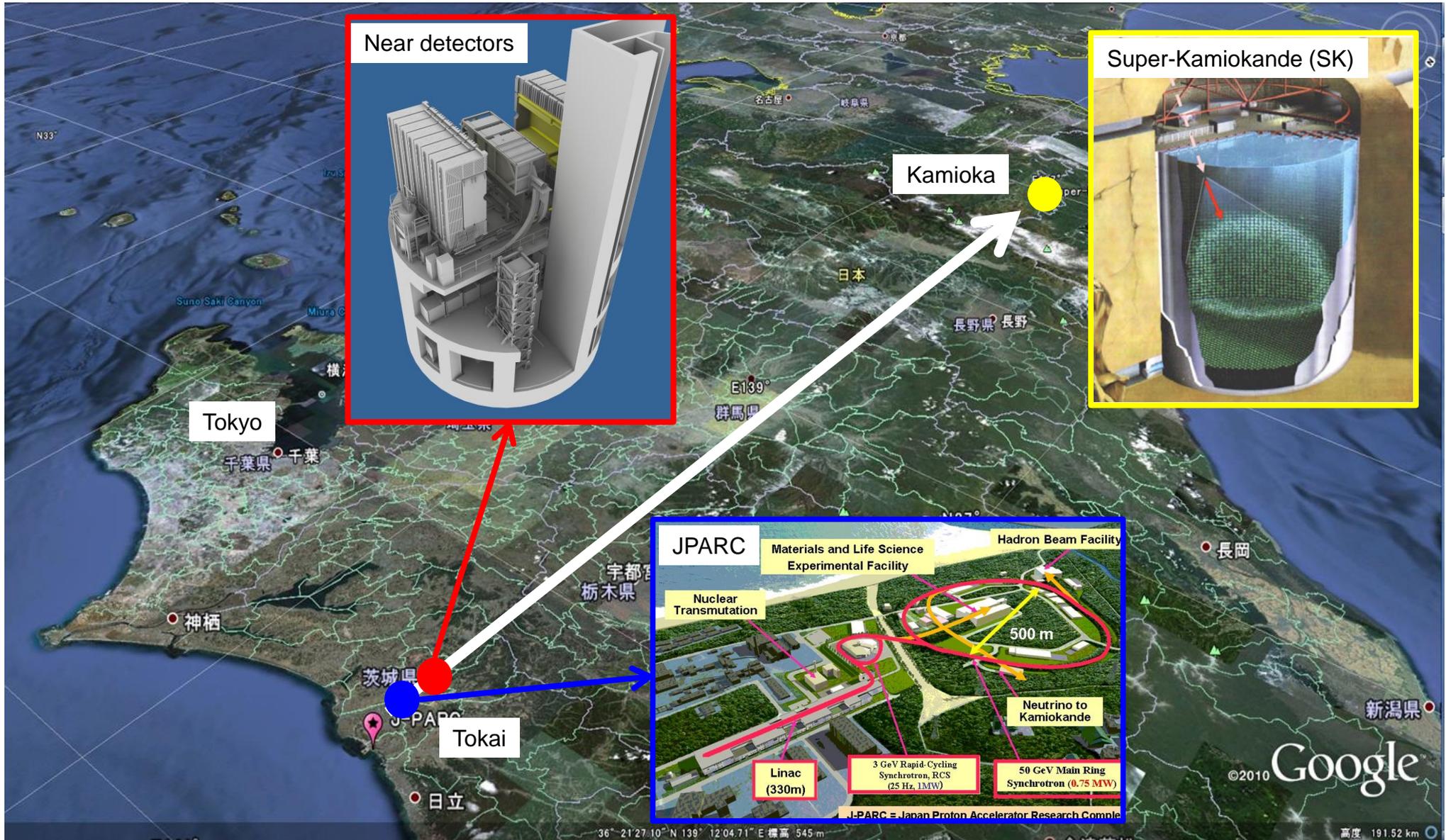
## CP violation in neutrino oscillation

$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_\nu} \right) \left( 1 + \frac{2a}{\Delta m_{31}^2} (1 - 2\sin^2 \theta_{13}) \right) \quad \text{Leading including matter effect}$$

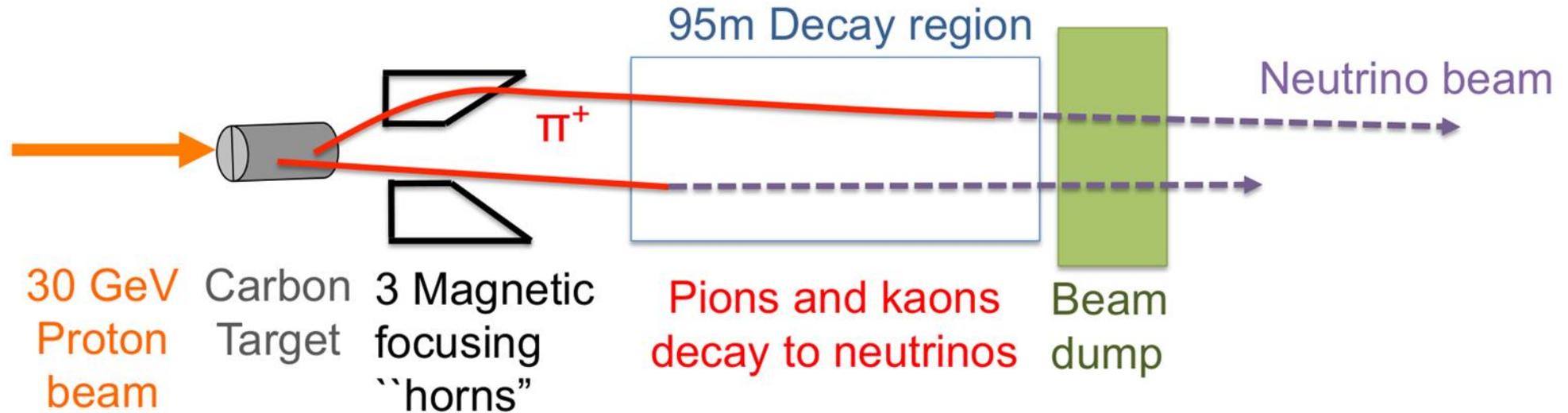
$$- \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E_\nu} \right) \sin \left( \frac{\Delta m_{21}^2 L}{4E_\nu} \right) \quad \text{CP violating}$$

- Probability for  $\nu_e$  appearance around the oscillation maximum, including CP-violating term
  - $\delta \rightarrow -\delta$  when switching from neutrinos to antineutrinos
- Can measure  $\delta_{\text{CP}}$  by comparing rate of electron neutrino appearance to rate of electron antineutrino appearance
  - Can also use absolute rate for neutrinos/antineutrinos if other oscillation parameters known well enough

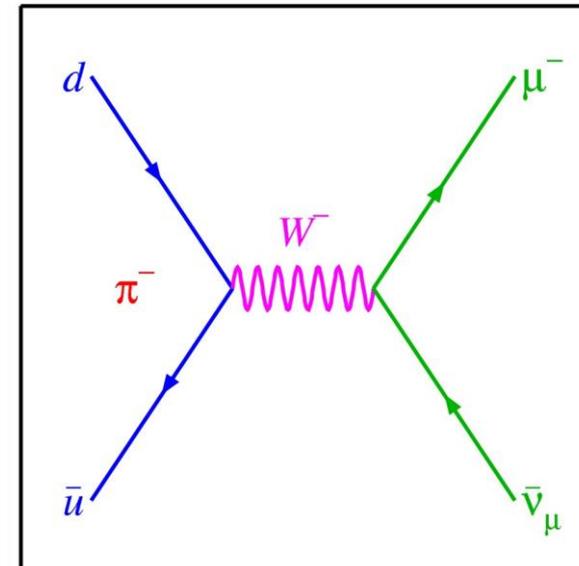
# Tokai to Kamioka Experiment – T2K



# Neutrino beams

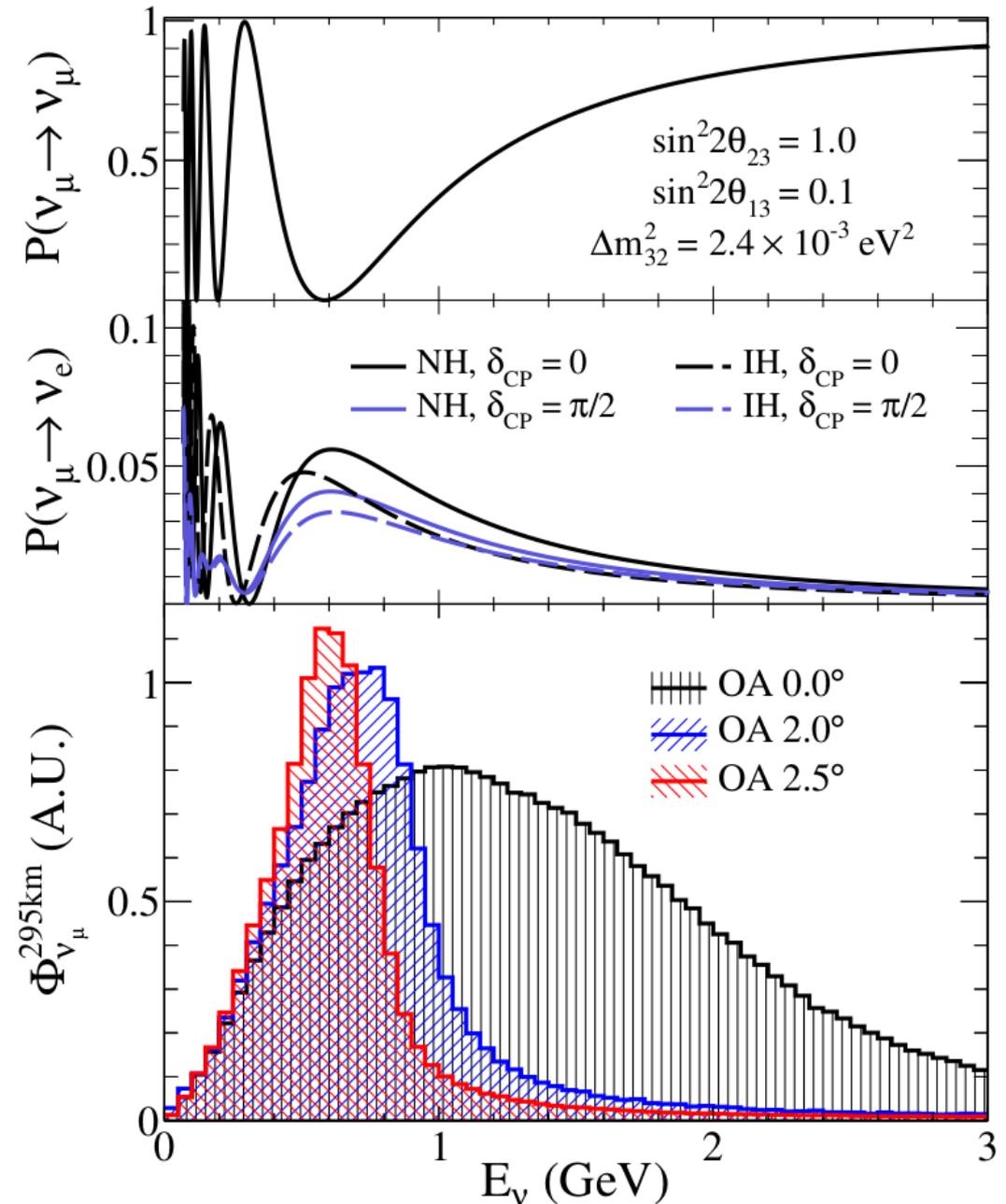


- Proton beam collides with fixed target to produce charged mesons
- Focus positive or negative mesons to produce neutrino-dominated or antineutrino-dominated beam
- Wait for pions to decay into neutrinos



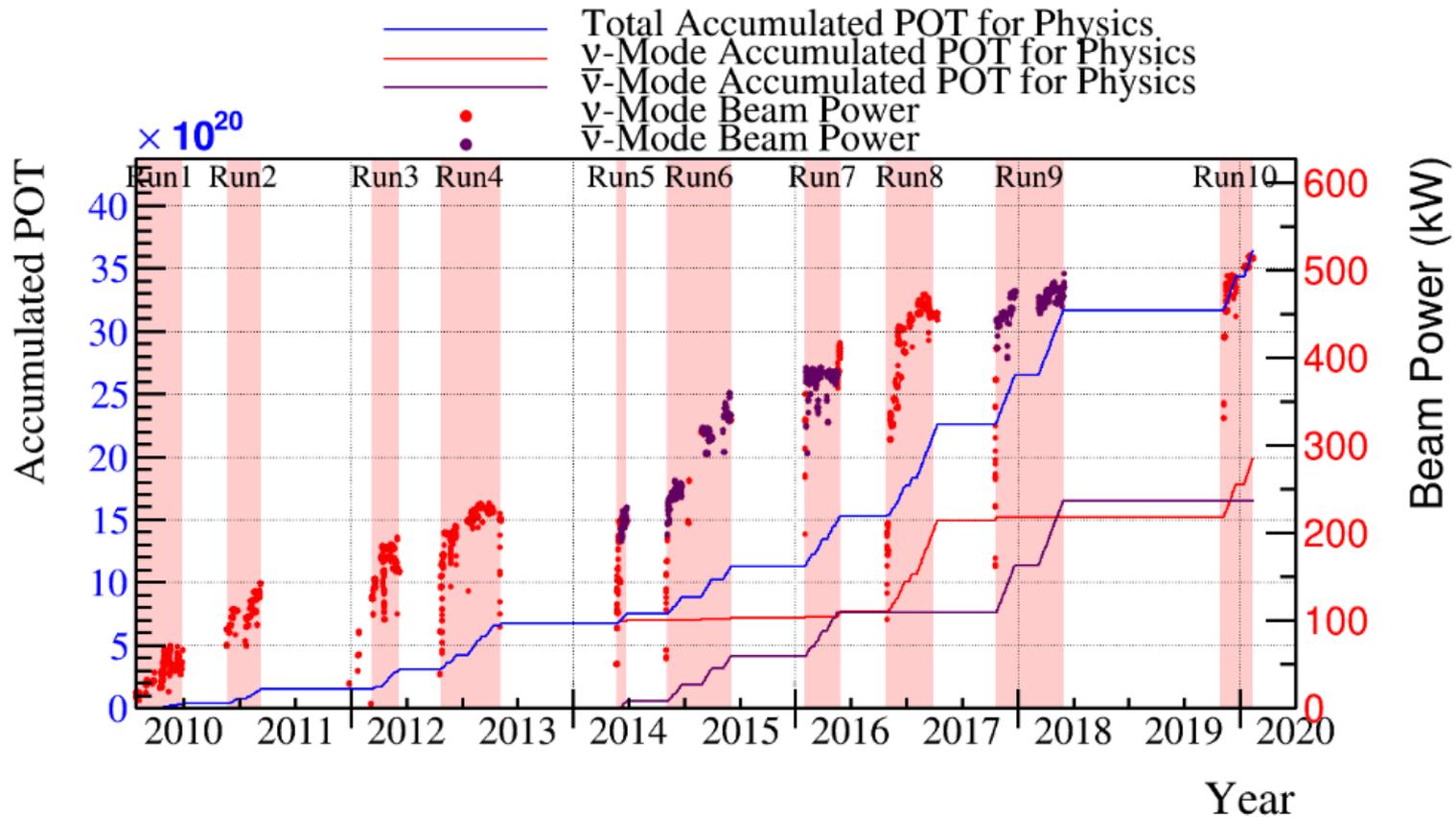
## Off-axis beams

- Two-body pion decay
  - Angle and energy of neutrino directly linked
- Moving off axis:
  - Lower peak energy
  - Smaller high energy tail
  - Less energy spread
- T2K is at 2.5° off-axis



# Integrated POT (Full T2K - up to Run 10 )

23 Jan 2010 - 12 Feb 2020



23 Jan 2010 - 12 Feb 2020

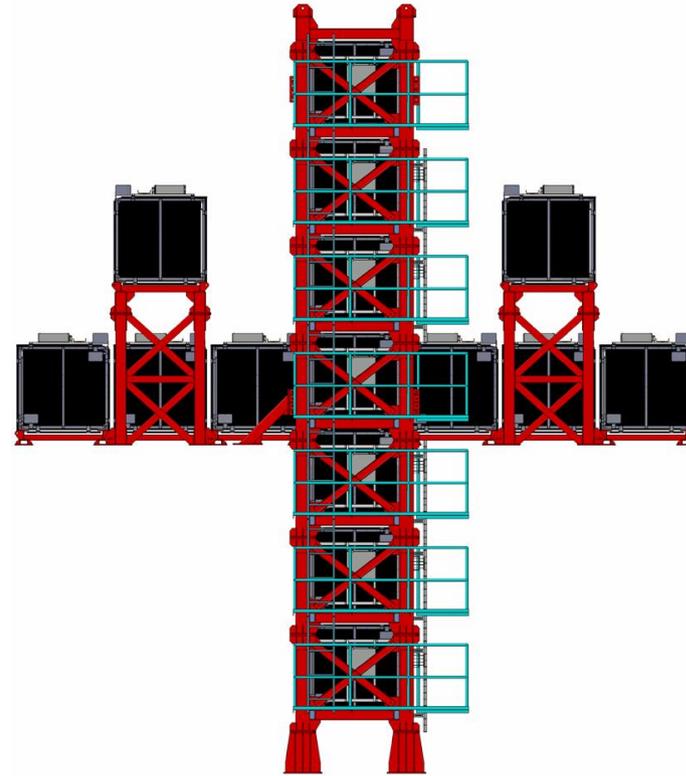
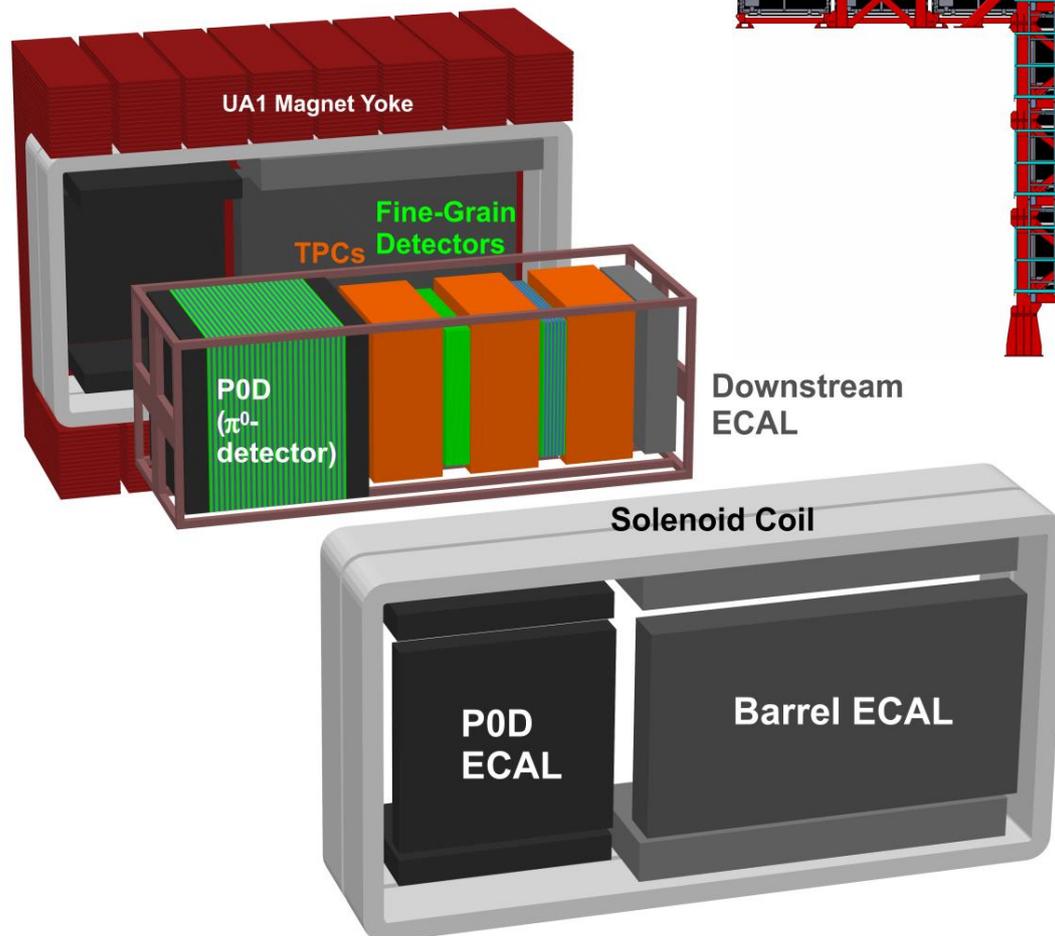
$\nu$  mode :  $1.99006 \times 10^{21}$  (54.7%)

POT Total :  $3.64059 \times 10^{21}$

$\bar{\nu}$  mode :  $1.65053 \times 10^{21}$  (45.3%)

(maximum power 522.627 kW)

## Near detectors



## INGRID

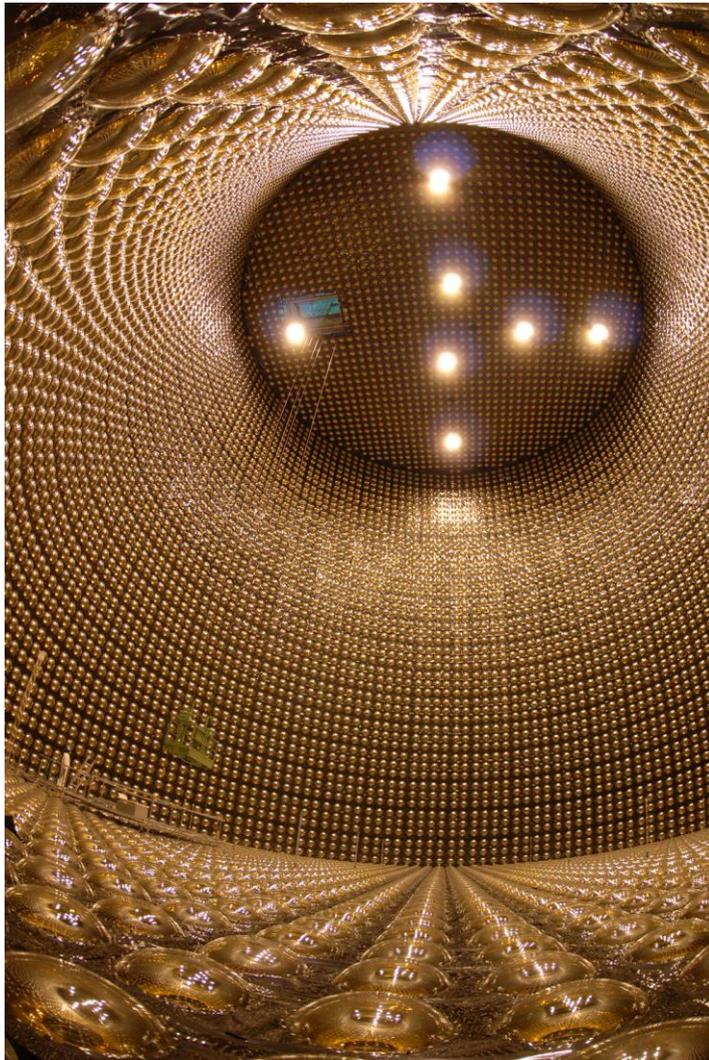
- Measure direction of neutrino beam
- Ensure stable beam operation
- Tune neutrino flux prediction

## ND280

- Measure neutrino flux and cross section before oscillation
- UA1 magnet allows separation of neutrino and antineutrinos
- Oscillation analysis focuses on muon (anti-)neutrino samples

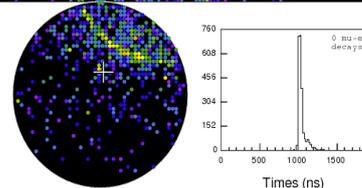
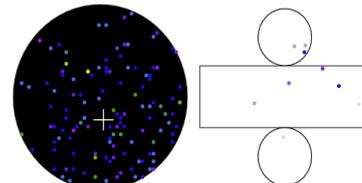
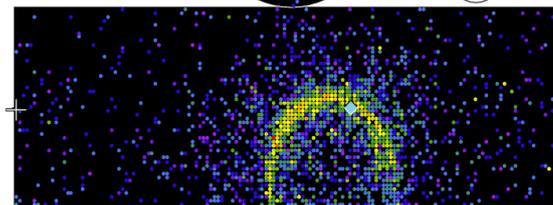
# Super-Kamiokande

- 40,000 tons of ultra pure water
- 11,000 photo-multiplier tubes (PMTs)
- 1km overburden
- Separate electrons and muons by ring shape
  - Mis-ID <1%
  - No sign selection



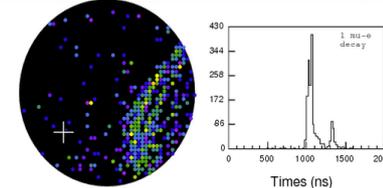
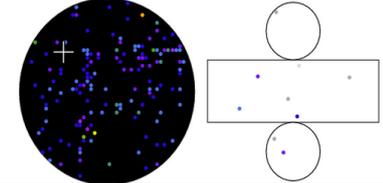
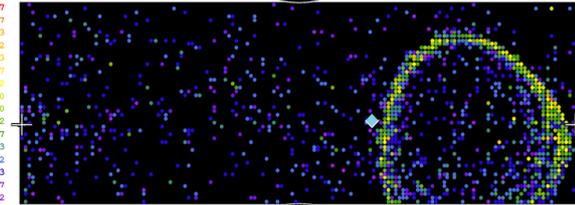
Super-Kamiokande IV  
Run 99999 Sub 0 Event 5  
11-11-21:16:50  
2360 hits, 5844 pe  
4 hits, 4 pe  
2.9x07  
1266.6 cm  
32.5 MeV  
p = 622.5 MeV/c

(pe)  
26.7  
26.7  
26.7  
20.2  
17.3  
14.7  
12.2  
10.0  
8.0  
6.2  
4.7  
3.3  
2.2  
1.3  
0.7  
0.2



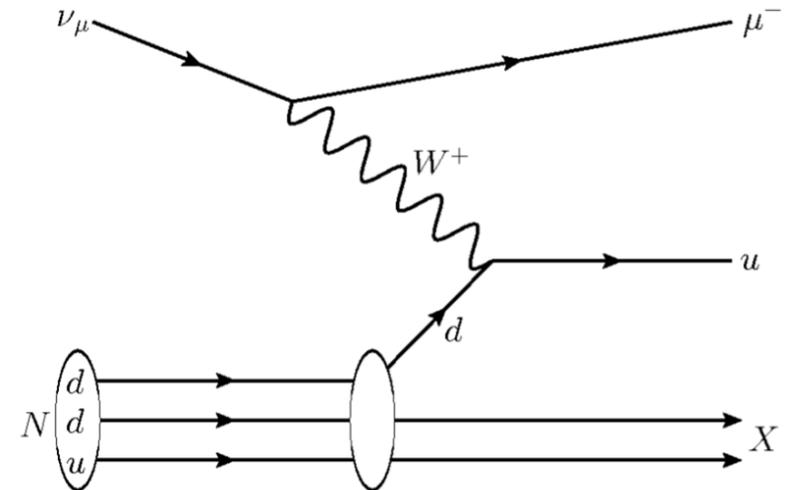
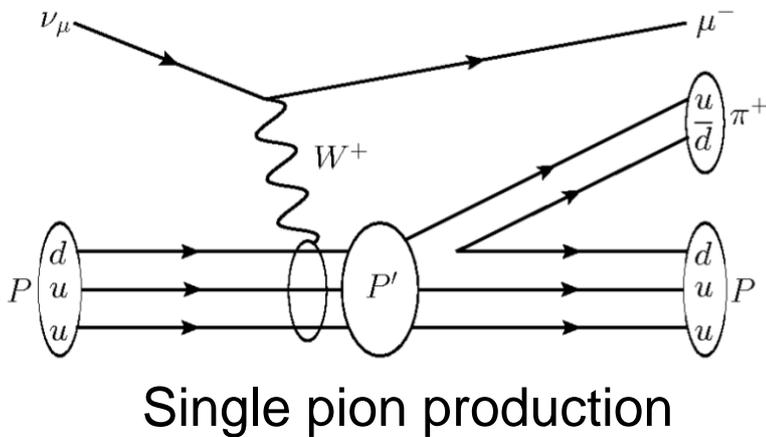
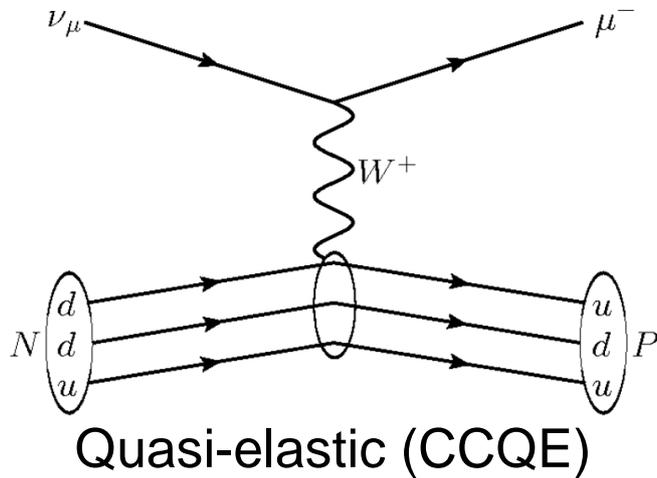
Super-Kamiokande IV  
Run 99999 Sub 0 Event 103  
11-11-21:09:42:21  
Inner: 1796 hits, 4245 pe  
Outer: 4 hits, 3 pe  
Trigger: 0x07  
D\_wall: 594.8 cm  
Evis: 472.1 MeV  
mu-like, p = 617.0 MeV/c

Charge (pe)  
26.7  
23.3-26.7  
20.2-23.3  
17.3-20.2  
14.7-17.3  
12.2-14.7  
10.0-12.2  
8.0-10.0  
6.2- 8.0  
4.7- 6.2  
3.3- 4.7  
2.2- 3.3  
1.3- 2.2  
0.7- 1.3  
0.2- 0.7  
< 0.2

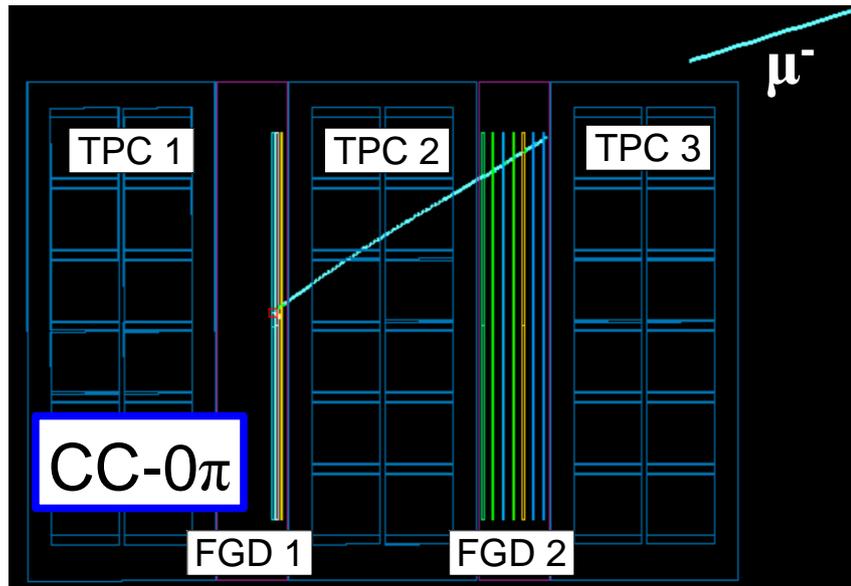


# Neutrino interactions

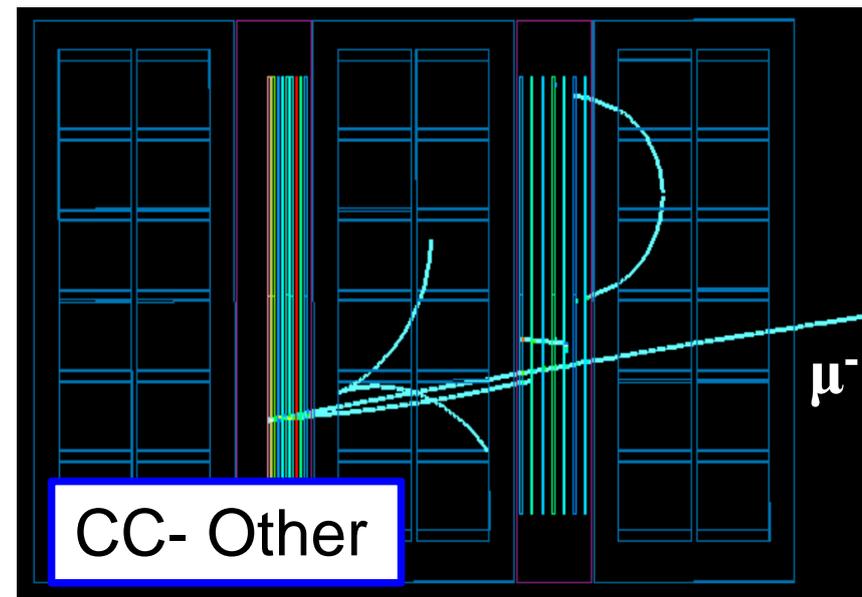
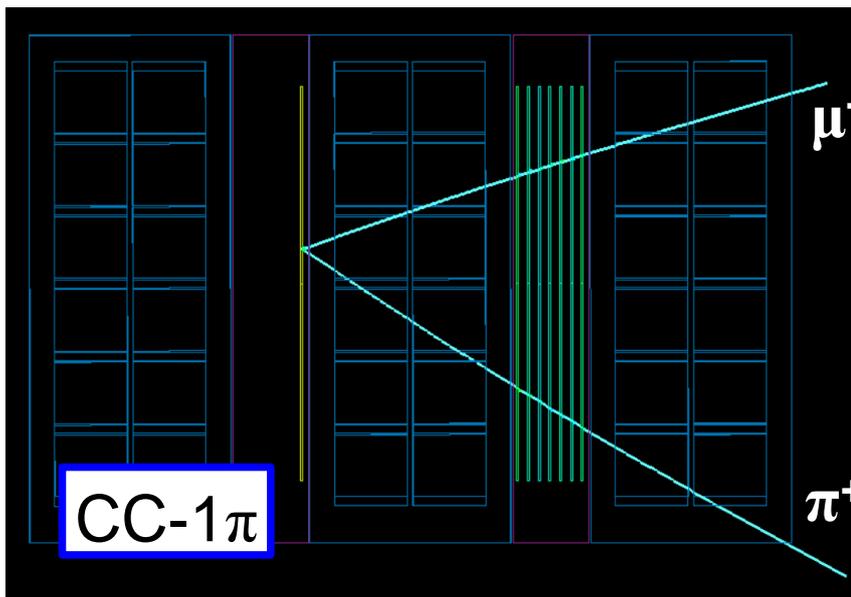
- Three principal types of neutrino interaction
- Occur as both charged current (CC) and neutral current processes



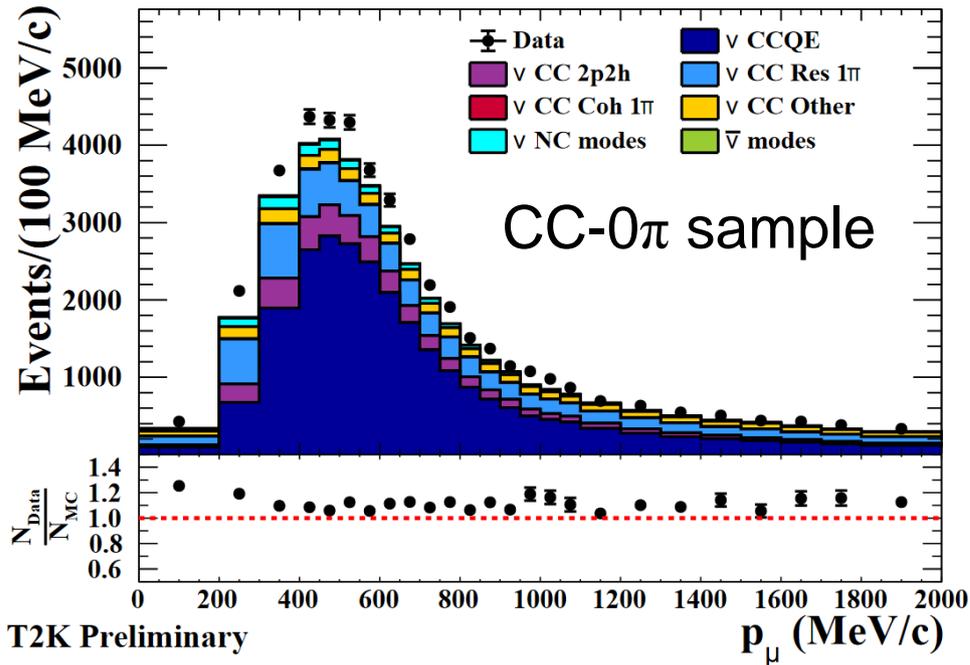
# ND280 data



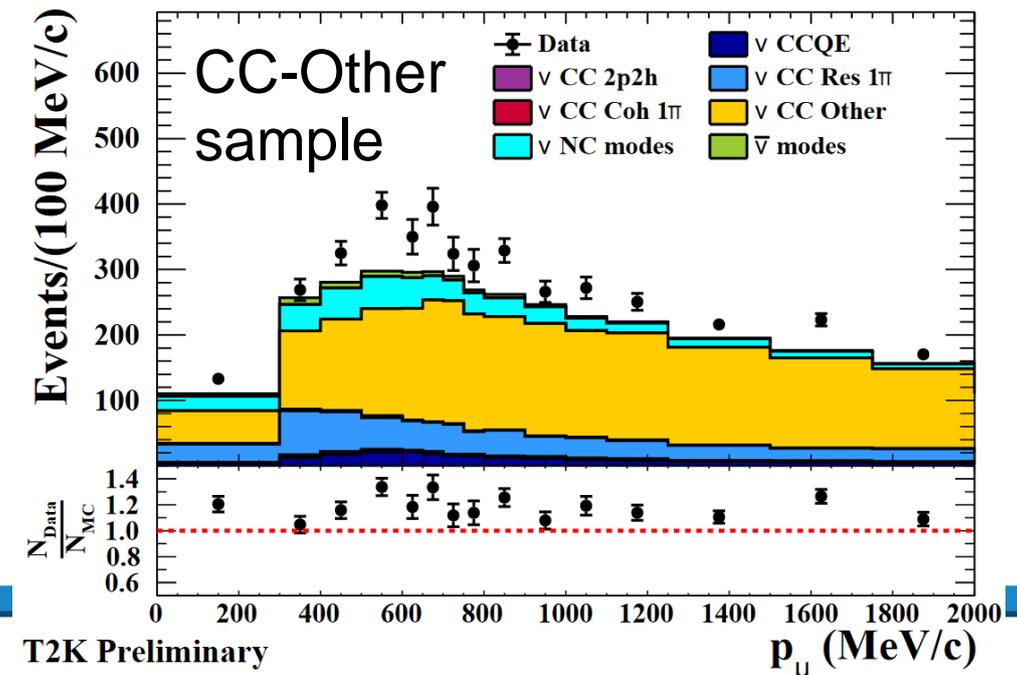
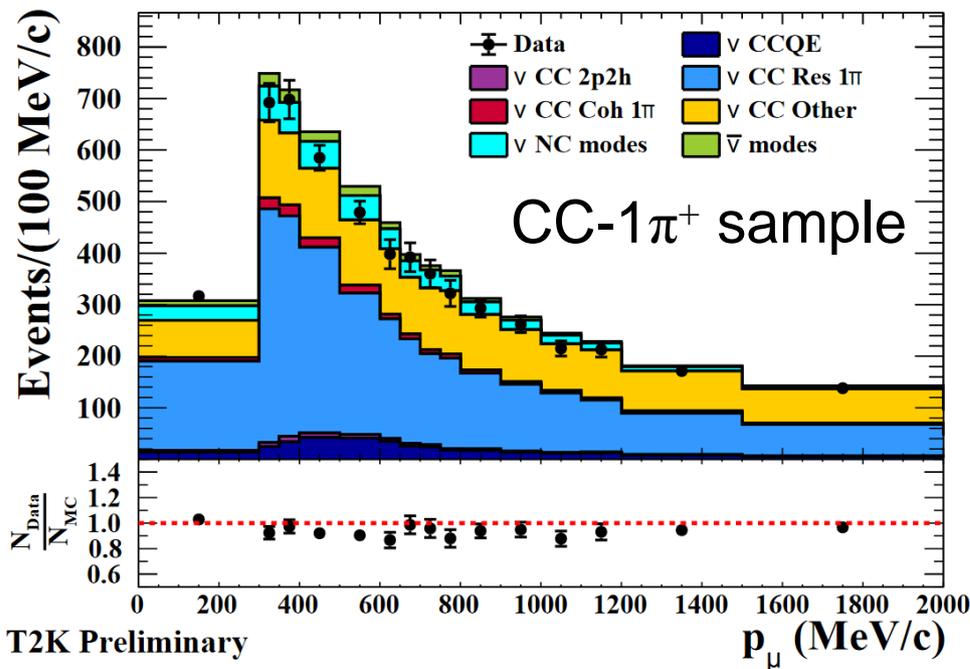
- Three principal types of neutrino interaction
- Occur as both charged current (CC) and neutral current processes



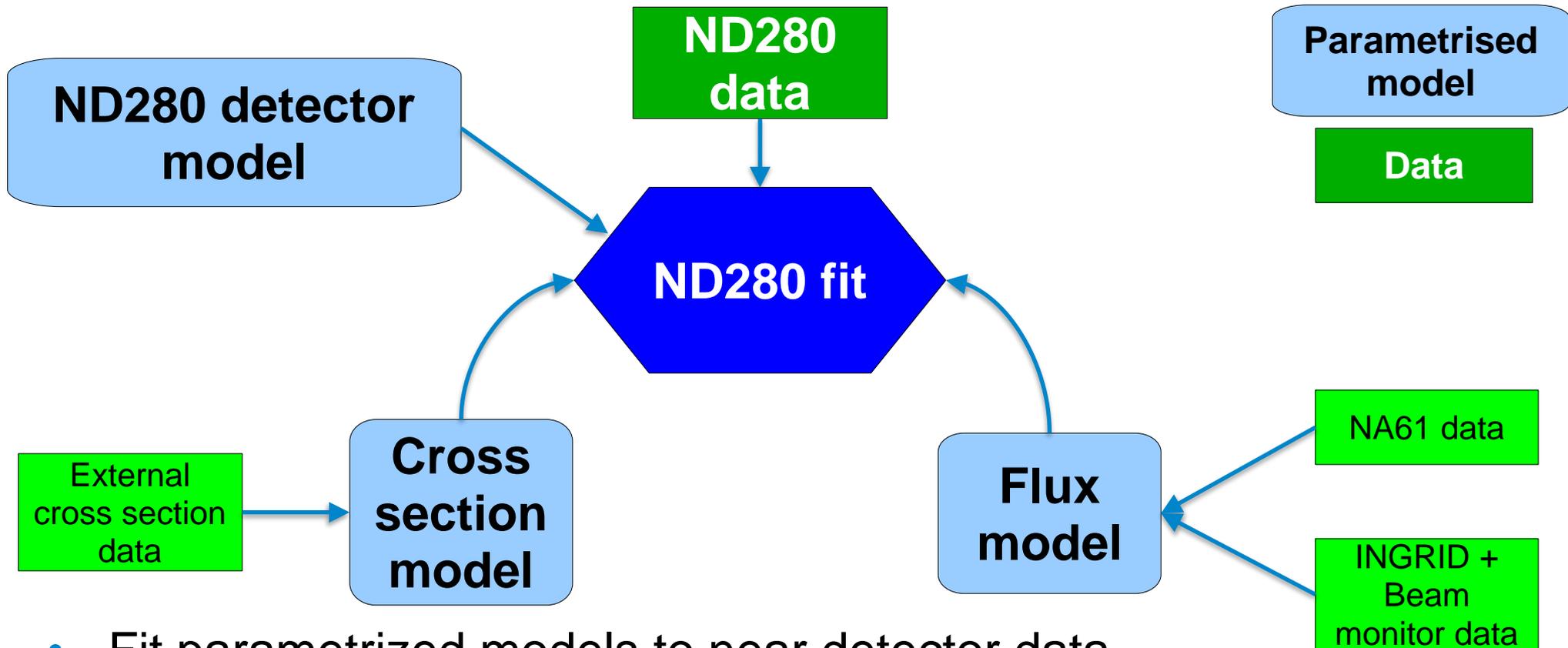
# ND280 event samples



- Select highest momentum, muon-like, negative (positive) track as neutrino (antineutrino) candidate
- Count the number of tagged charged or neutral pions

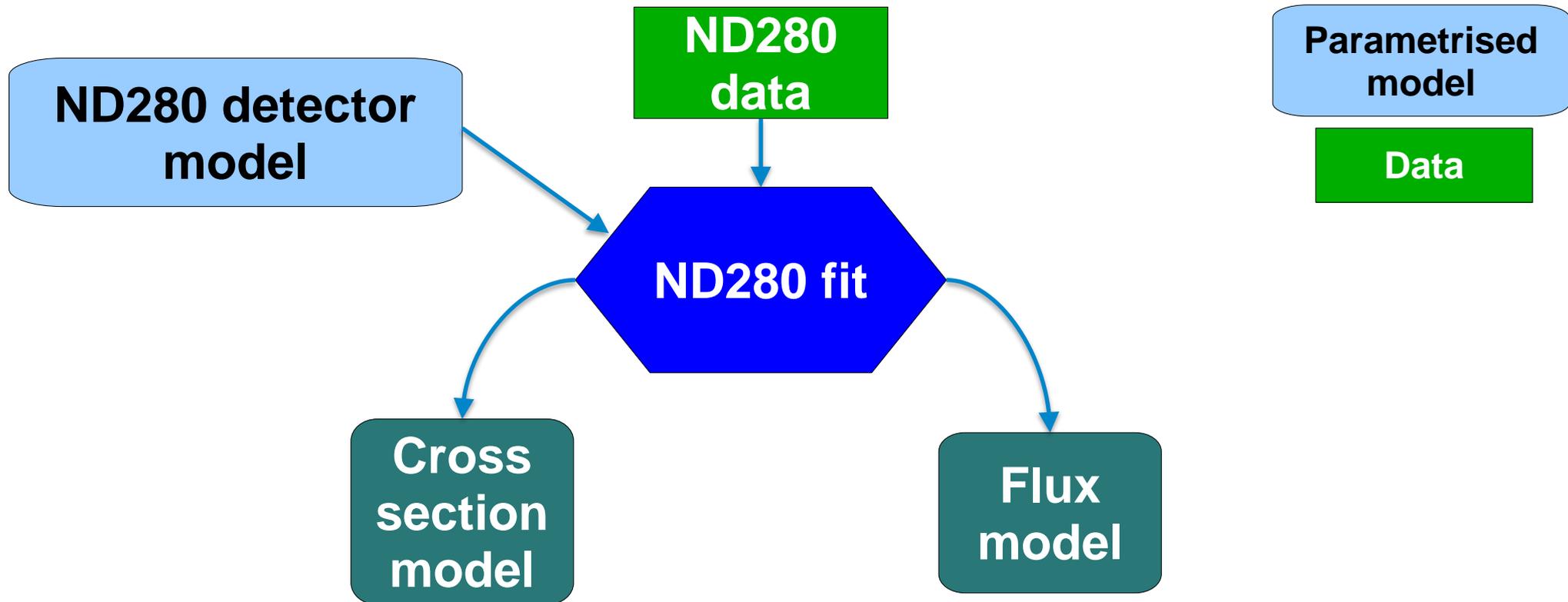


## Near detector analysis



- Fit parametrized models to near detector data
  - Two separate analysis, Markov Chain MC and Minimisation, Bayesian and Frequentist methods

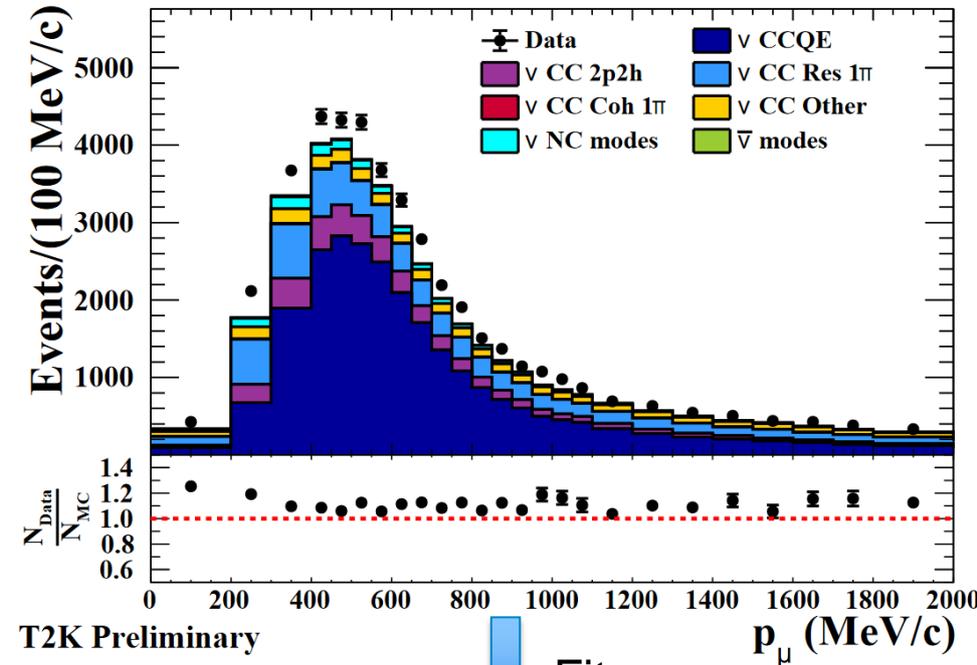
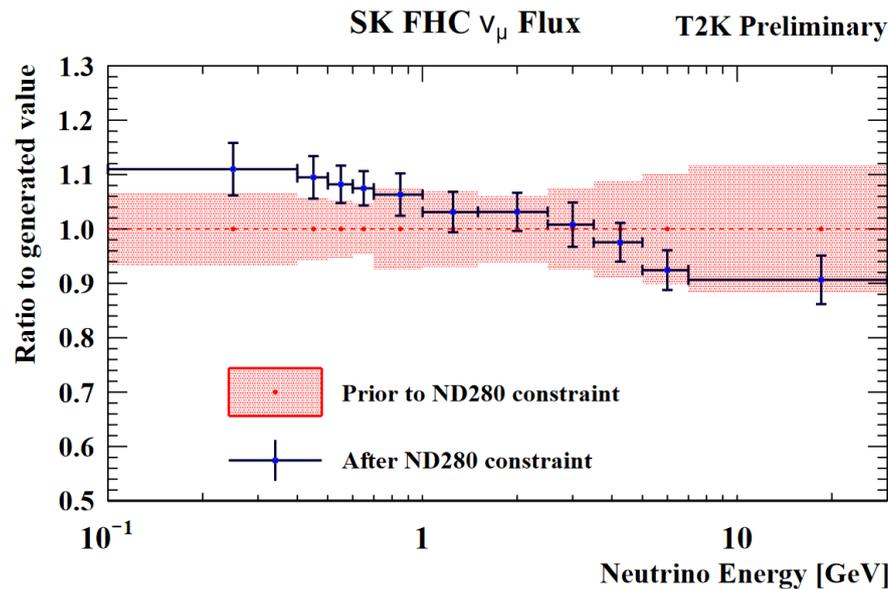
## Near detector analysis



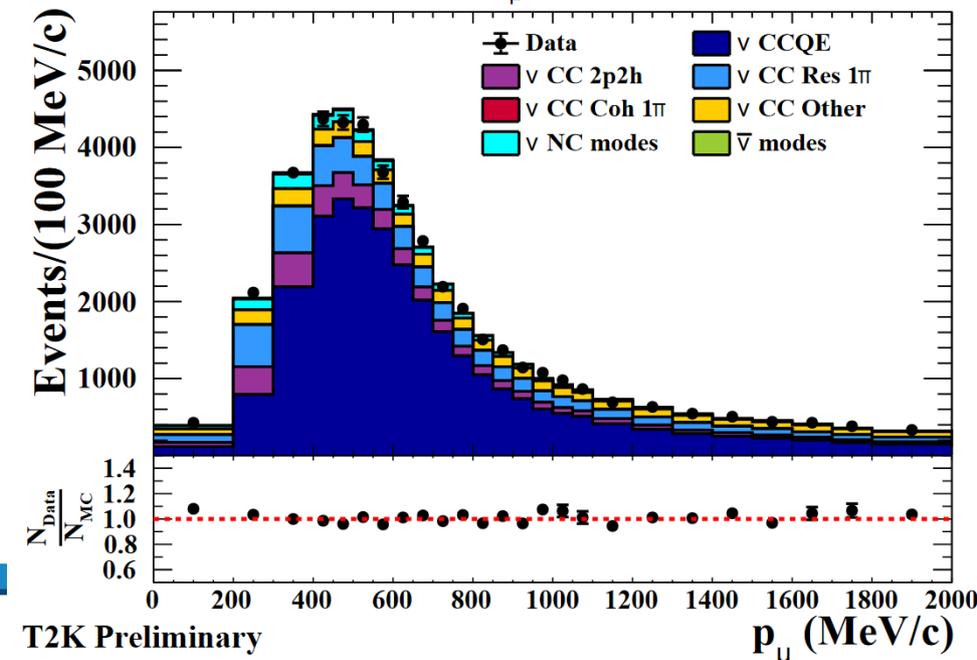
- Produces tuned flux and cross-section models
- Use models to predict unoscillated event rate at Super-K

## Near detector fit results

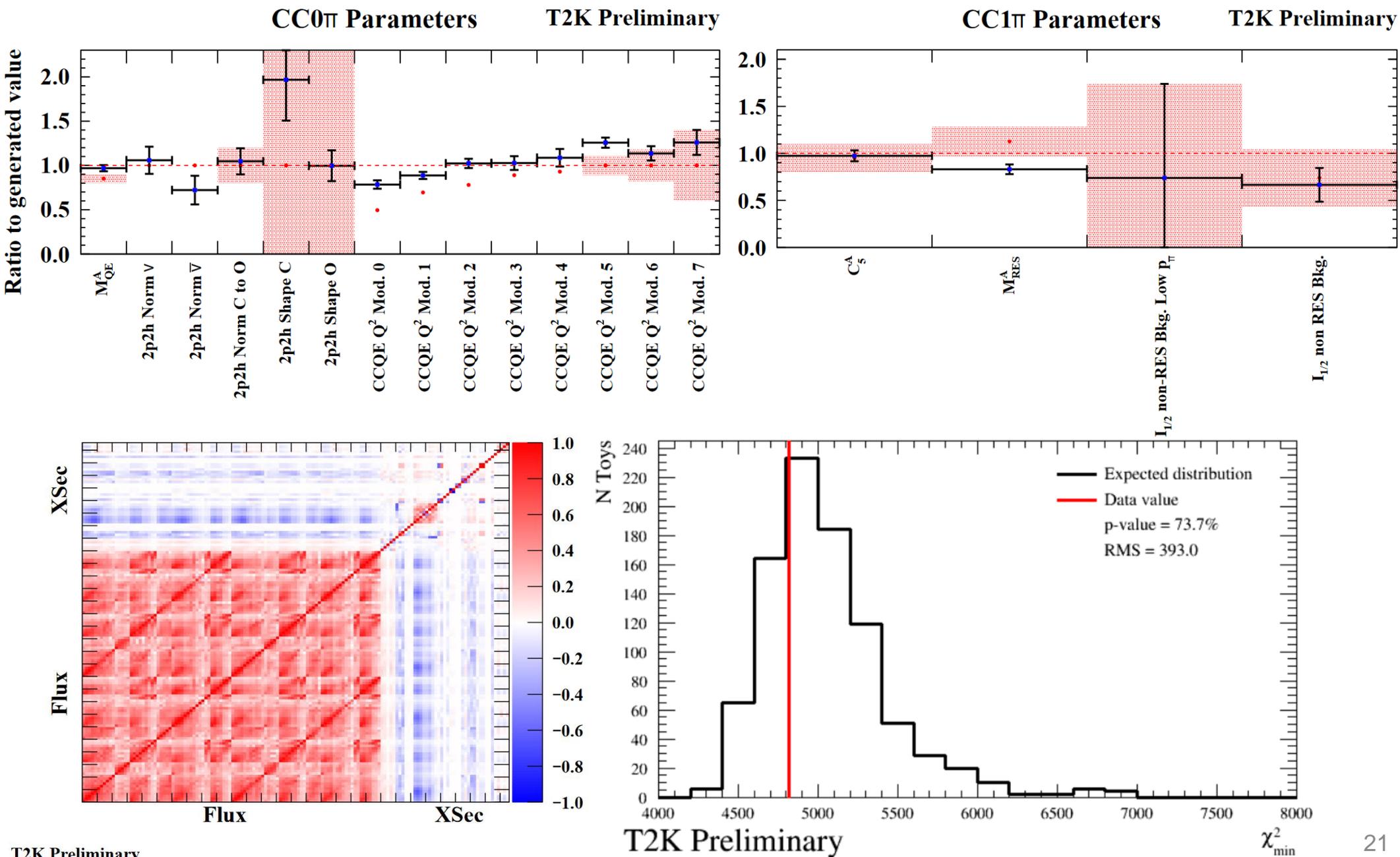
- Charged-current, zero-pion sample shown on right
  - Prefit on top, postfit on bottom
- Tuned muon neutrino flux at Super-K shown below
  - Prior in red, fit result in blue



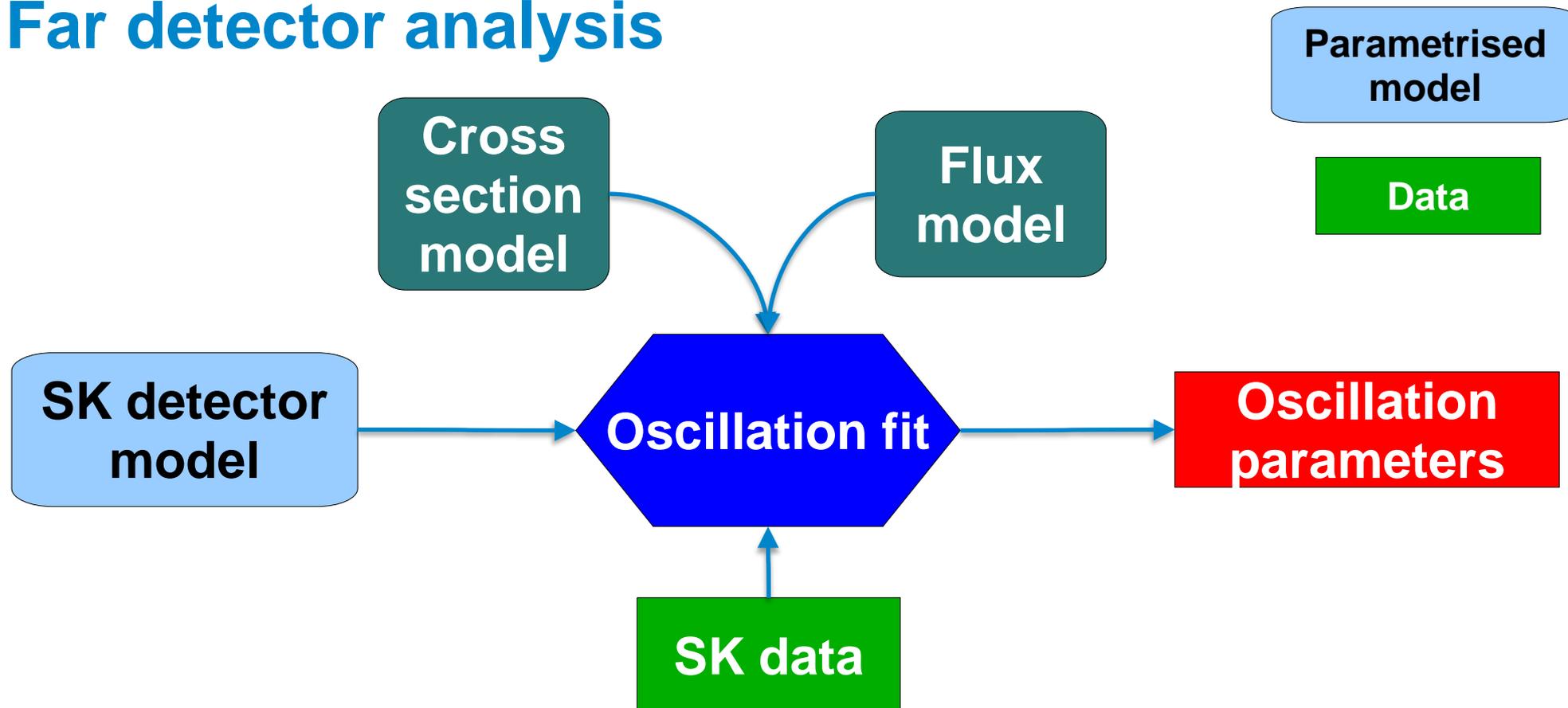
Fit



# Near detector fit results



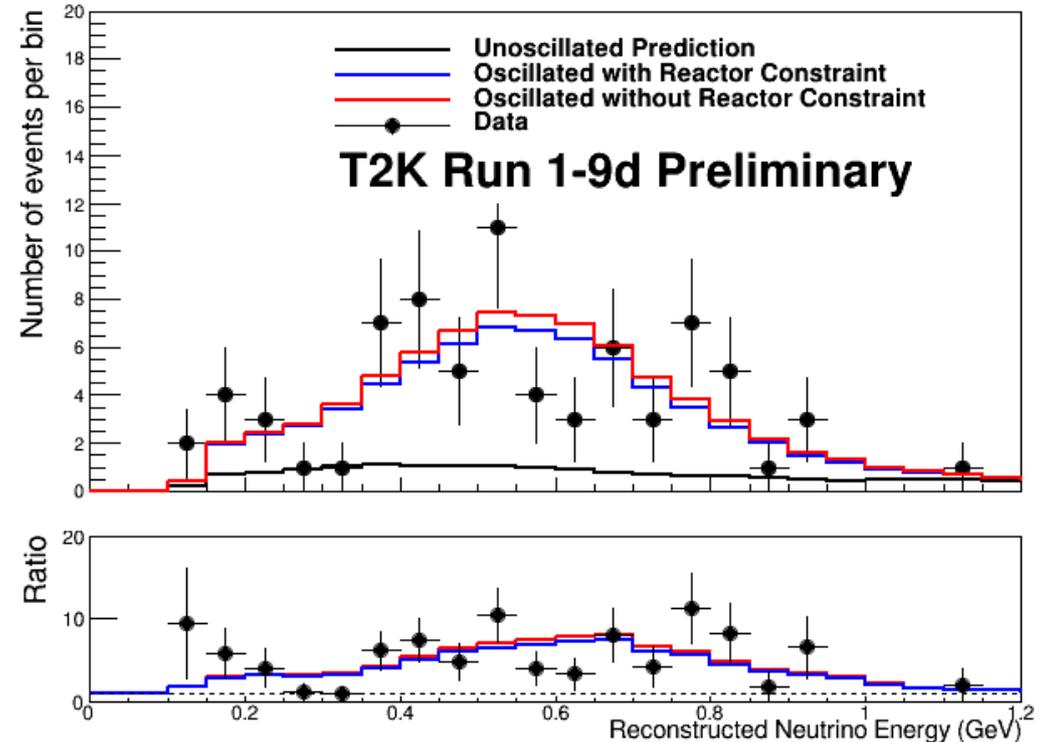
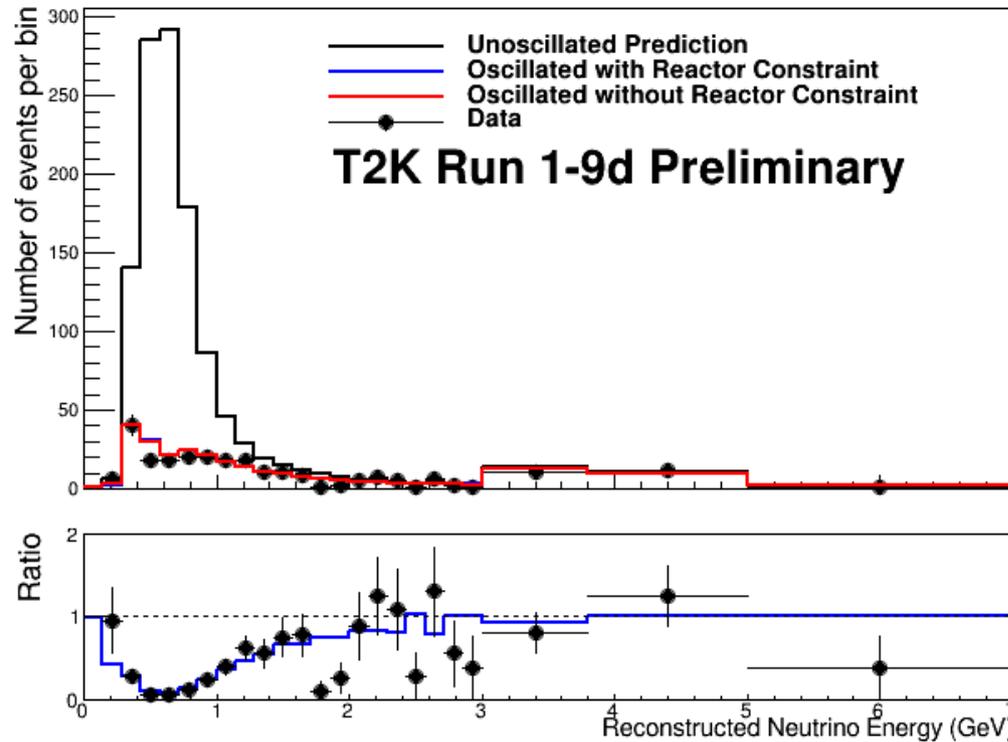
## Far detector analysis



- Apply oscillation parameters to prediction from tuned models
- Fit to data, marginalizing over nuisance parameters
  - Three separate analyses, using Markov Chain MC and Minimisation, and Bayesian and Frequentist methods

# What T2K measures

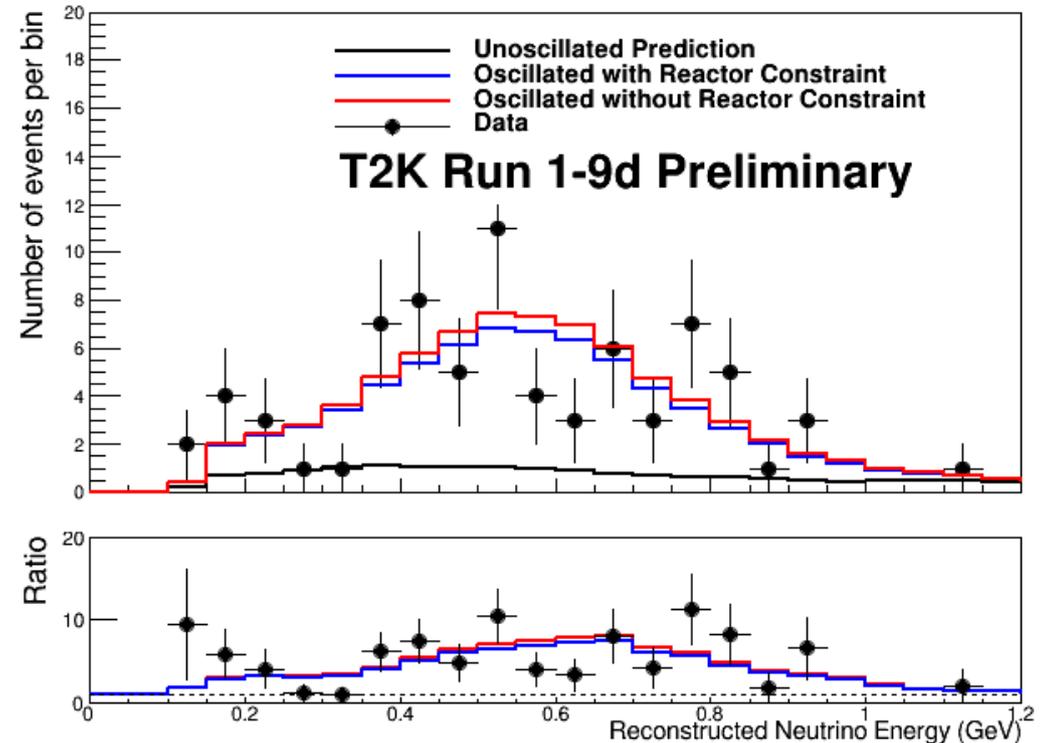
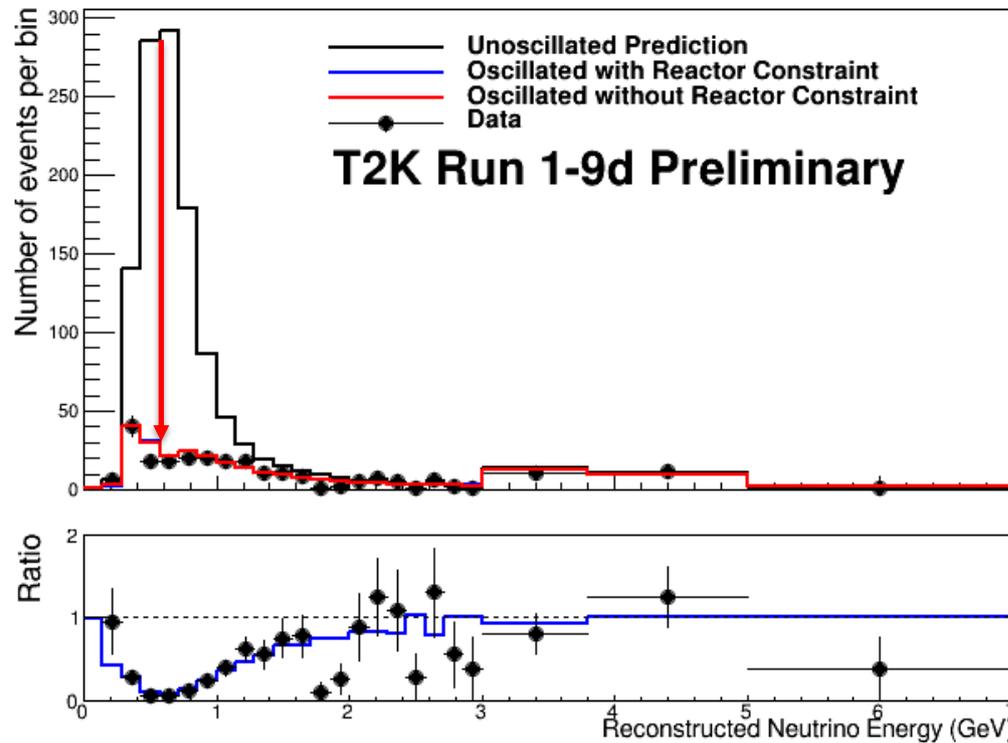
2018 Data



- Muon-like neutrino candidates (left), electron-like candidates (right)

# What T2K measures

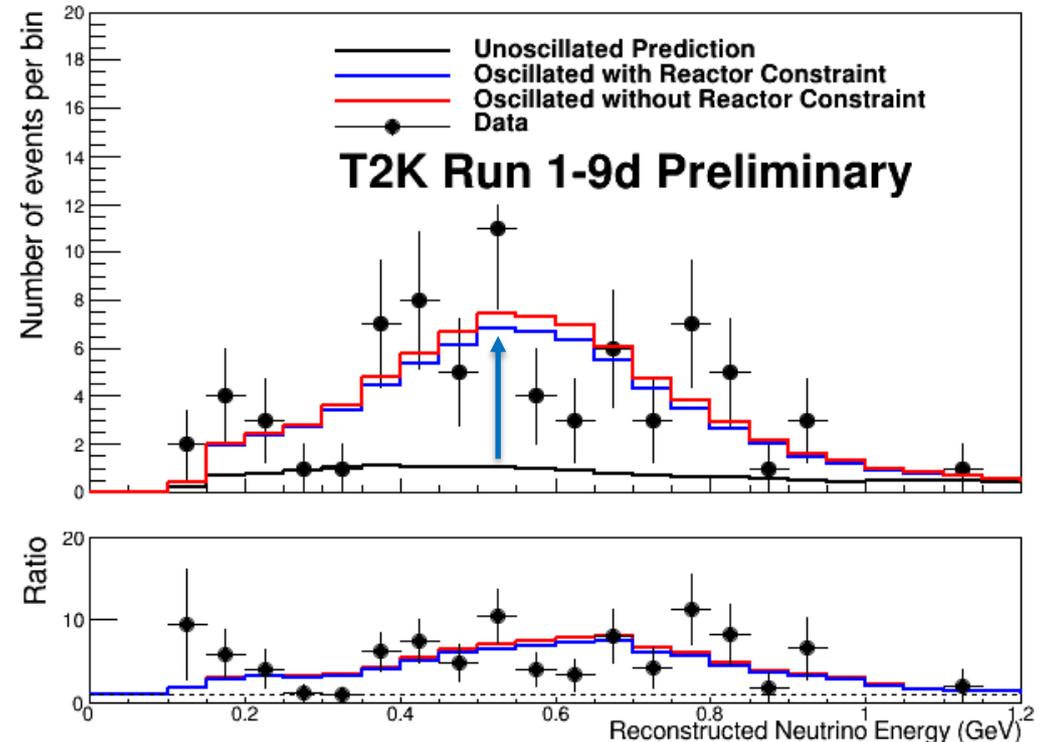
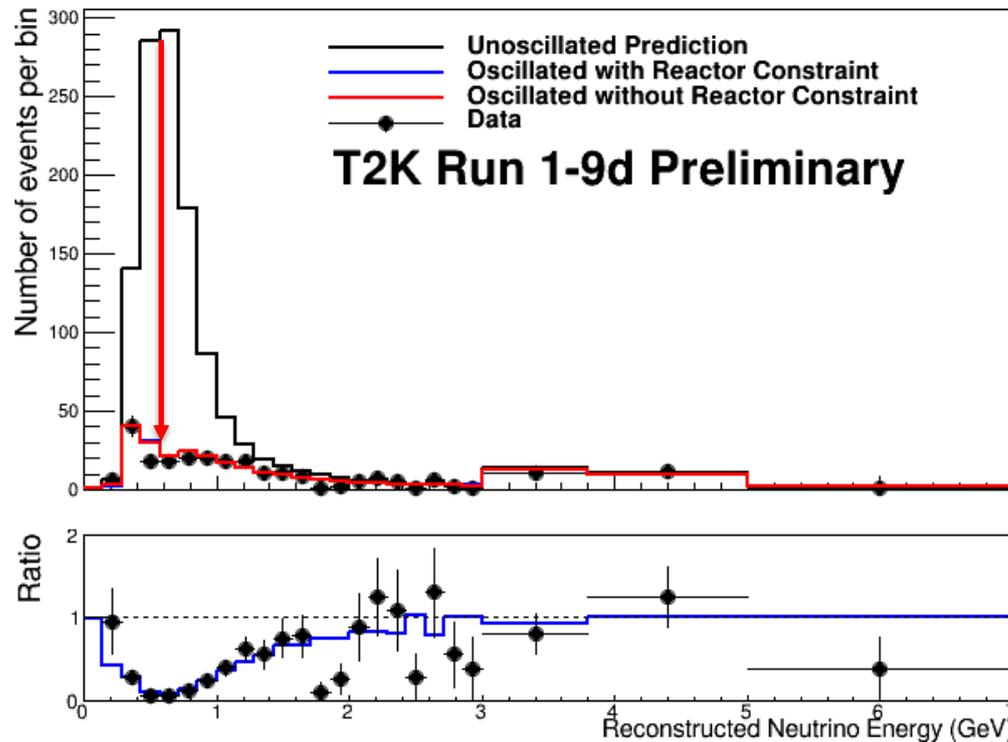
2018 Data



- Muon-like neutrino candidates (left), electron-like candidates (right)
- **Suppression in muon neutrino sample driven by  $\sin^2\theta_{23}$ ,  $\Delta m^2_{23}$**

# What T2K measures

2018 Data



- Muon-like neutrino candidates (left), electron-like candidates (right)
- **Suppression in muon neutrino sample driven by  $\sin^2\theta_{23}$ ,  $\Delta m^2_{23}$**
- **Increase in electron neutrino sample driven by  $\sin^2\theta_{13}$ ,  $\delta_{CP}$**

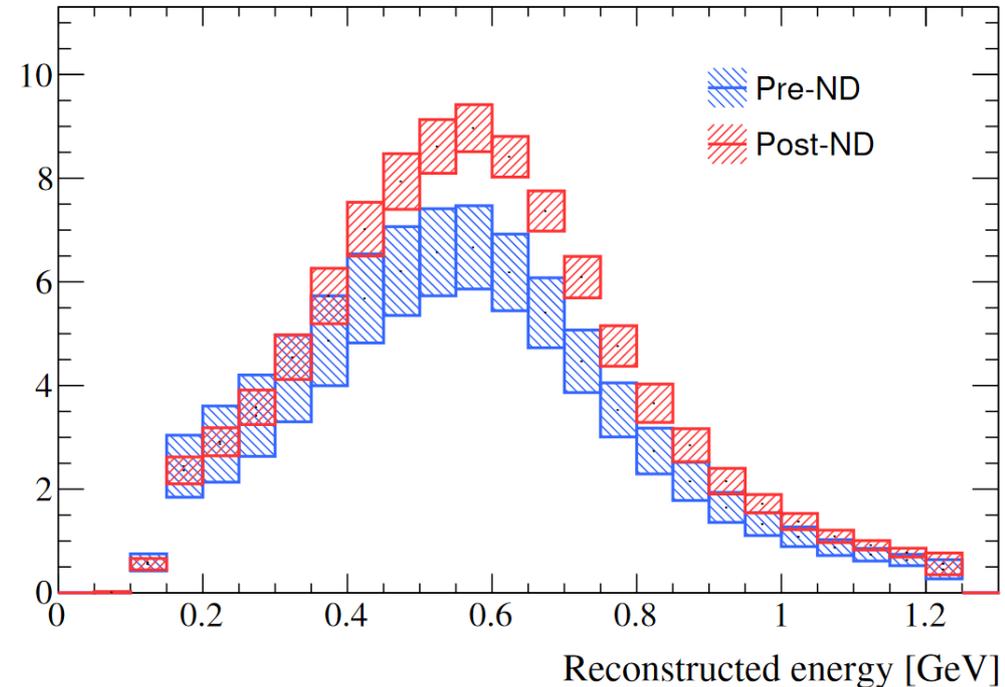
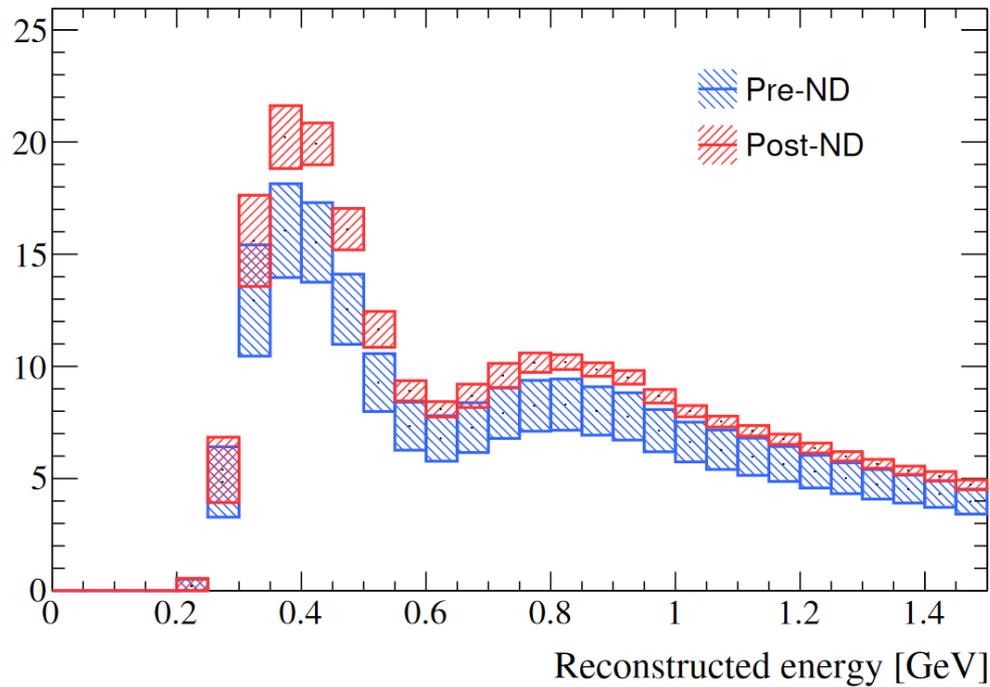
# Effect of near detector fit on SK prediction

FHC 1R $\mu$  average spectrum with all systematics

T2K Preliminary

FHC 1Re average spectrum with all systematics

T2K Preliminary



- Far detector single ring, muon-like sample on left, single ring electron-like sample on right
- ND280 fit result (red) increases predicted event rate, changes shape of spectrum and reduces systematic uncertainty

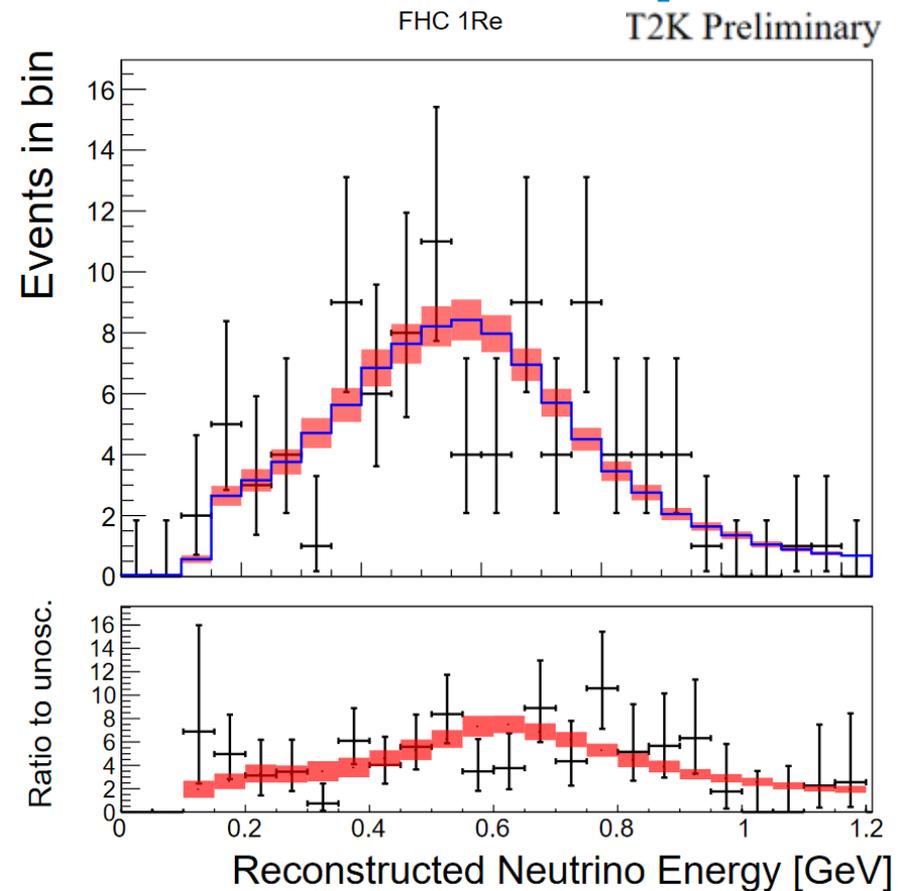
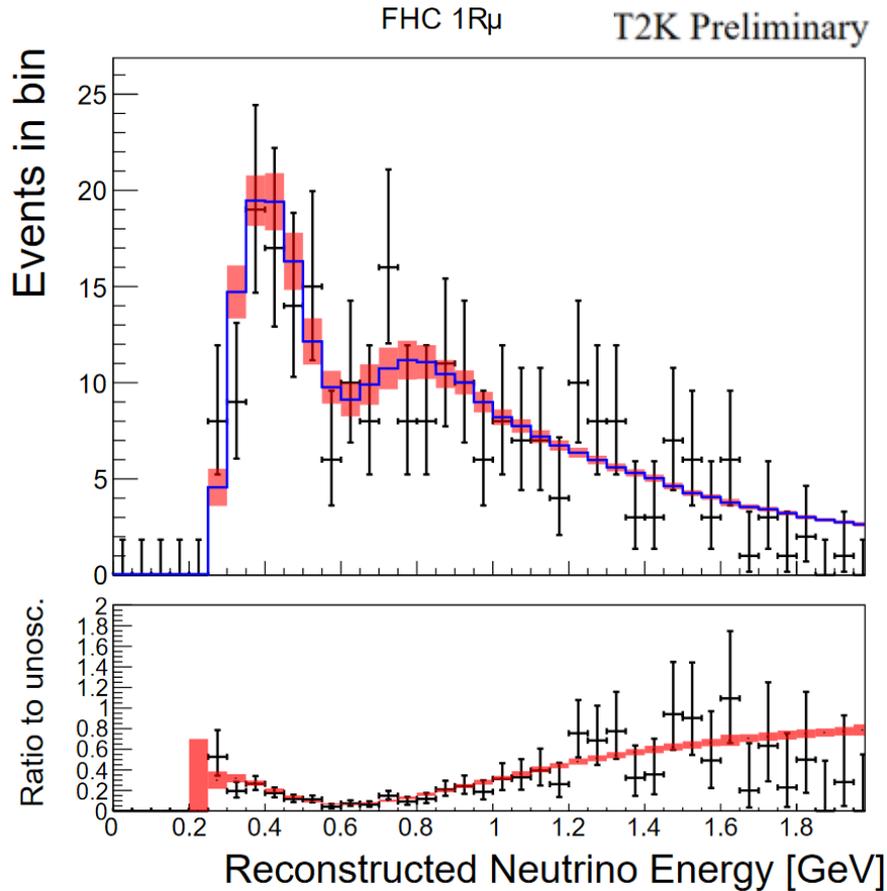
## T2K systematic errors

T2K Preliminary

Error source (units: %)	$1R\mu$		$1Re$			
	FHC	RHC	FHC	RHC	FHC CC1 $\pi^+$	FHC/RHC
Flux	2.9	2.8	2.8	2.9	2.8	1.4
Xsec (ND constr)	3.1	3.0	3.2	3.1	4.2	1.5
Flux+Xsec (ND constr)	2.1	2.3	2.0	2.3	4.1	1.7
Xsec (ND unconstrained)	0.6	2.5	3.0	3.6	2.8	3.8
SK+SI+PN	2.1	1.9	3.1	3.9	13.4	1.2
<b>Total</b>	<b>3.0</b>	<b>4.0</b>	<b>4.7</b>	<b>5.9</b>	<b>14.3</b>	<b>4.3</b>

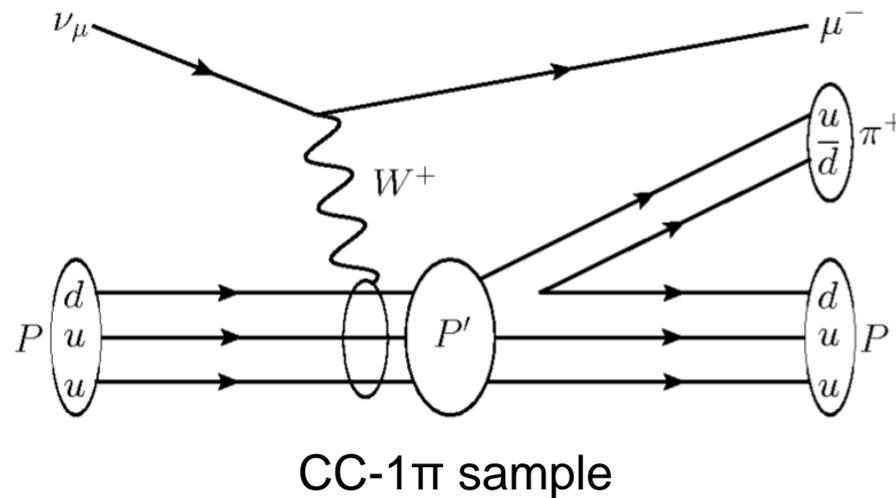
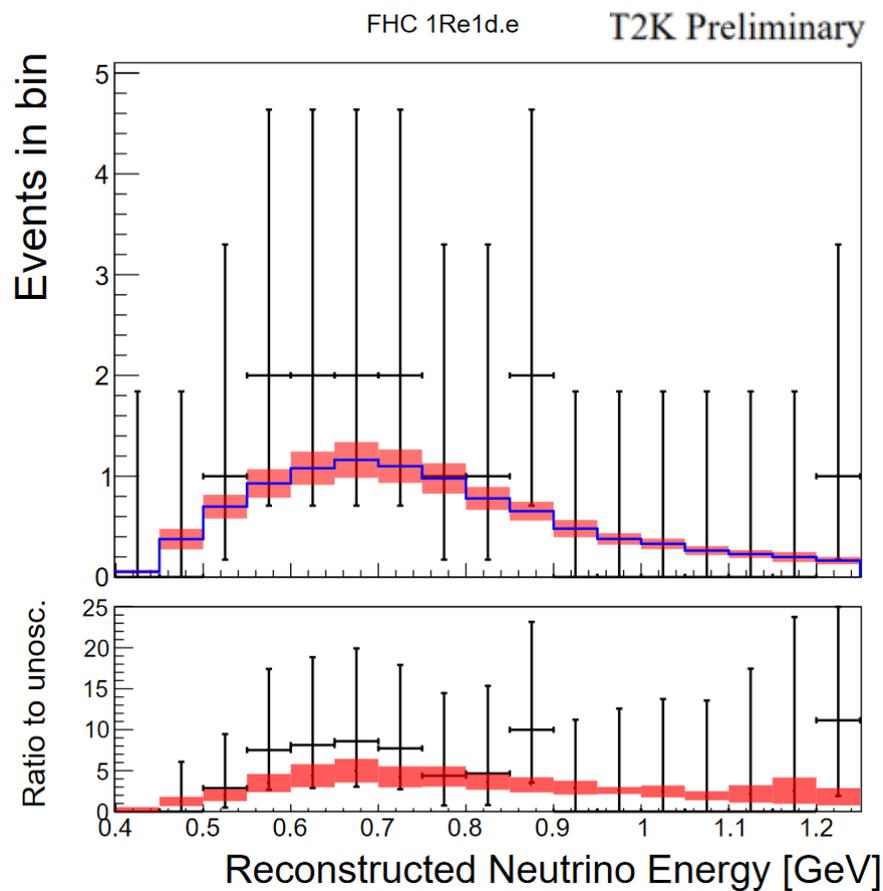
- Uncertainty on predicted SK event rate after ND280 fit
  - Flux and cross-section uncertainties are correlated so the combination gives a smaller uncertainty than the individual parts
  - Final column is error on rate of neutrino events compared to antineutrino events in the electron-like samples – critical for CP violation search

# Latest Results – Neutrino mode beam samples



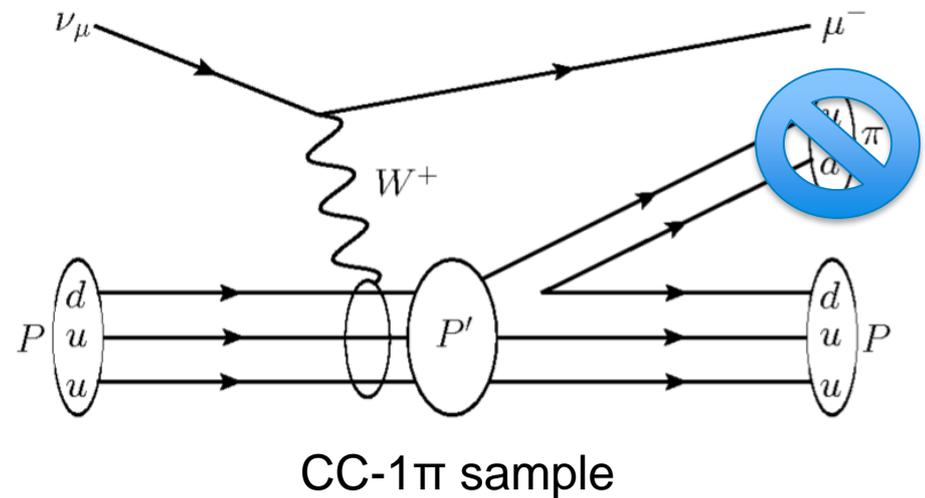
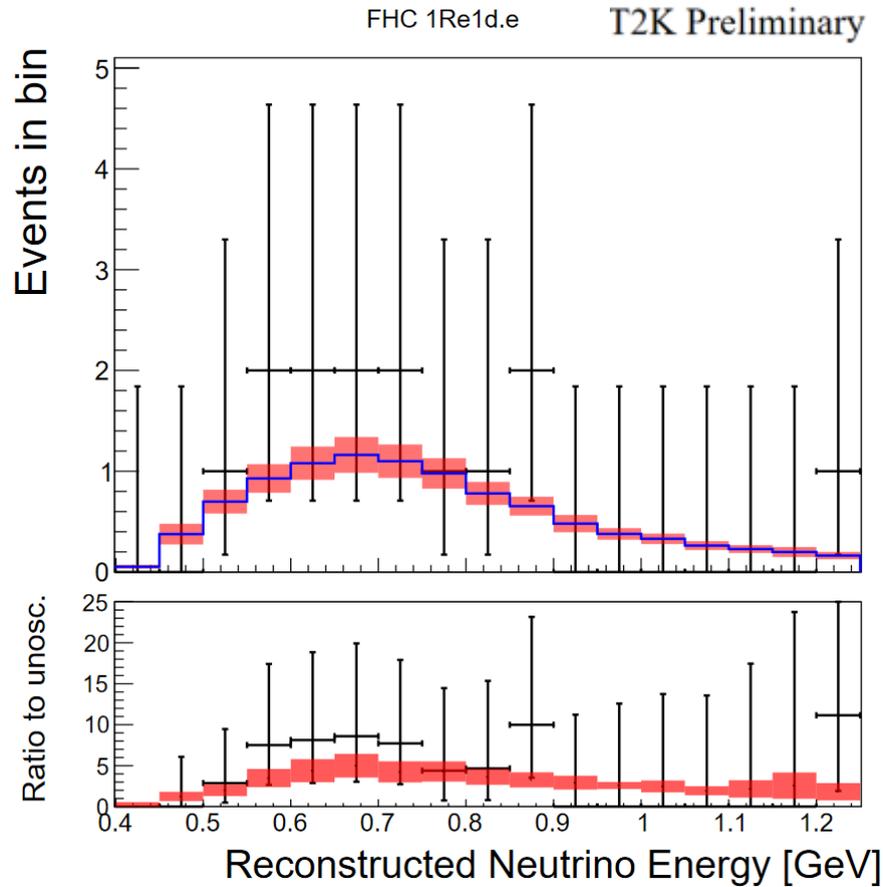
- Neutrino beam mode, muon-like CC-0 $\pi$  candidates (left), electron-like CC-0 $\pi$  candidates (right)
- Prediction (blue histogram) and RMS error (red band) after fit to data

# Latest Results – Neutrino mode beam samples



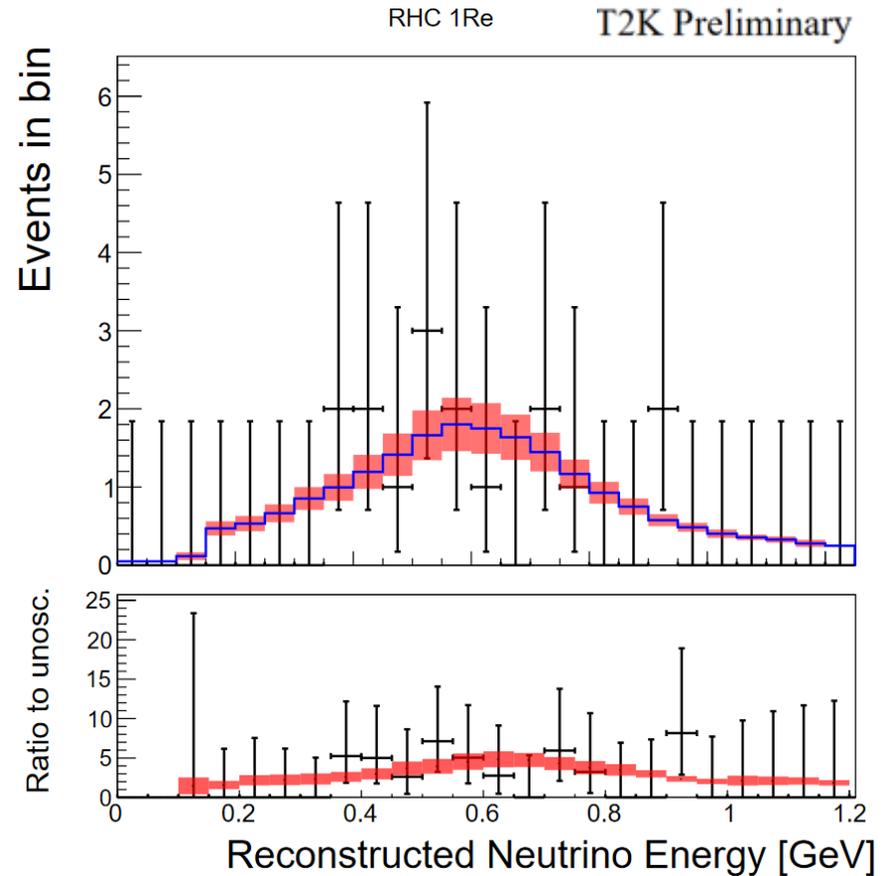
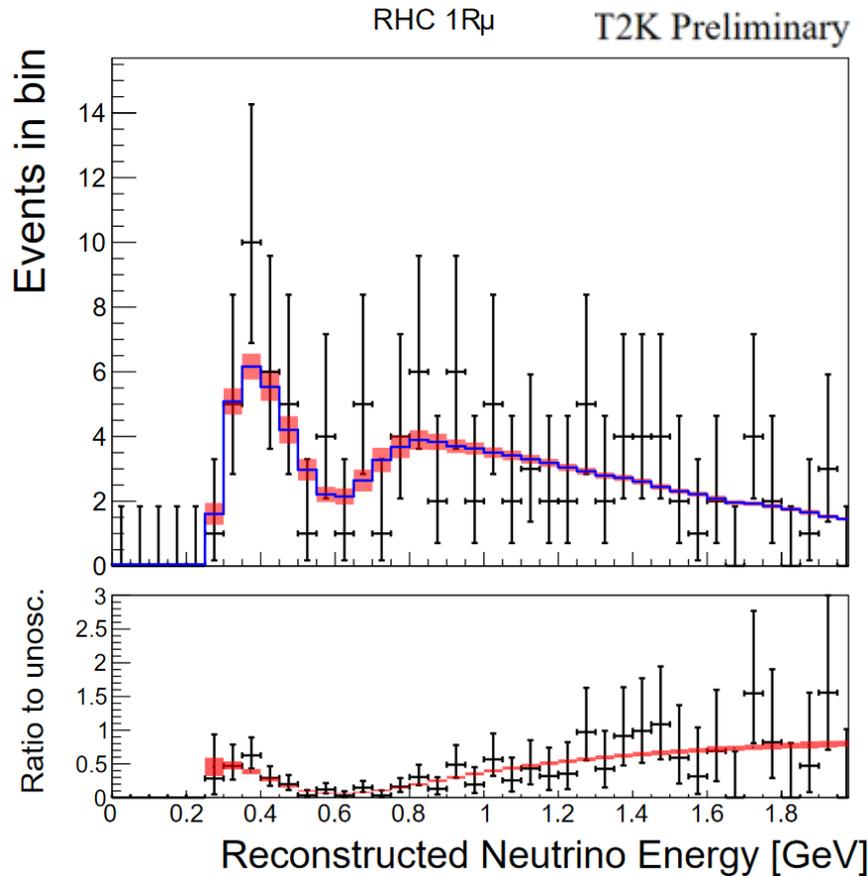
- CC-1 $\pi$  sample only in neutrino beam mode

# Latest Results – Neutrino mode beam samples



- CC-1 $\pi$  sample only in neutrino beam mode
- Use Michel electron tag to locate pion – below Cherenkov threshold

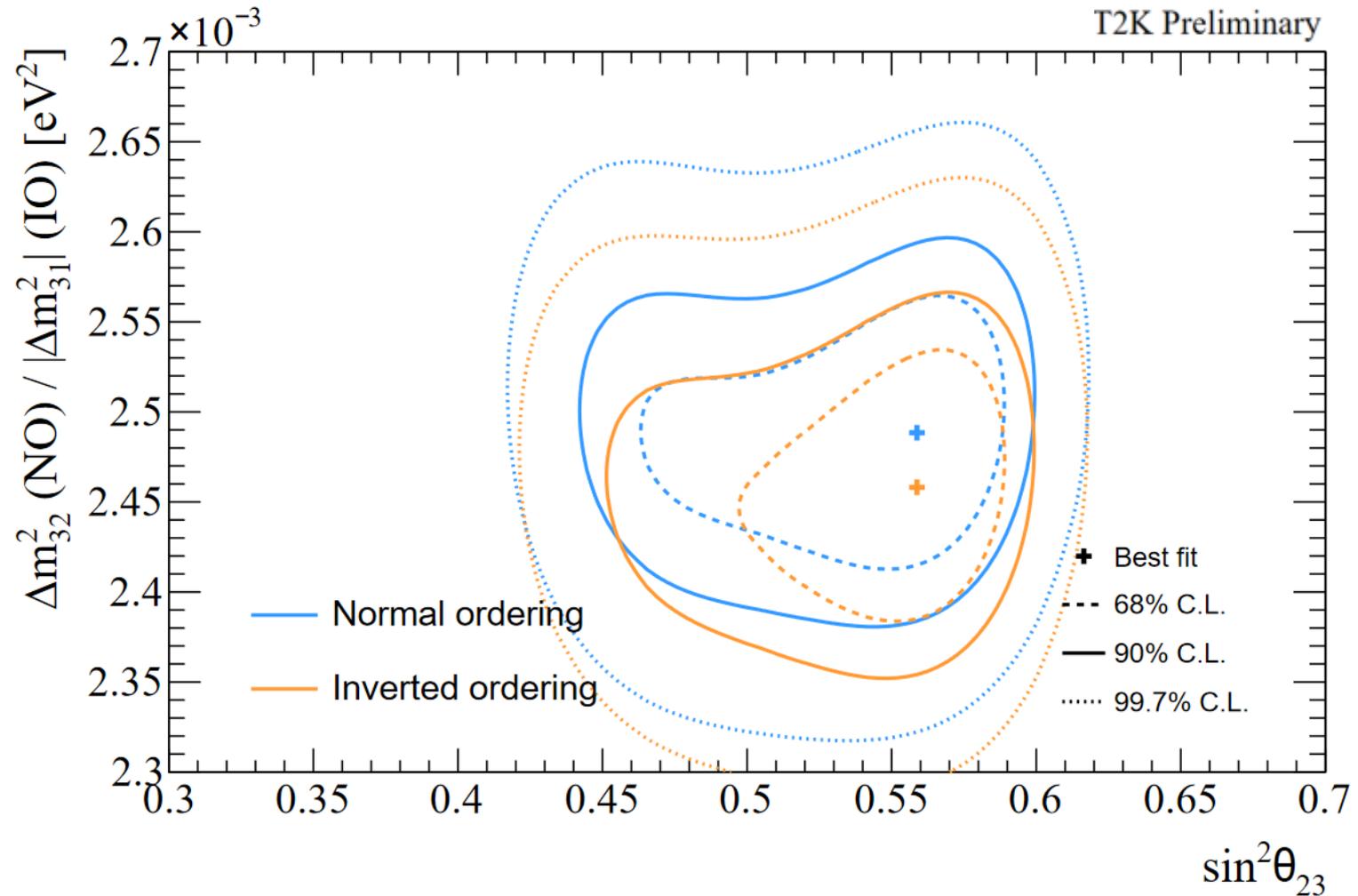
# Latest Results – Antineutrino beam mode



- Antineutrino beam mode, muon-like CC-0 $\pi$  candidates (left), electron-like CC-0 $\pi$  candidates (right)
- Prediction (blue histogram) and RMS error (red band) after fit to data

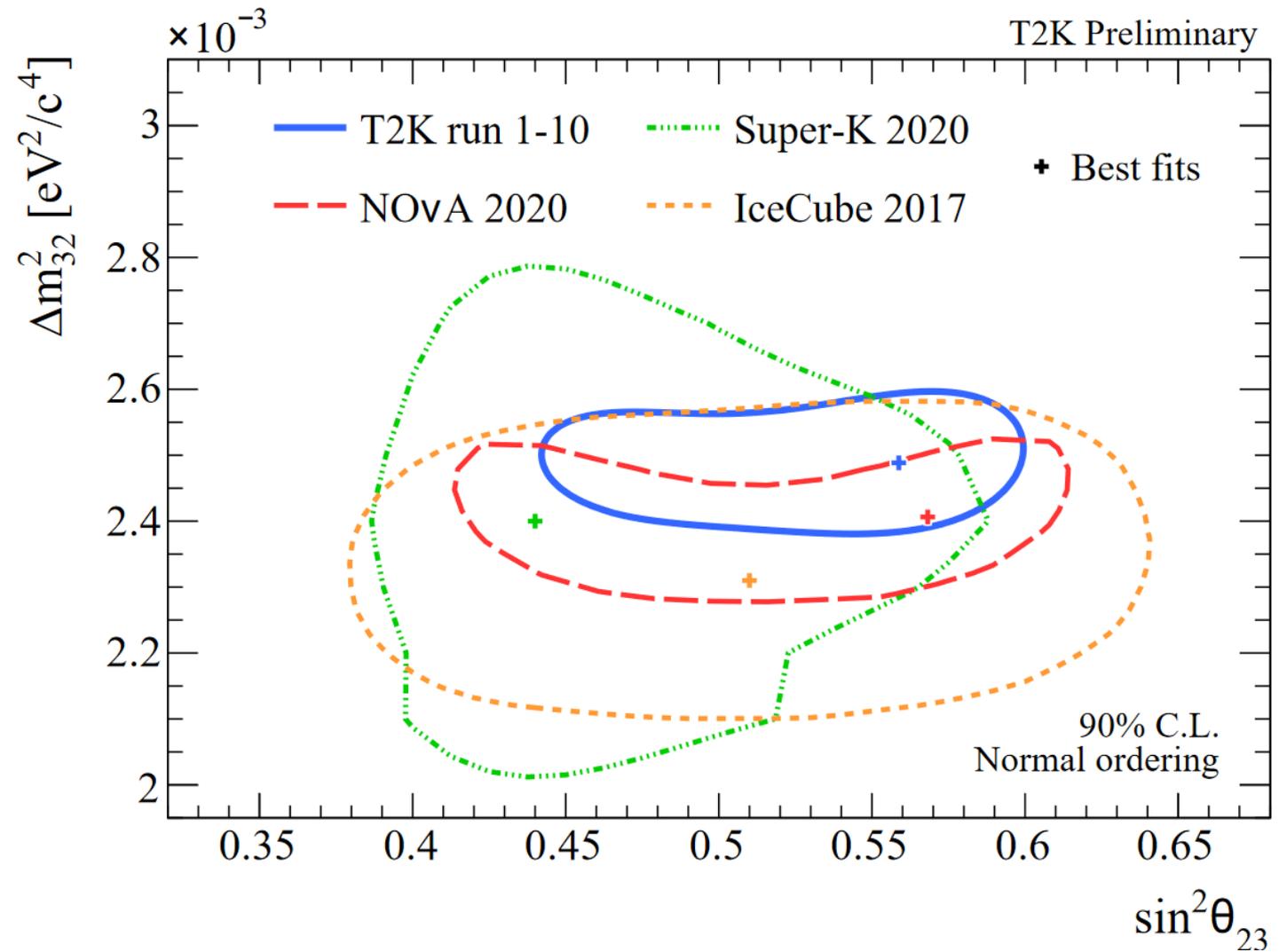
# Disappearance parameters

- T2K data shows preference for upper octant
- Best-fit point at non-maximal mixing, though maximal mixing still within 1 $\sigma$
- Fits include reactor constraint on  $\sin^2(\theta_{13})$

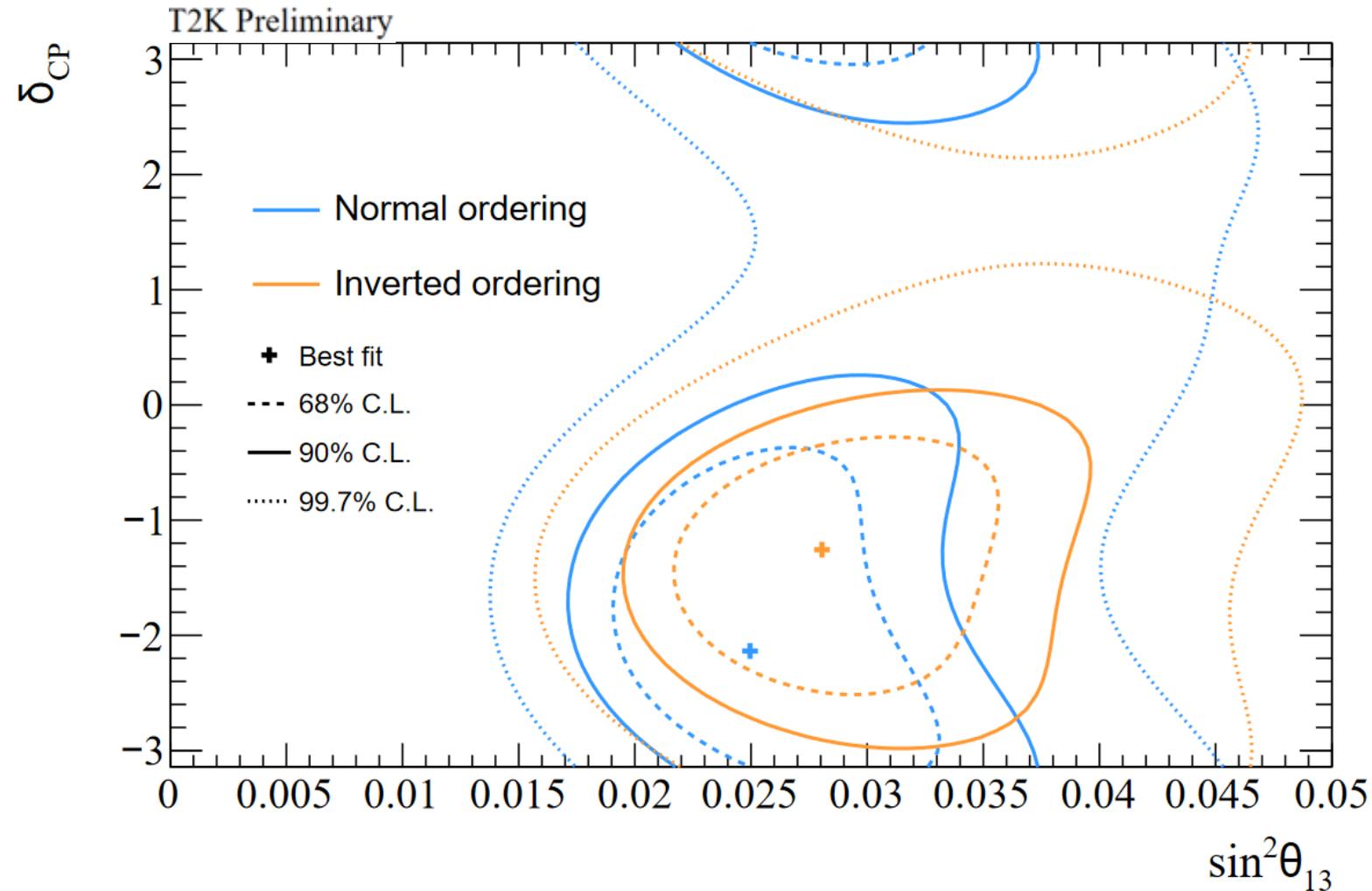


# Disappearance parameters – global comparison

- 90% confidence level contours
- Normal mass ordering assumed
- All experiments in agreement

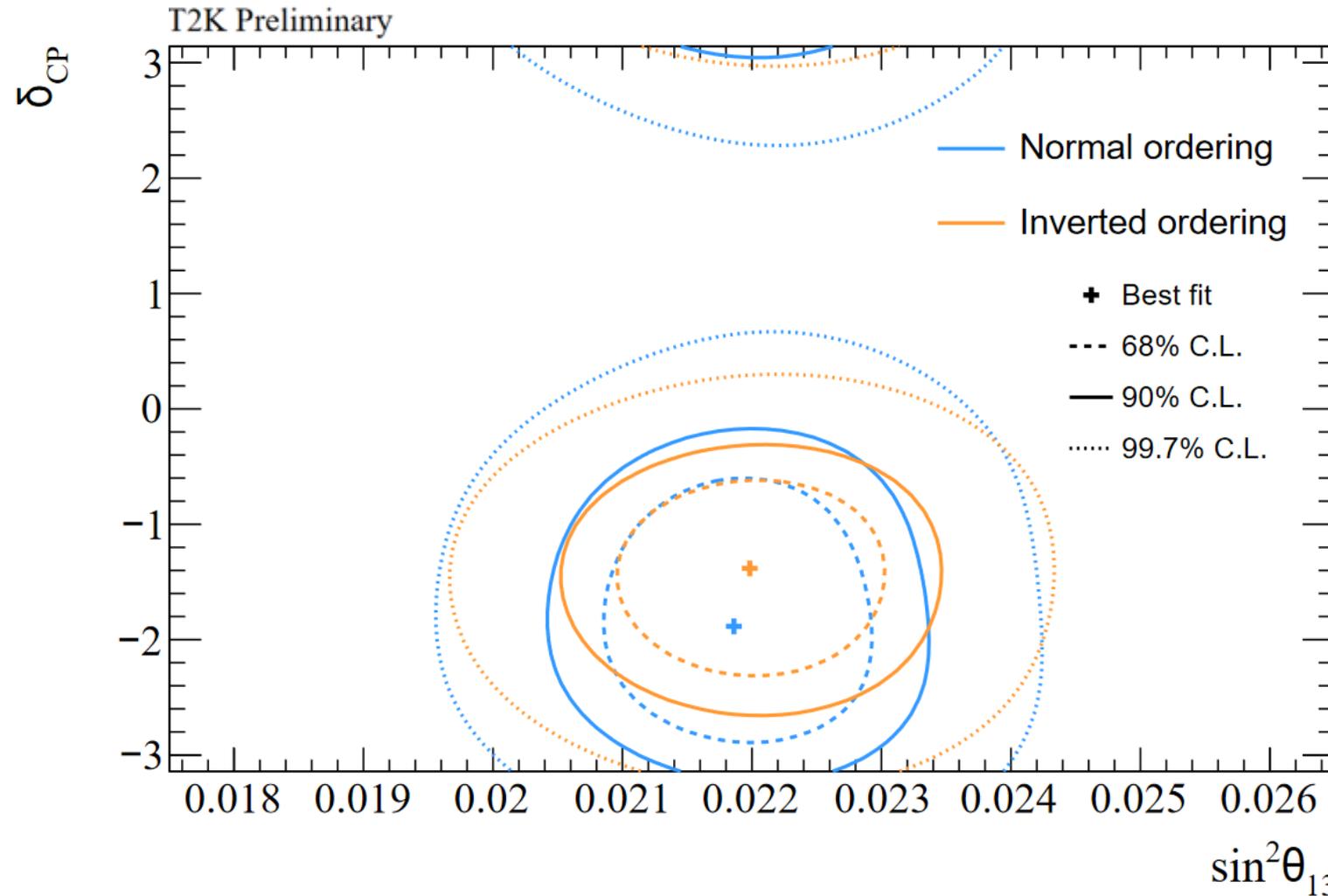


# Appearance parameters – without reactor



- Fit **without** reactor constraint on  $\sin^2(\theta_{13})$
- T2K best-fit point near maximal CP-violation
- Fully consistent with reactor measurements

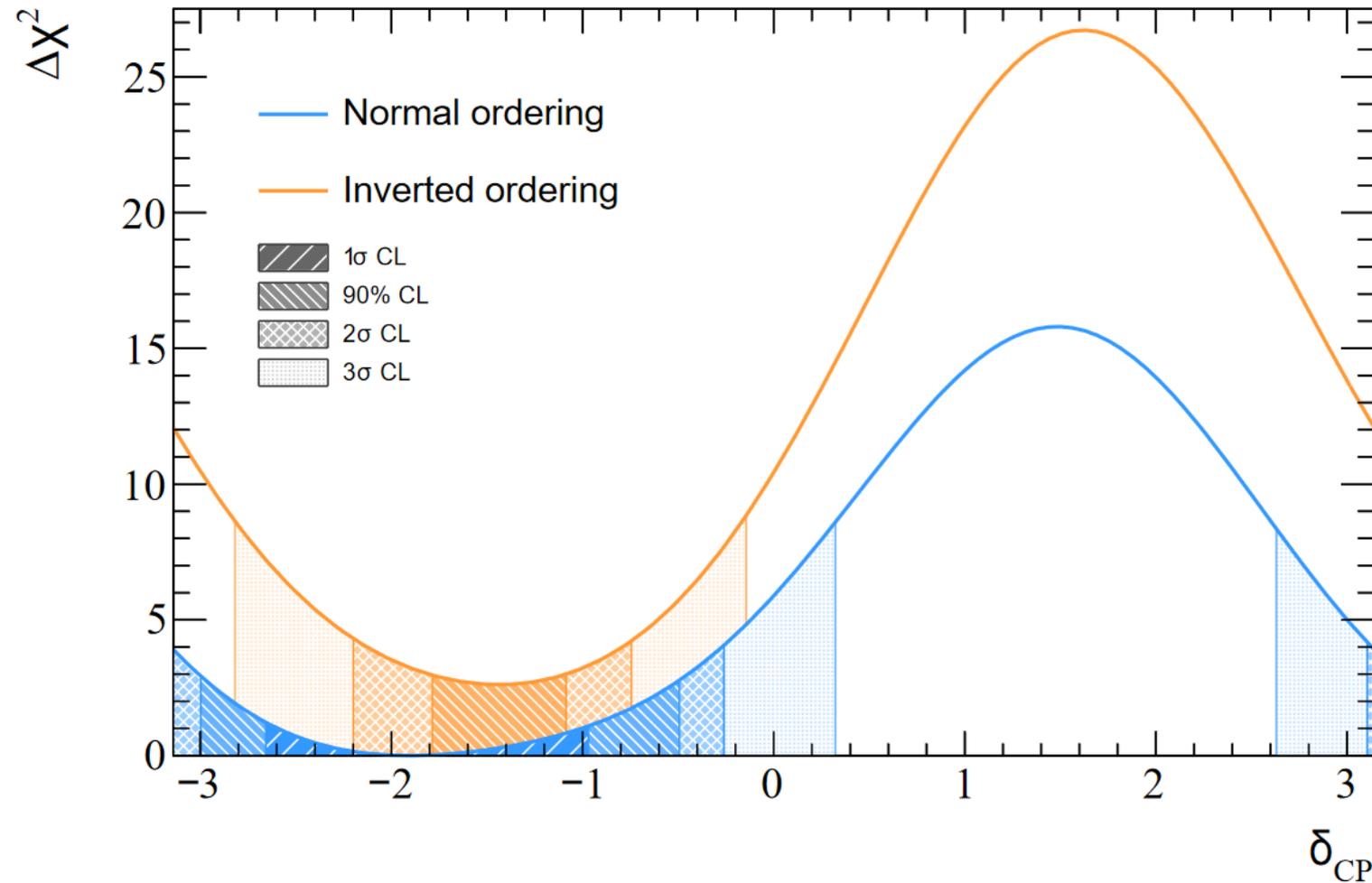
# Appearance parameters – with reactor



- Fit **with** reactor constraint on  $\sin^2(\theta_{13})$
- T2K best-fit point nearer maximal CP-violation
- Regions of  $\delta_{CP}$  space outside  $2\sigma$  contour

# $\delta_{CP}$ contour

T2K Preliminary



- Fit **with** reactor constraint on  $\sin^2(\theta_{13})$
- Likelihood with respect to global minimum
- Using Feldman-Cousins method to ensure coverage
- $\delta_{CP} = \pi/2$  excluded at  $>3\sigma$

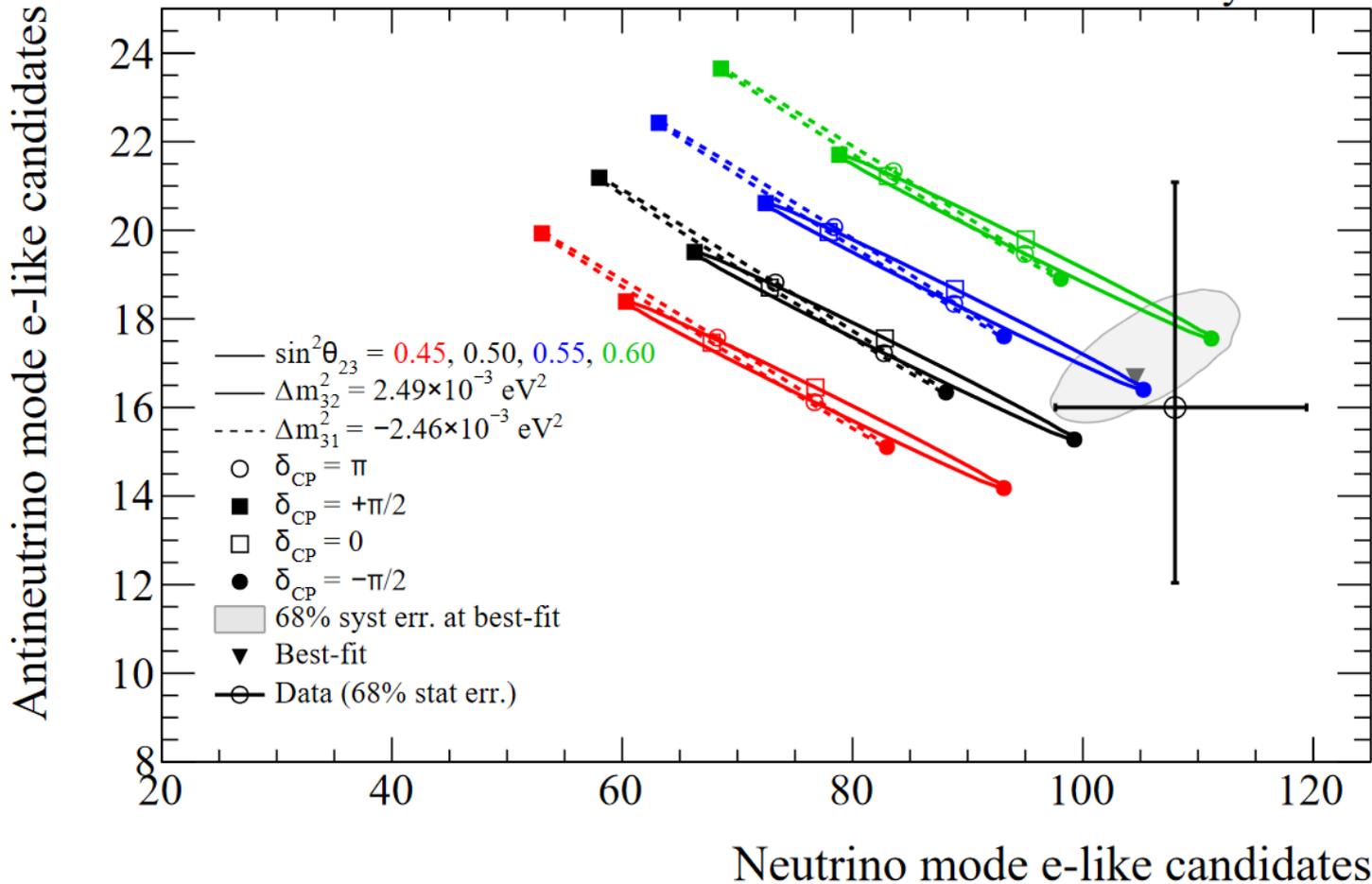
## Mass Ordering and $\theta_{23}$ Octant

- Table shows posterior probability for various hypotheses
  - Bayesian approach
  - Marginalising over other oscillation parameters
  - A flat prior is used for  $\delta_{CP}$ ,  $\sin^2\theta_{23}$ ,  $|\Delta m^2_{23}|$  and mass ordering
  - Solar parameters and  $\sin^2 2\theta_{13}$  use Gaussian prior from PDG
- T2K data prefer the upper octant and normal mass ordering

	$\sin^2 \theta_{23} < 0.5$	$\sin^2 \theta_{23} > 0.5$	Line total
Normal ordering	0.19	0.65	0.83
Inverted ordering	0.03	0.14	0.17
Column total	0.21	0.79	1.00

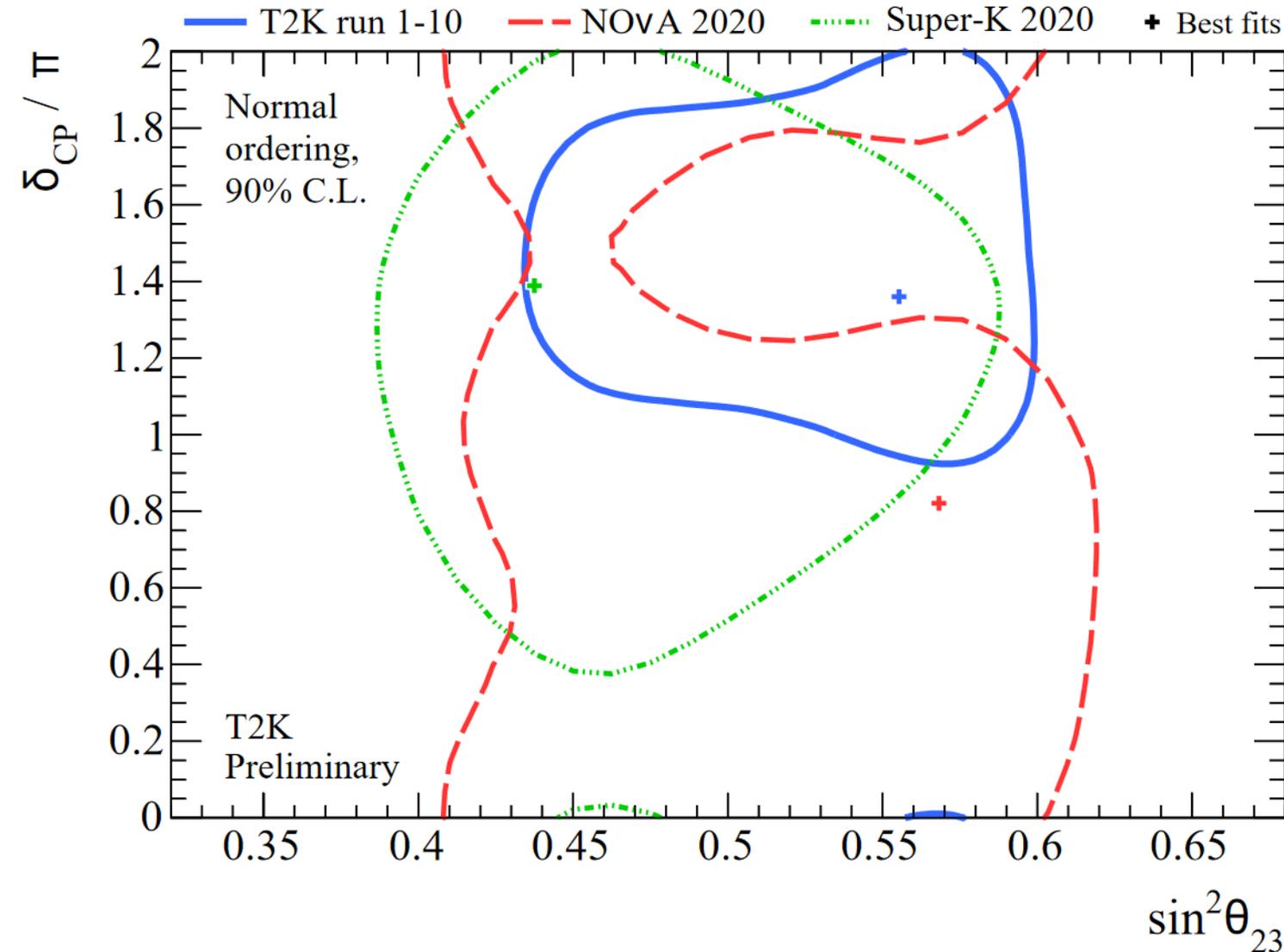
# T2K bi-event rate

T2K Run1-10 Preliminary



- T2K data consistent with Pontecorvo-Maki-Nakagawa-Sakata prediction
- Shaded area shows systematic uncertainty on prediction

# $\delta_{CP}$ global comparison



- Fit **with** reactor constraint on  $\sin^2(\theta_{13})$
- Assuming Normal mass ordering
- Experiments ~agree at 90% C.L.
  - Need more data!

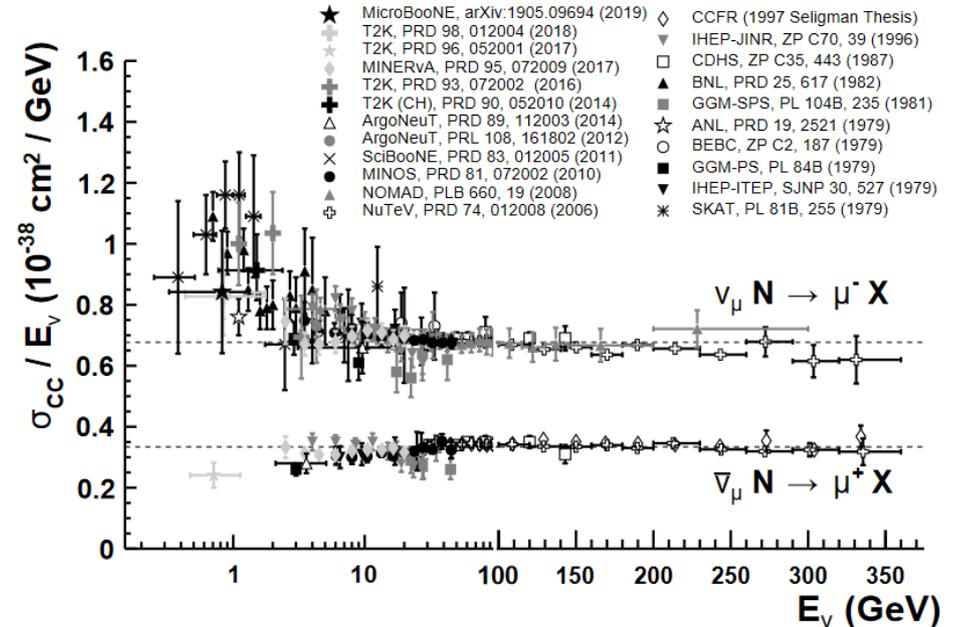
# Robustness checks

# Neutrino cross section error

- Neutrino cross-section is ~largest source of uncertainty

Error source (units: %)	1R $\mu$		1Re			
	FHC	RHC	FHC	RHC	FHC CC1 $\pi^+$	FHC/RHC
Flux	2.9	2.8	2.8	2.9	2.8	1.4
Xsec (ND constr)	3.1	3.0	3.2	3.1	4.2	1.5
Flux+Xsec (ND constr)	2.1	2.3	2.0	2.3	4.1	1.7
Xsec (ND unconstrained)	0.6	2.5	3.0	3.6	2.8	3.8
SK+SI+PN	2.1	1.9	3.1	3.9	13.4	1.2
<b>Total</b>	<b>3.0</b>	<b>4.0</b>	<b>4.7</b>	<b>5.9</b>	<b>14.3</b>	<b>4.3</b>

- World data is imprecise around 1 GeV neutrino energy
- Multiple, plausible models exist, however:
  - Monte Carlo simulation based on a single model

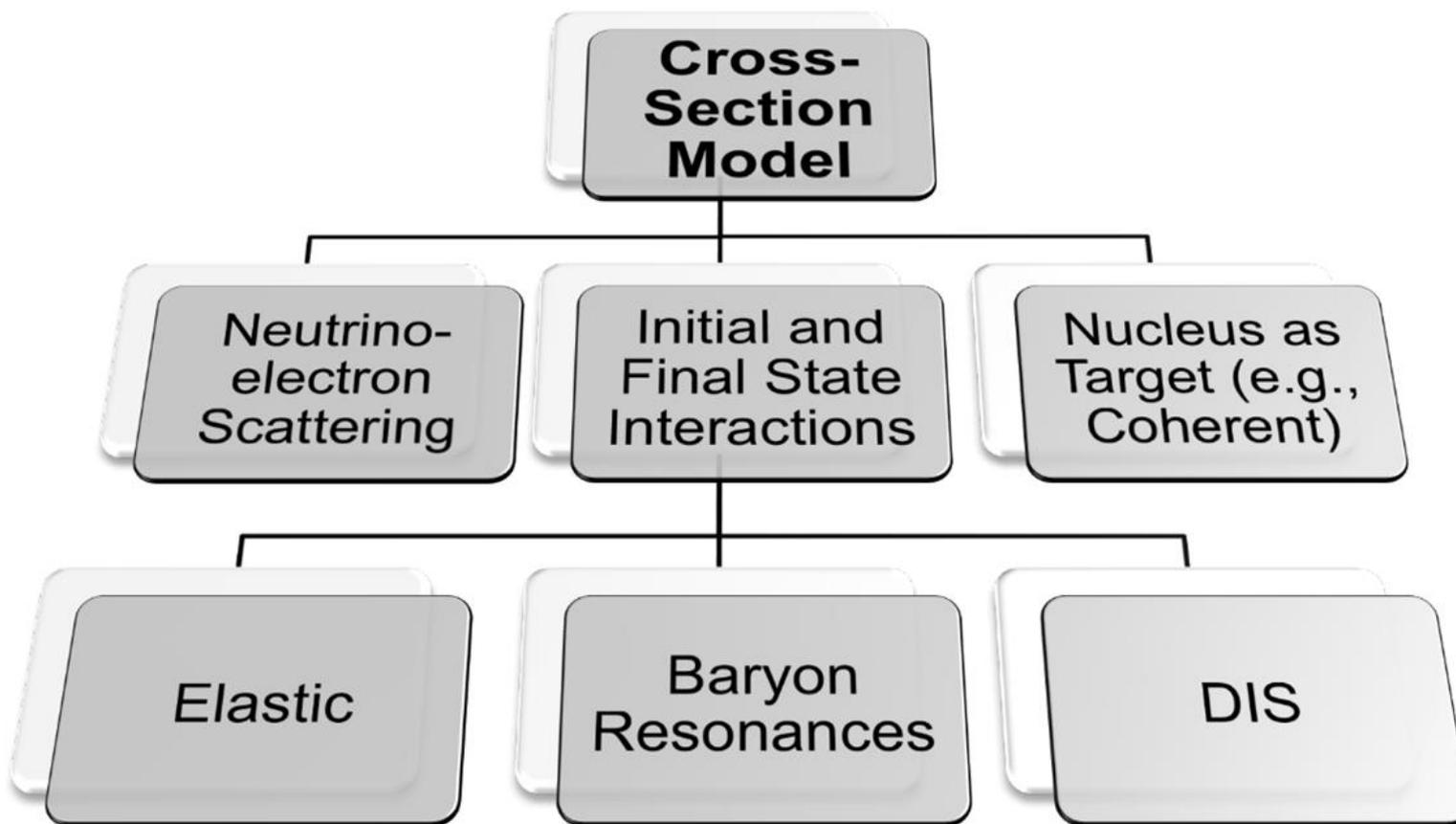


G. Zeller, PDG Neutrino Cross Sections 2019

## Simulated data studies

- Use information about simulated interactions to produce mock data based on a different neutrino interaction model
  - Detailed description can be found here:  
<https://arxiv.org/abs/2101.03779>
- Pass mock data through near and far detector fitters
  - Tune nominal interaction model to try and match mock data model
  - Extract oscillation parameter contours and compare to our expectation
  - Use results to add additional uncertainties to oscillation contours from real data fit

## T2K cross-section model



Nucleus  
(including  
C vs O)

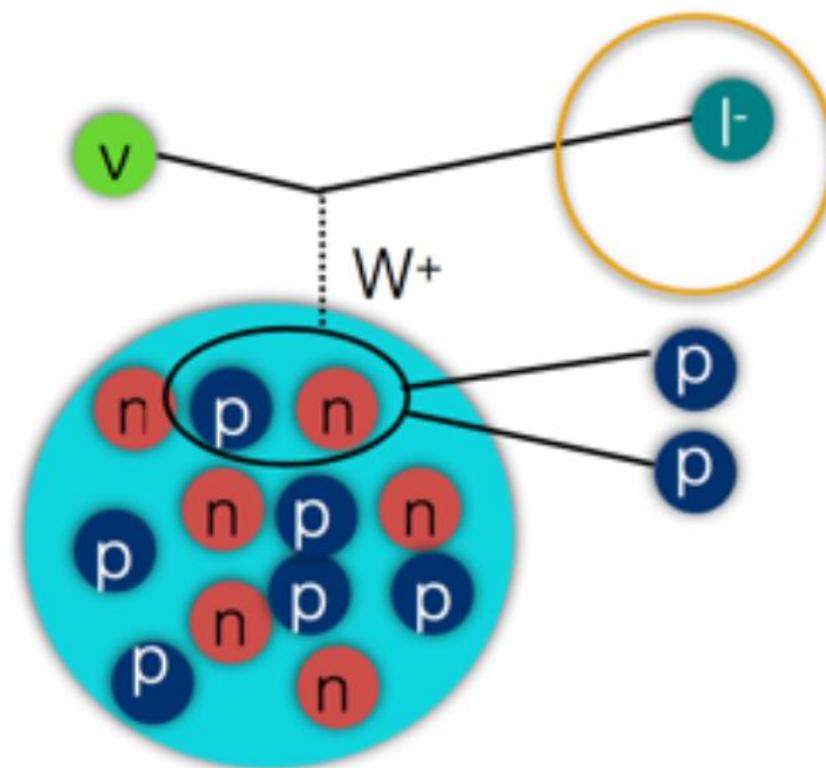
Nucleon

- Many unknowns!

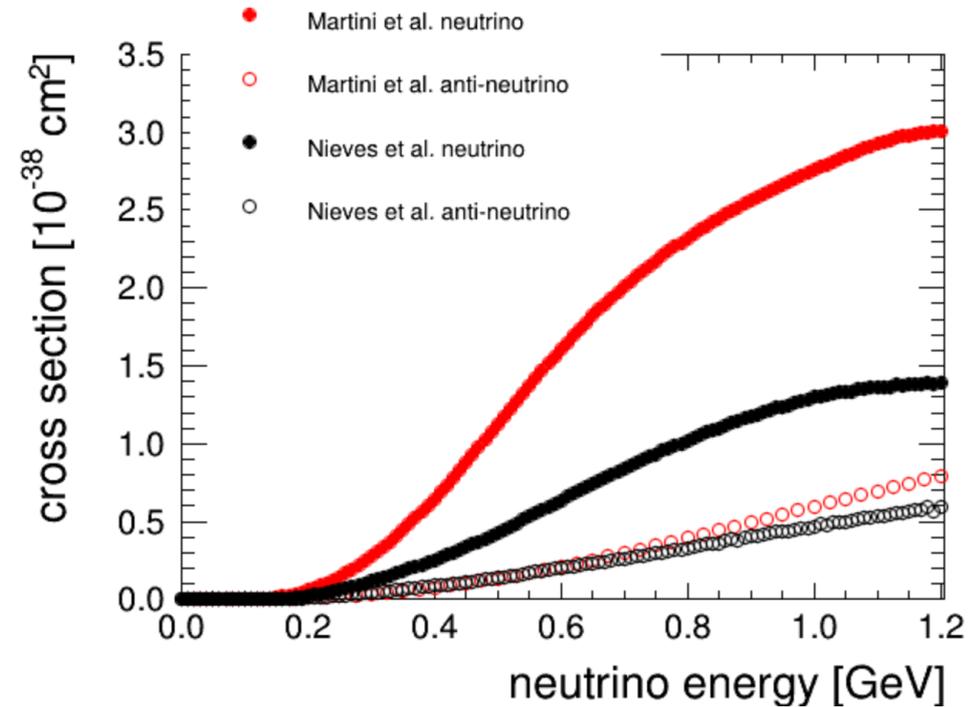
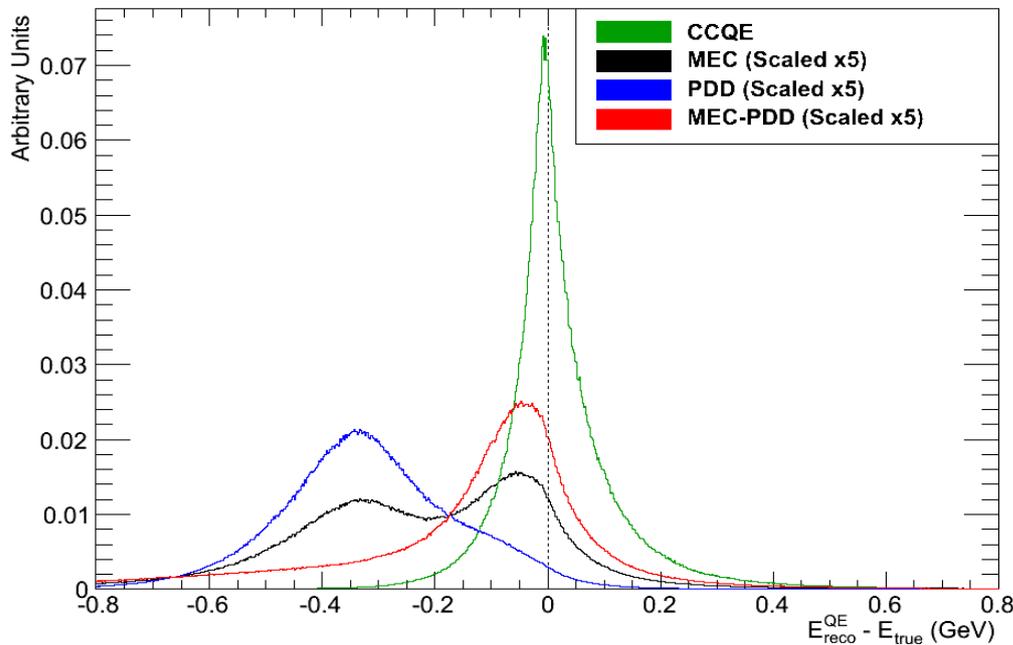
Image from K. McFarland

## Example: 2p-2h events

- Lepton kinematics give energy
- Extra protons below detector threshold – missed energy
- If we get the model wrong
  - Biased energy reconstruction
  - Incorrect relationship between reconstructed and true neutrino energy

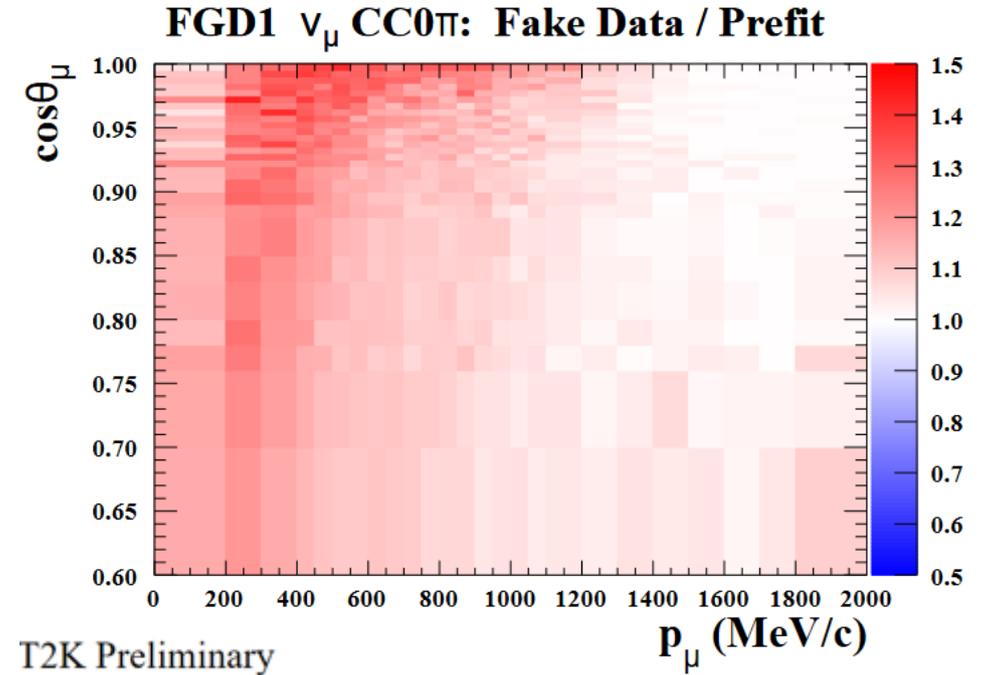
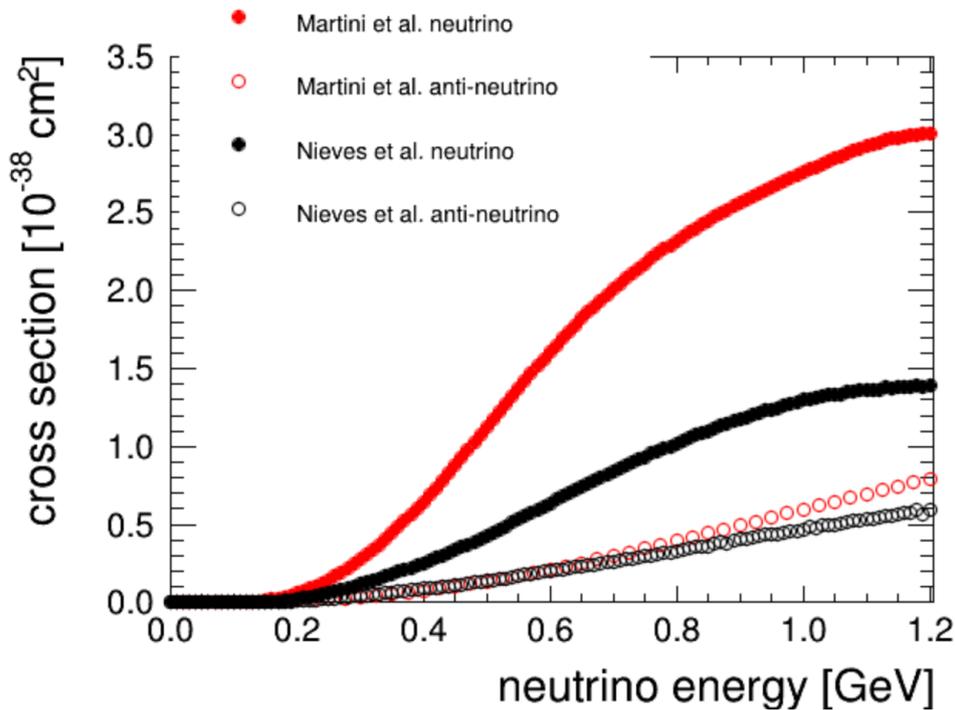


## 2p-2h event reconstruction



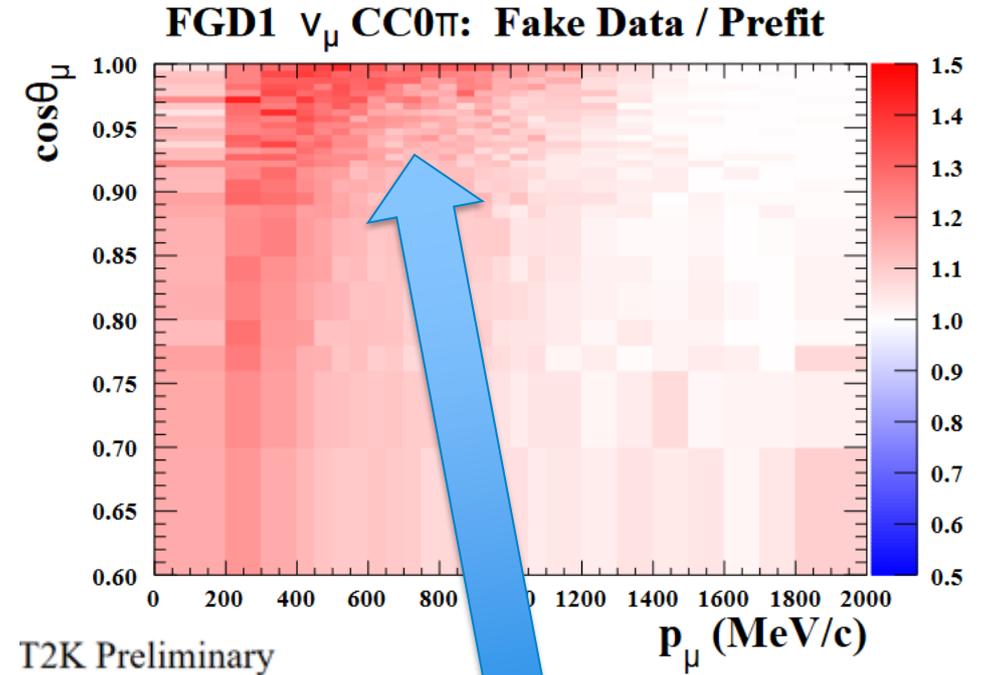
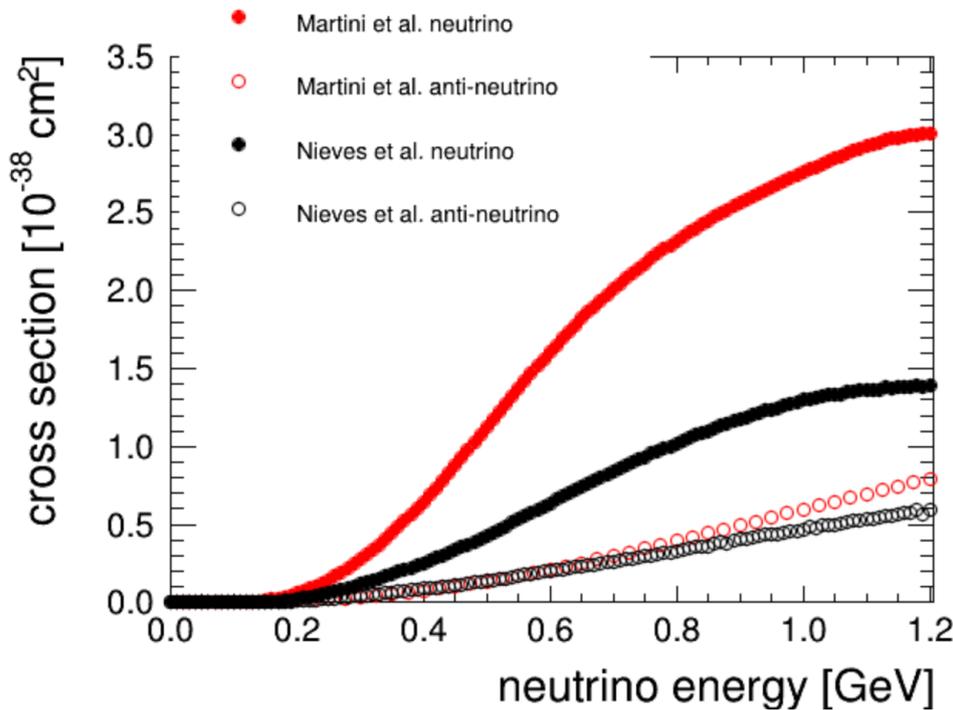
- Biased energy affects oscillation measurements
- Multiple possible models – Martini and Nieves are two examples
  - Different predicted rates for neutrinos and anti-neutrinos
  - ‘CP-violating’ uncertainty

# The Martini 2p2h simulated data study



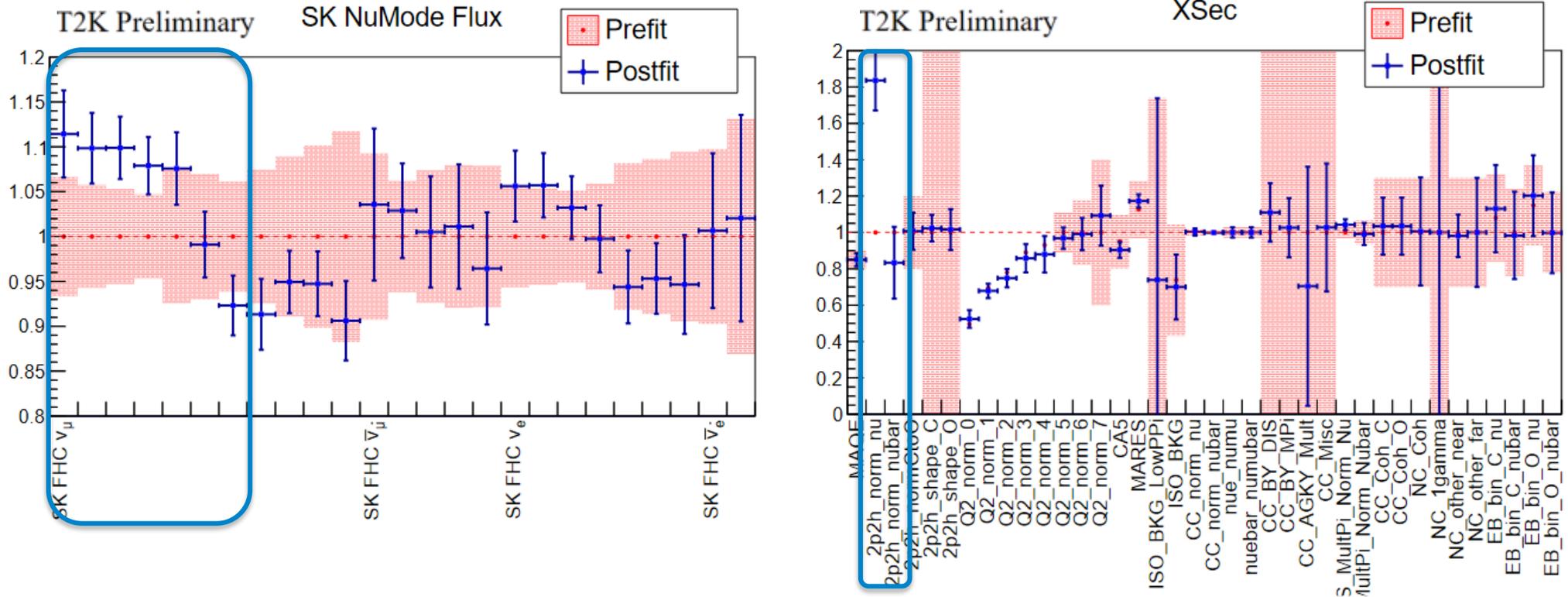
- Model applied to ND280 nominal MC prediction
- FGD1 CC0 $\pi$  sample shown

# The Martini 2p2h simulated data study



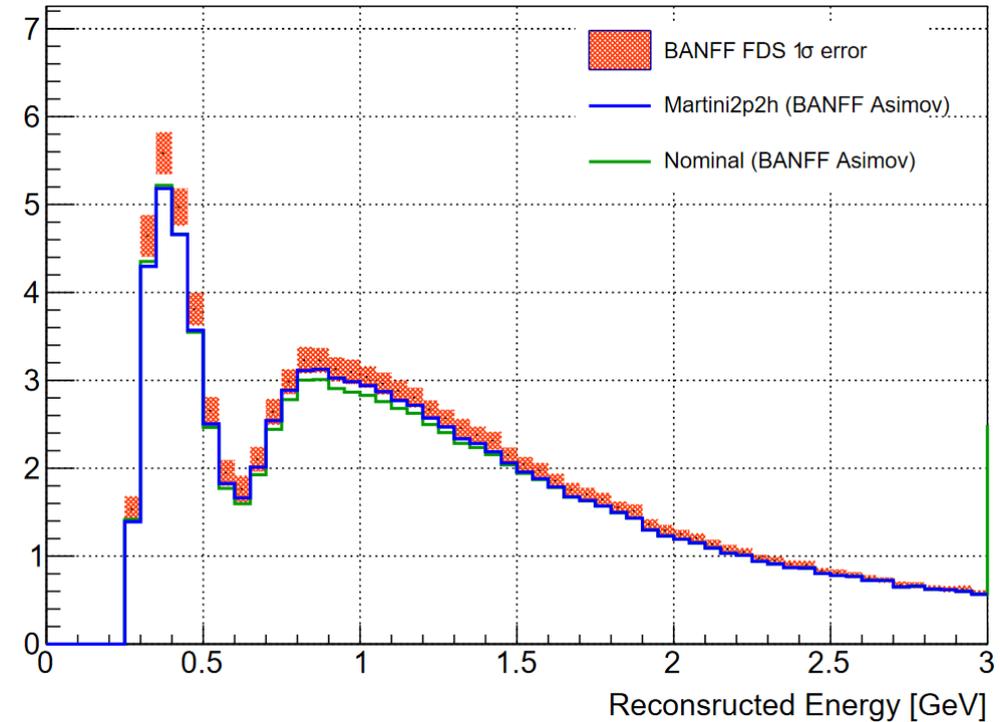
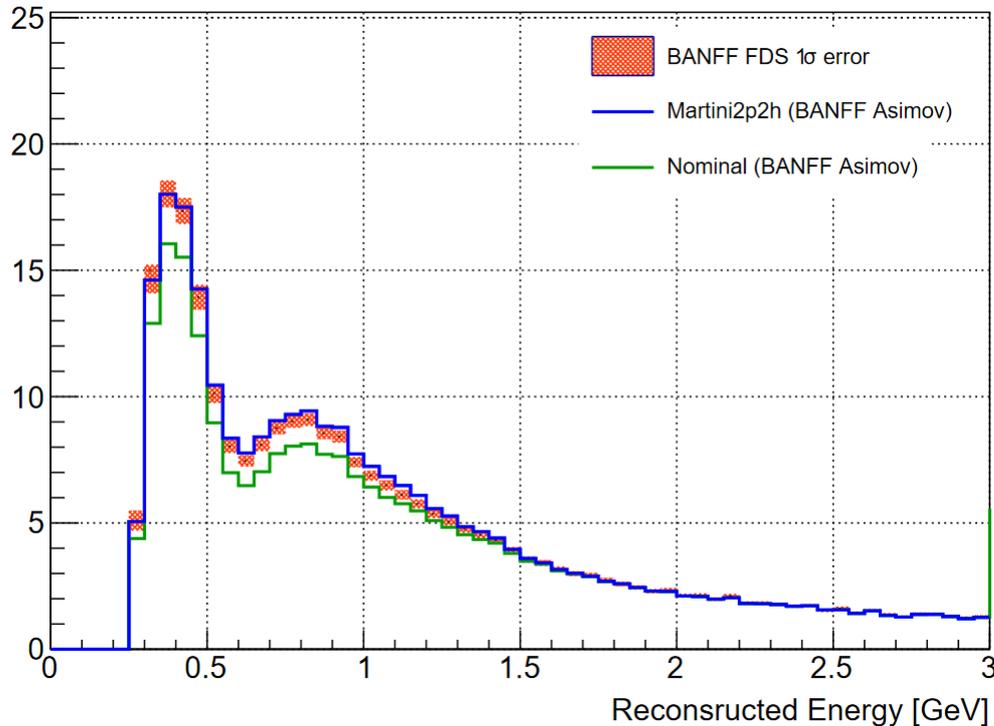
- Model applied to ND280 nominal MC prediction
- FGD1 CC0 $\pi$  sample shown
- Increase in normalization with larger increase at larger neutrino energies

# Martini 2p2h ND280 fit



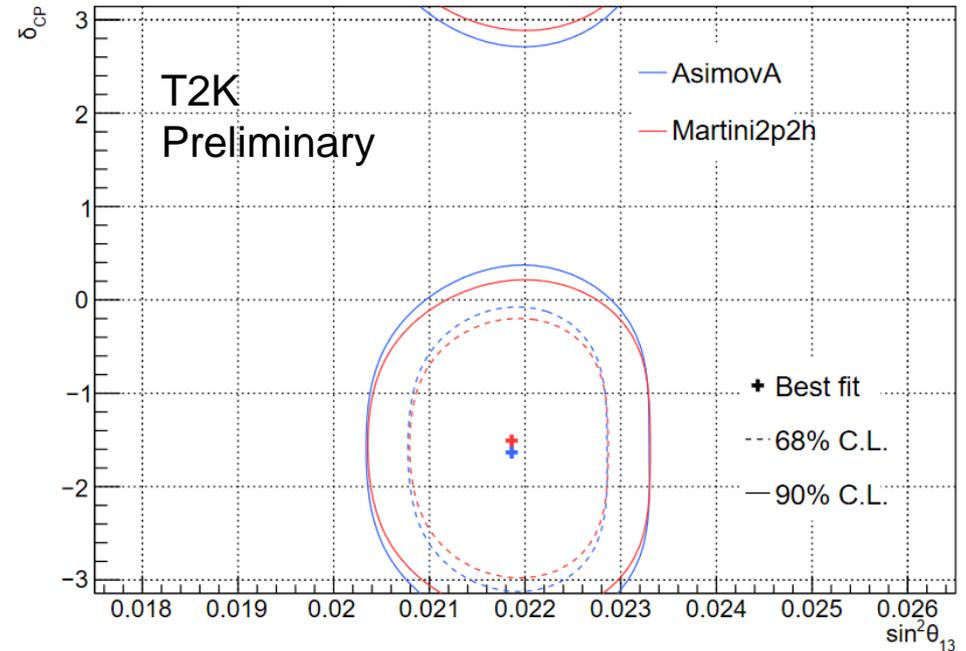
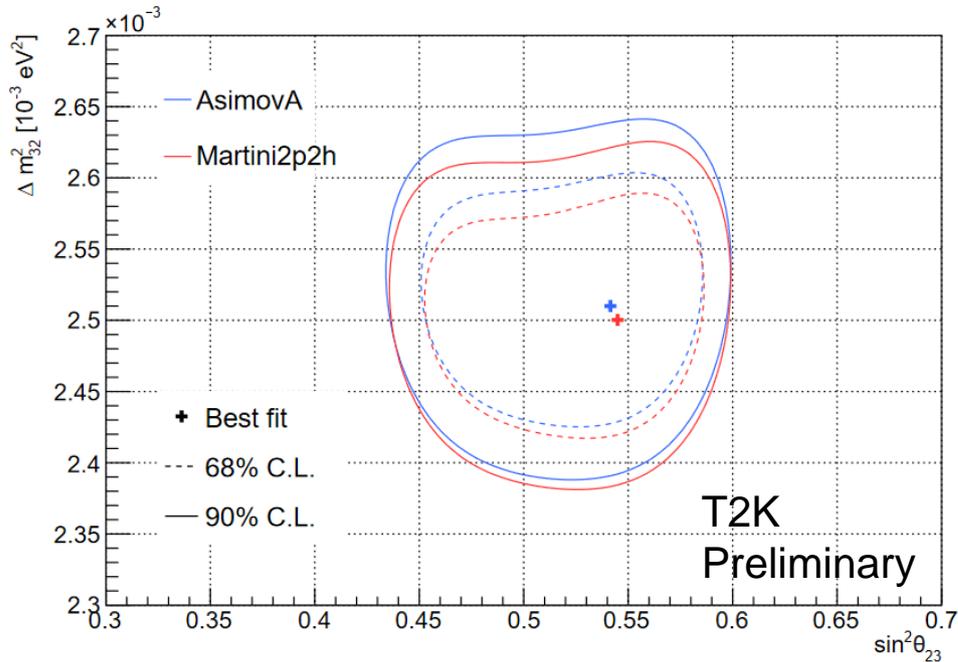
- Changes in flux and 2p2h normalization
  - Normalisation change expected, larger cross-section
  - Energy dependence – only flux parameters allow this in current model

## Martini 2p2h at SK



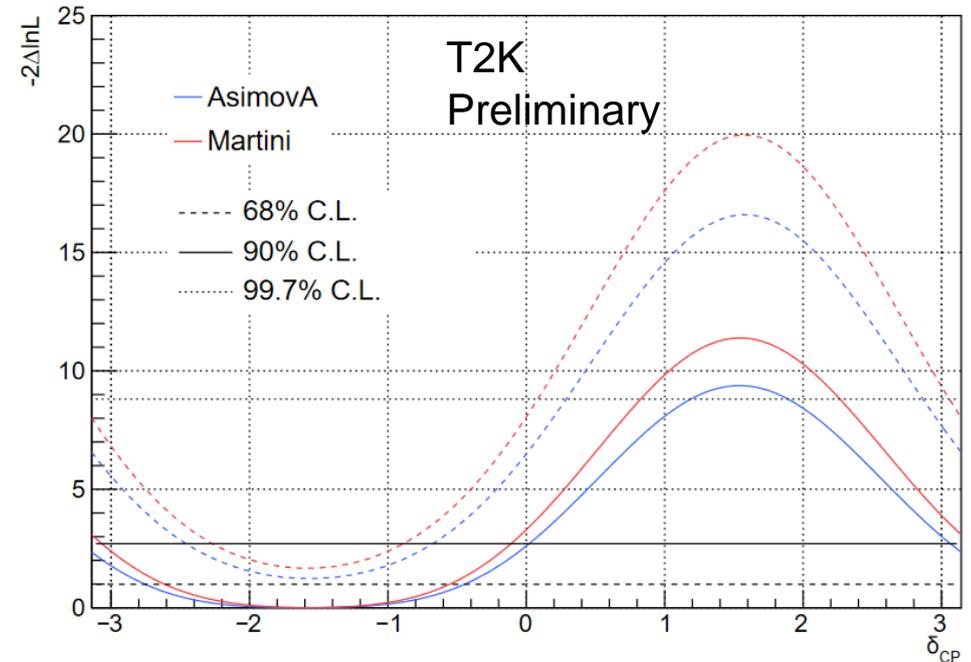
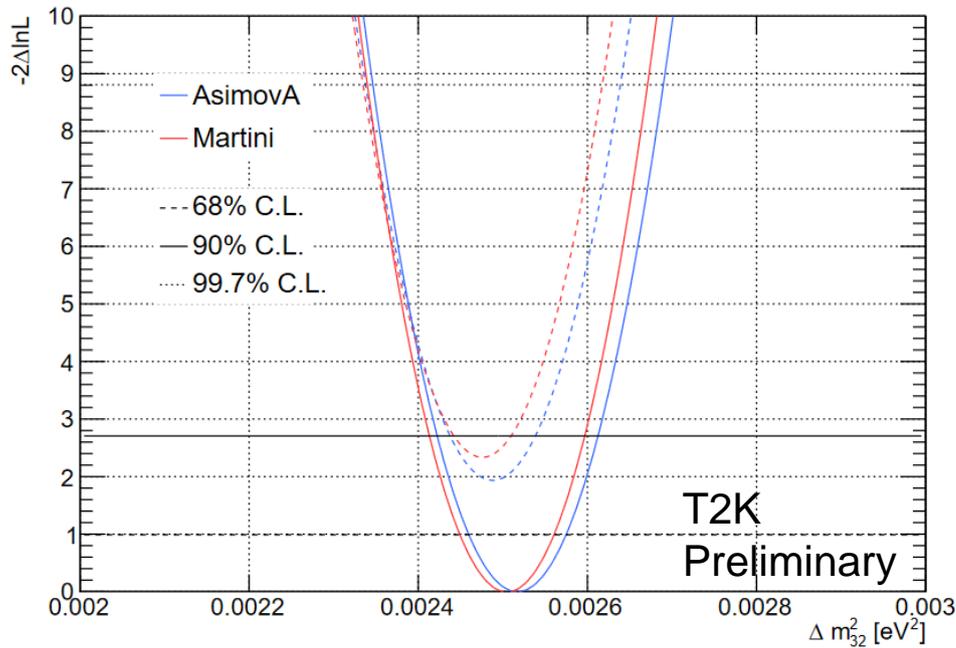
- See that Martini model (compare **nominal MC** to **simulated data**) increases neutrino event rate (left), but not antineutrino (right)
- ND280 fit (**red shading**) under-predicts neutrino data but over-predicts antineutrino data

# Martini 2p2h at SK – 2D contours



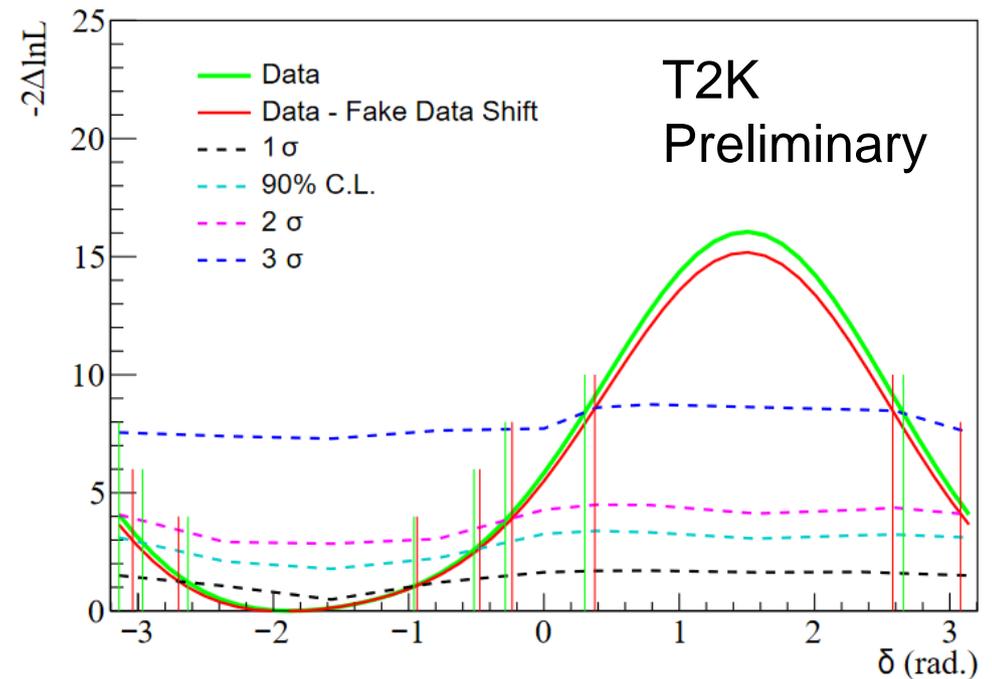
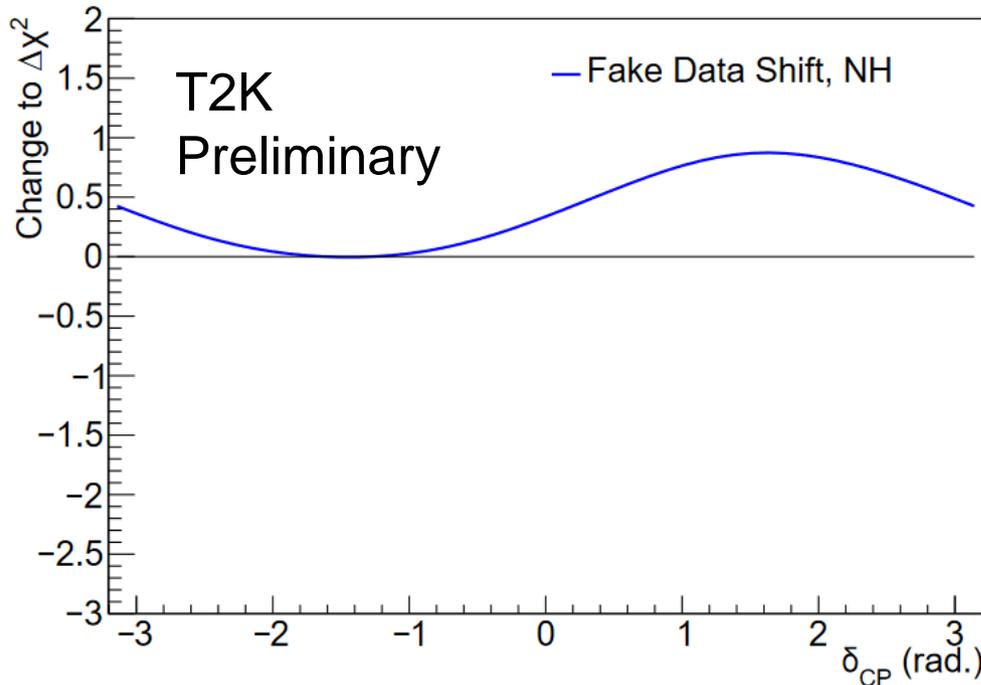
- Disappearance contour shifts to lower values of  $\Delta m_{32}^2$ 
  - Bias in energy reconstruction
- Contour shrinks for  $\delta_{CP} - \sin^2 \theta_{13}$ 
  - Relative rate of neutrino and antineutrino events changes

# Martini 2p2h at SK – 1D contours



- $\Delta X^2$  for  $\delta_{CP}$  changes by  $\sim 2$  units at maximum
  - Apply change in  $\Delta X^2$  to data to assess effect
- $\Delta m^2_{32}$  likelihood is  $\sim$ Gaussian
  - Apply fractional shift in best fit point as an additional systematic

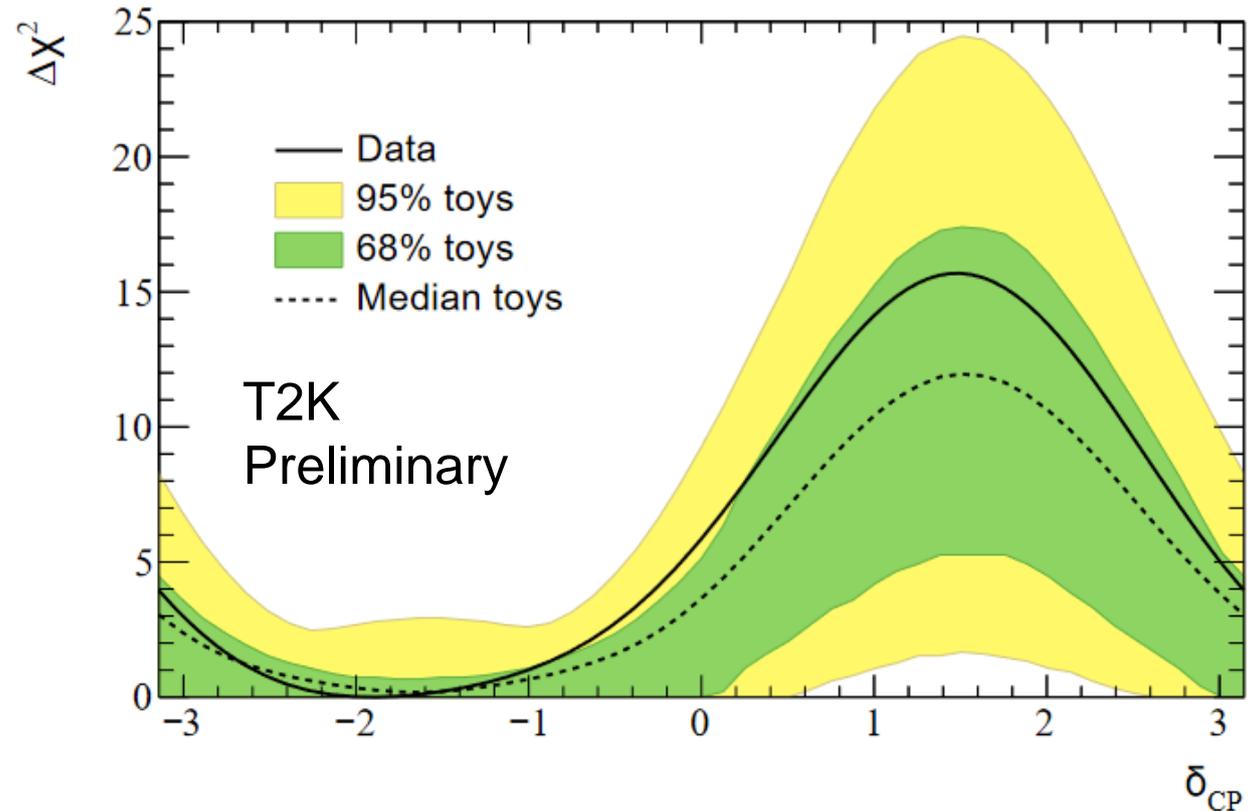
## Martini 2p2h at SK – Assessing $\delta_{CP}$



- Change in  $\Delta X^2$  on left
- Nominal data  $\Delta X^2$  (green) and corrected data  $\Delta X^2$  (red) on right
  - Feldman-Cousins  $3\delta$  (blue) and  $2\delta$  (magenta) critical values
  - Negligible effect – **true for all interaction models studied**

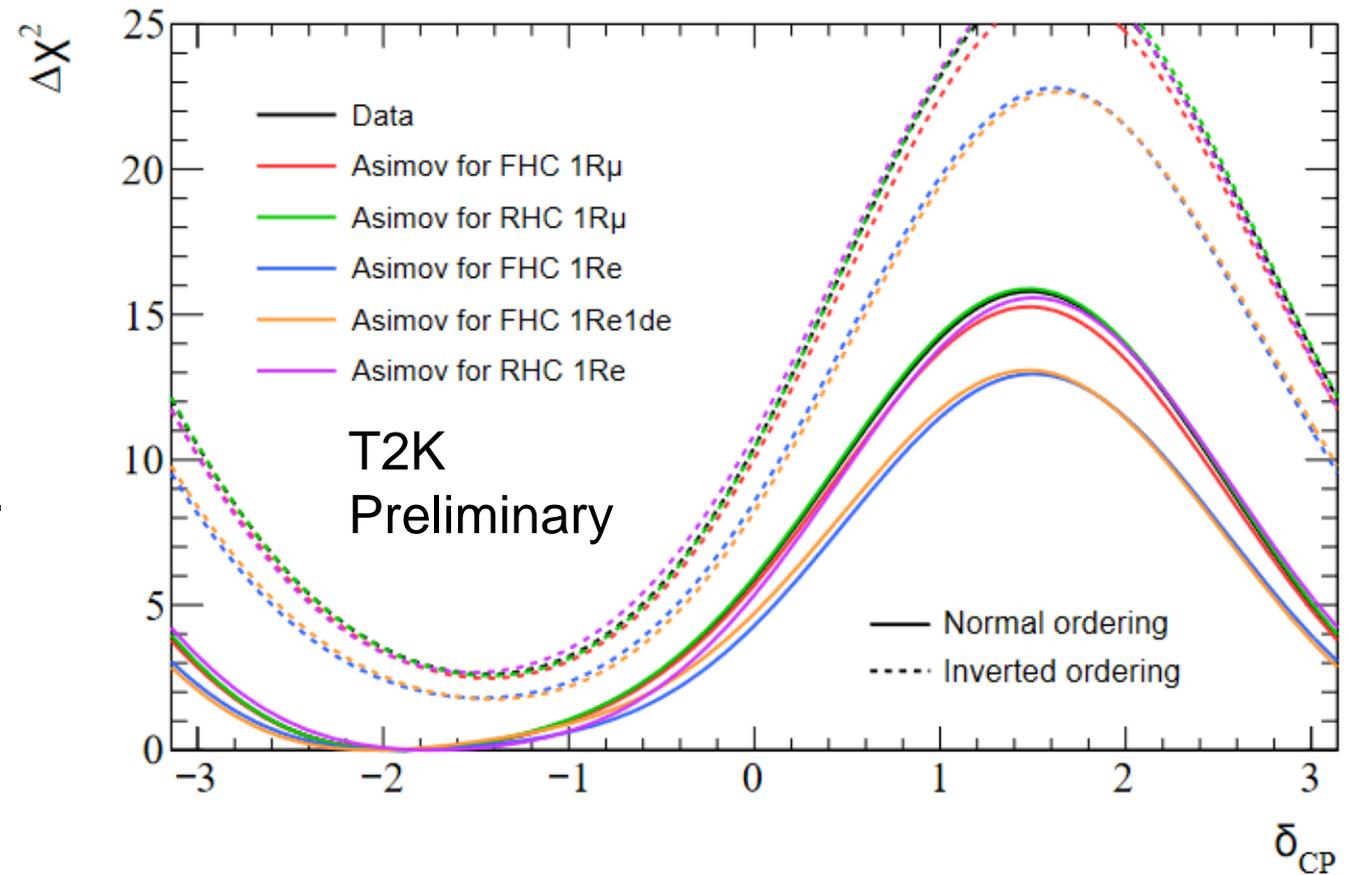
## Likelihood of $\delta_{CP}$ result

- Use marginalisation ‘toy’ experiments to check how unlikely our data result is
  - Randomly vary nuisance parameters according to their prior
- Coloured regions contain stated fraction of toys
  - Data result within  $\sim 1\sigma$  of median



## Contribution to $\delta_{CP}$ result

- Can also replace individual data samples with nominal MC expectation
  - ‘Asimov’
- See that better-than-expected exclusion comes from neutrino mode electron-like samples
  - Both equally

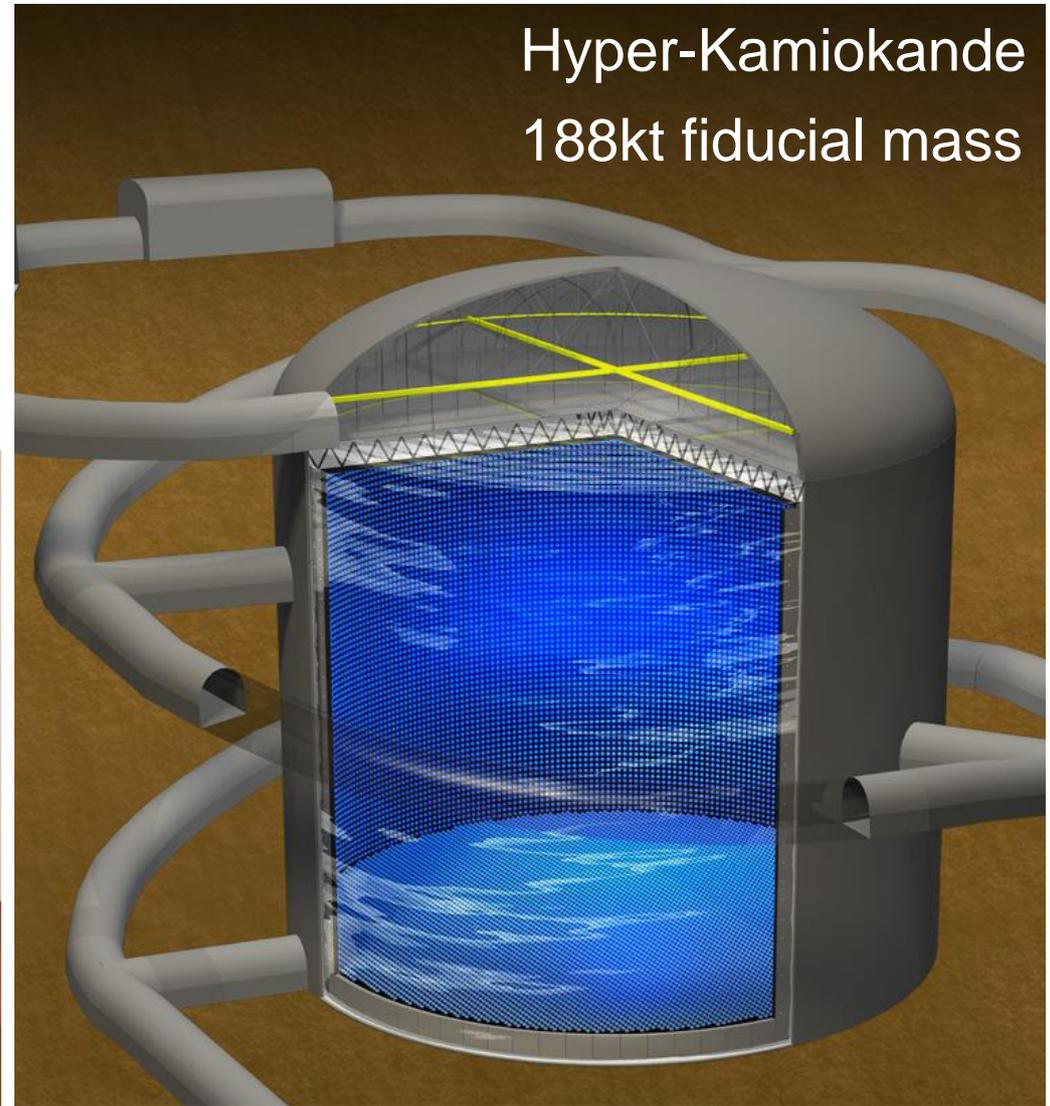


**Where next?**

# Water Cherenkov detectors in Kamioka

Super-Kamiokande  
22.5kt fiducial mass

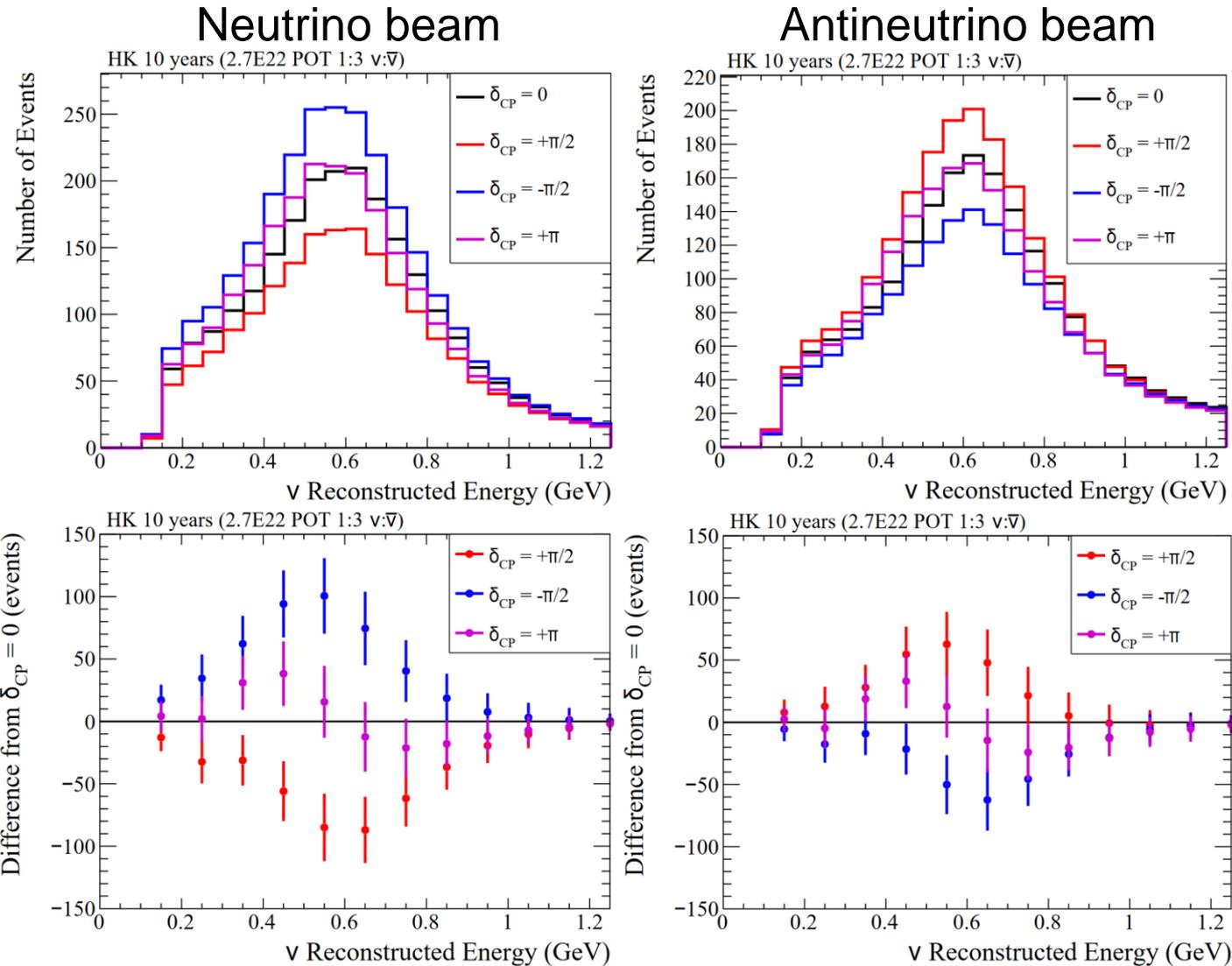
Kamiokande  
3kt mass



# Hyper-Kamiokande electron-like event samples

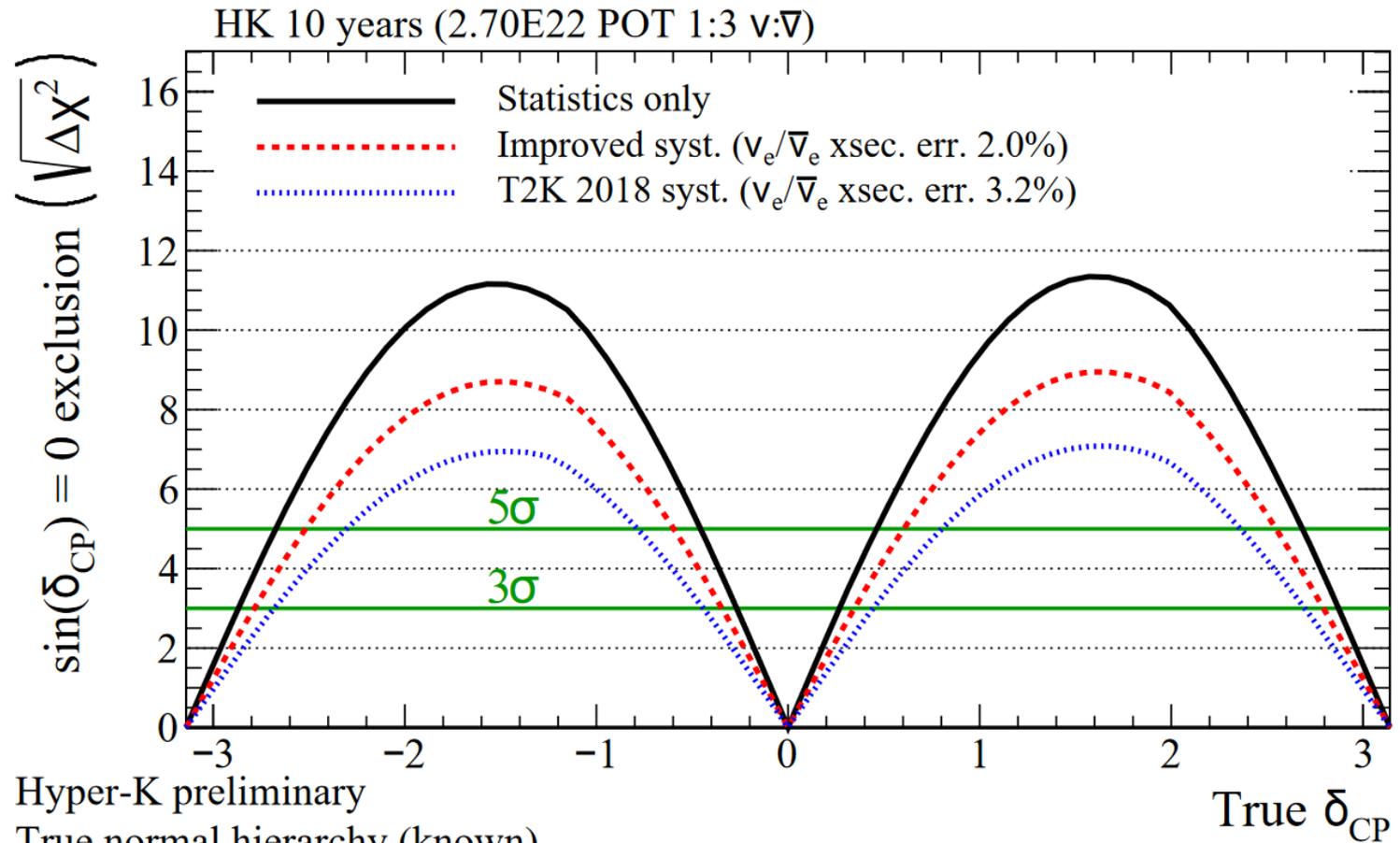
- Expect approx:
  - 2300  $\nu_e$  events
  - 1900  $\bar{\nu}_e$  events
  - Assuming  $\sin(\delta_{CP}) = 0$

- Difference between neutrino and antineutrino rates gives  $\delta_{CP}$



# CP violation sensitivity

- Ability to exclude CP conservation versus true value of  $\delta_{CP}$



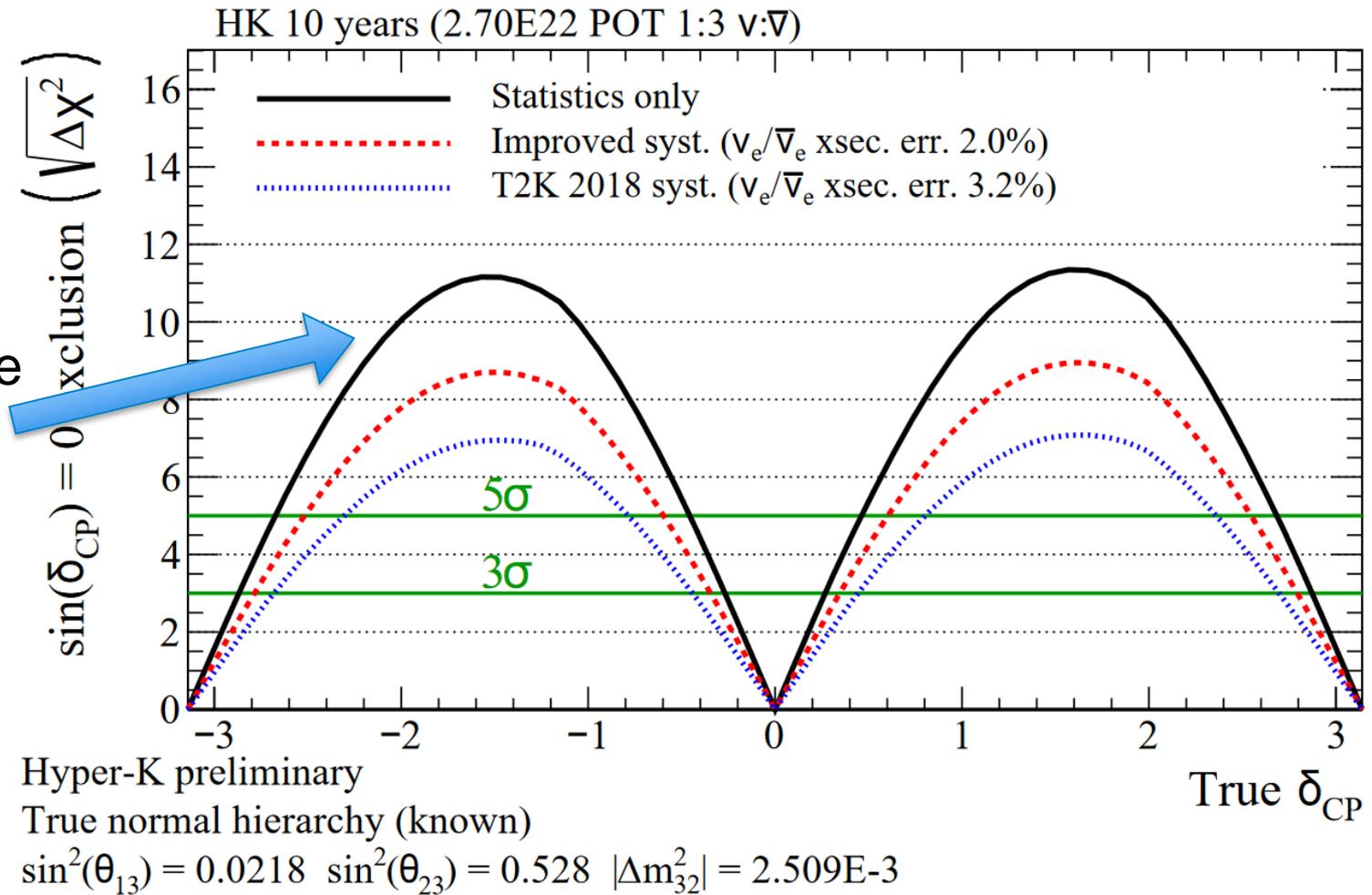
Hyper-K preliminary

True normal hierarchy (known)

$\sin^2(\theta_{13}) = 0.0218$   $\sin^2(\theta_{23}) = 0.528$   $|\Delta m_{32}^2| = 2.509E-3$

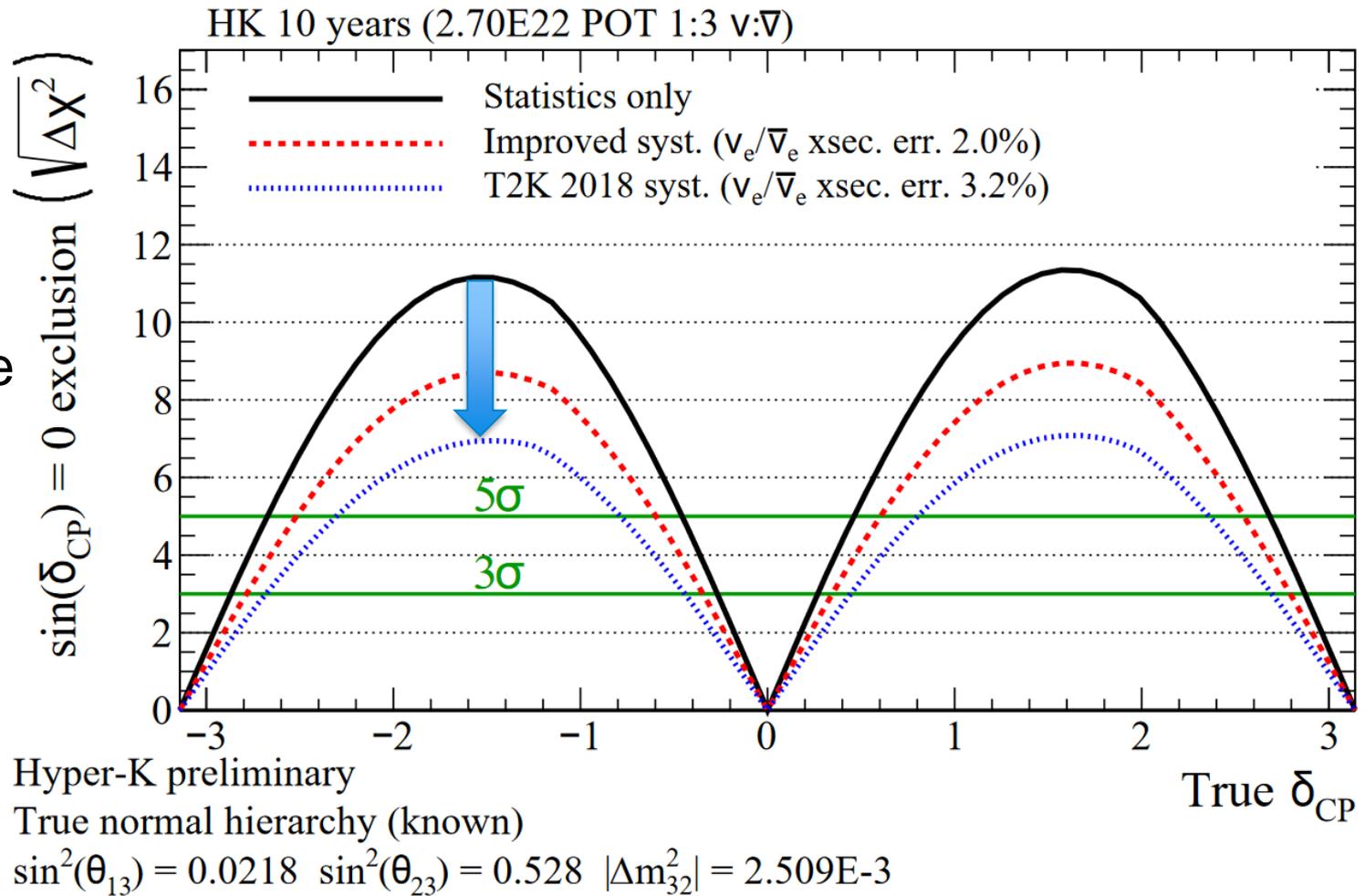
# CP violation sensitivity

- Ability to exclude CP conservation versus true value of  $\delta_{CP}$
- Large electron-like samples provide high statistics



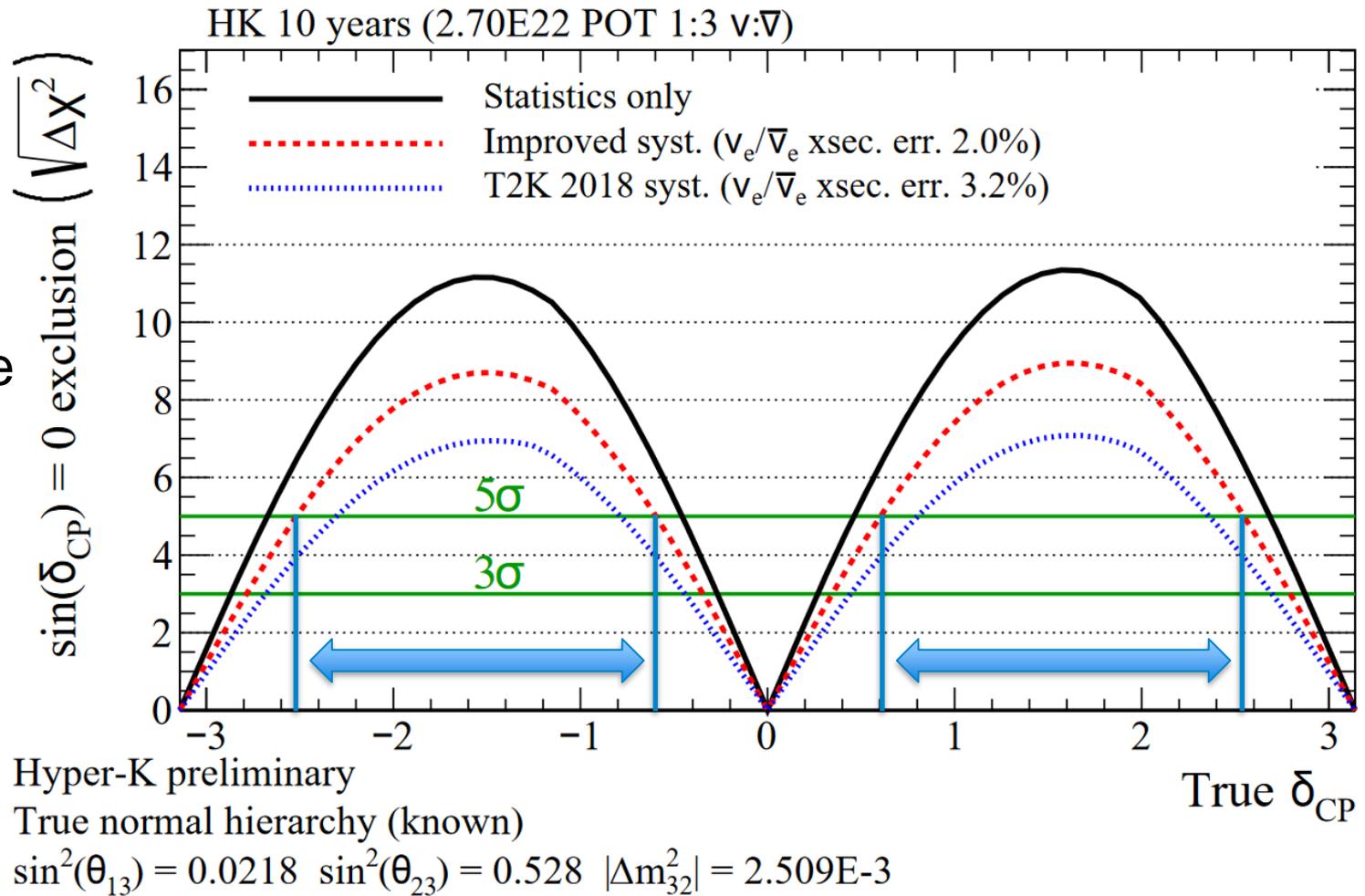
# CP violation sensitivity

- Ability to exclude CP conservation versus true value of  $\delta_{CP}$
- Large electron-like samples provide high statistics
- Limited by systematics



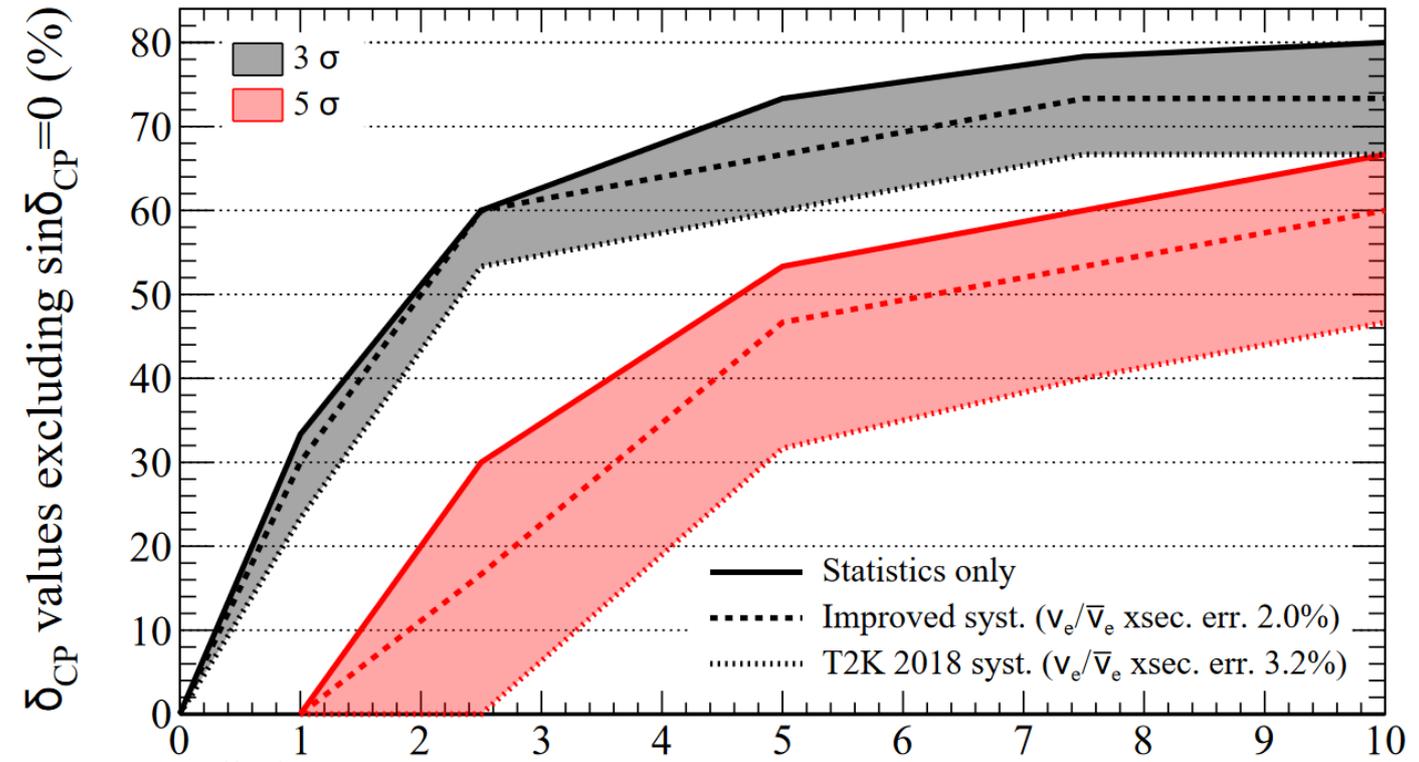
# CP violation sensitivity

- Ability to exclude CP conservation versus true value of  $\delta_{CP}$
- Large electron-like samples provide high statistics
- Limited by systematics
- **Can exclude 60% of true  $\delta_{CP}$  values at  $5\sigma$**



# CP violation sensitivity over time

- Percentage of true  $\delta_{CP}$  values where CP conservation can be excluded as a function of running year



Hyper-K preliminary

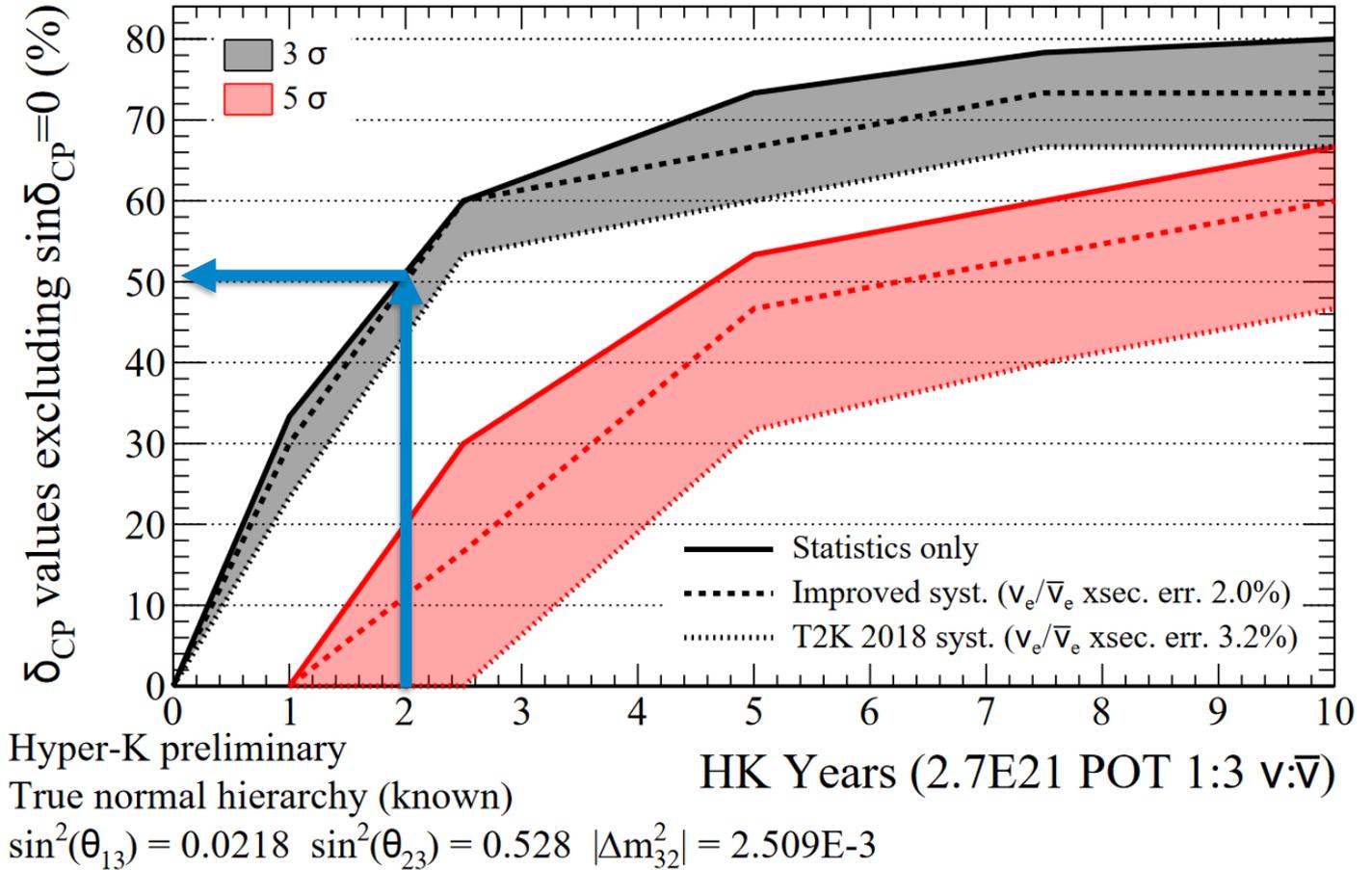
True normal hierarchy (known)

$$\sin^2(\theta_{13}) = 0.0218 \quad \sin^2(\theta_{23}) = 0.528 \quad |\Delta m_{32}^2| = 2.509E-3$$

HK Years (2.7E21 POT 1:3  $\nu:\bar{\nu}$ )

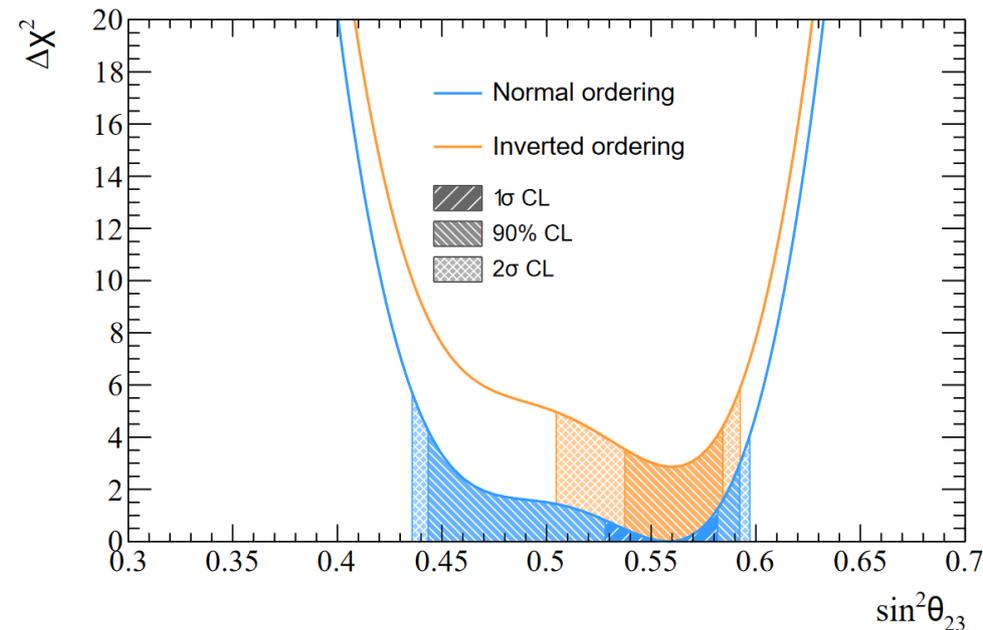
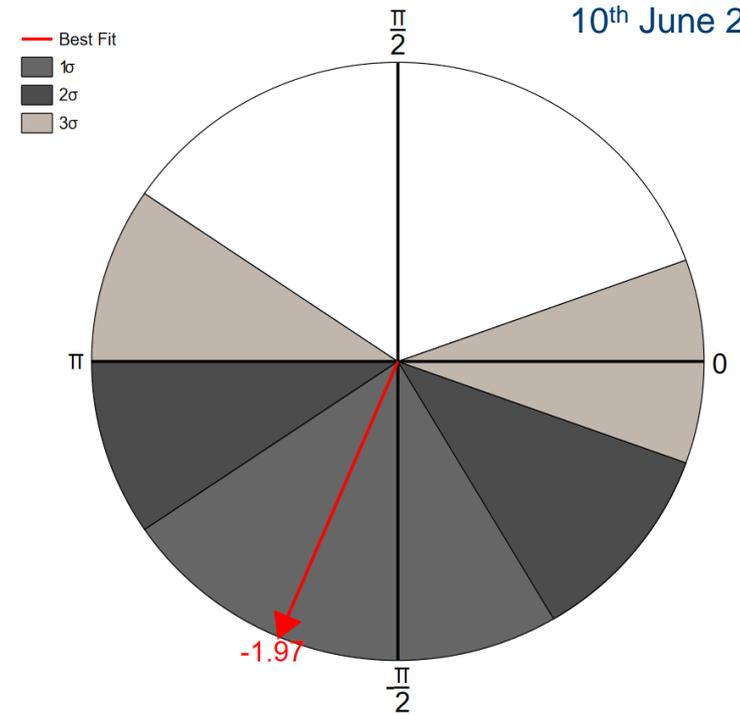
# CP violation sensitivity over time

- Percentage of true  $\delta_{CP}$  values where CP conservation can be excluded as a function of running year
- Can achieve 3 $\sigma$  CP violation result over significant regions of  $\delta_{CP}$  after 2 years operation



## Summary

- T2K has measured neutrino oscillation parameters with  $3.64 \times 10^{21}$  POT
  - Approaching  $2\sigma$  exclusion of CP conservation
  - Preference for upper octant and normal mass ordering
- Detailed studies of neutrino interaction model robustness
  - Essential for future experiments
- Next generation experiment, HK, will give  $5\sigma$  sensitivity to CP violation over large range of true  $\delta_{CP}$  values
  - Systematics limited!



# Backup Slides

## SK detector systematics

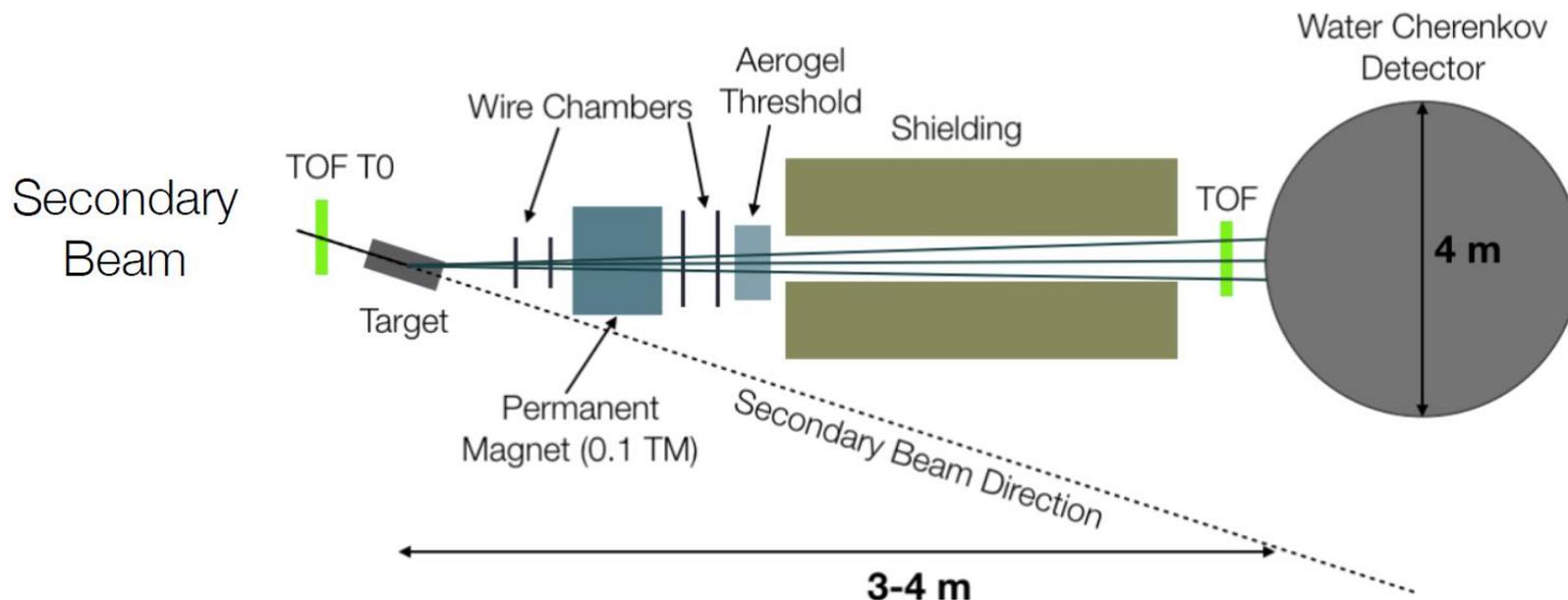
- Understanding detector systematics and pion scattering crucial for future (+current) experiments

Error source (units: %)	$1R_{\mu}$				$1R_e$		FHC/RHC
	FHC	RHC	FHC	RHC	FHC	CC1 $\pi^+$	
Flux	2.9	2.8	2.8	2.9	2.8		1.4
Xsec (ND constr)	3.1	3.0	3.2	3.1	4.2		1.5
Flux+Xsec (ND constr)	2.1	2.3	2.0	2.3	4.1		1.7
Xsec (ND unconstrained)	0.6	2.5	3.0	3.6	2.8		3.8
SK+SI+PN	2.1	1.9	3.1	3.9	13.4		1.2
<b>Total</b>	<b>3.0</b>	<b>4.0</b>	<b>4.7</b>	<b>5.9</b>	<b>14.3</b>		<b>4.3</b>

- Particularly necessary for higher energy events
  - Multi-pion samples at far detector
  - Atmospheric neutrinos
- Table shows effect on rate of events, but must understand energy spectrum shape for precision measurements

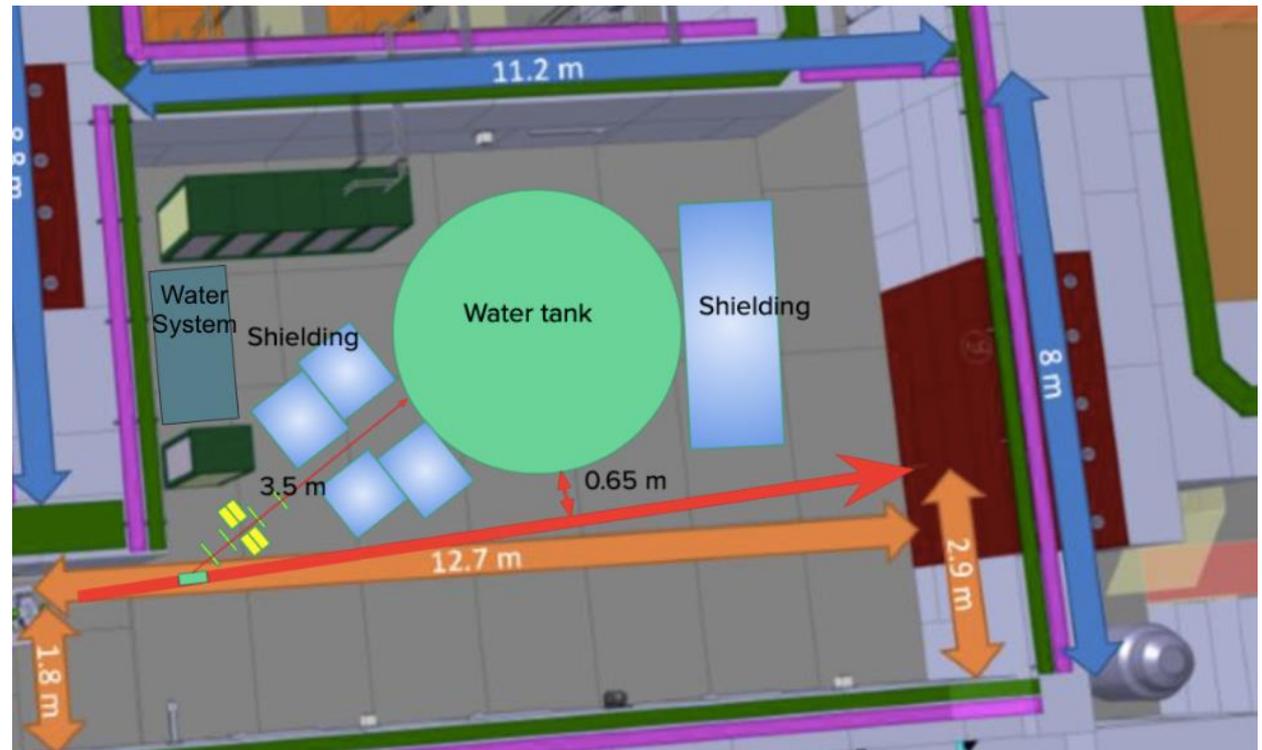
## Water Cherenkov Test Experiment

- Goal: study detector systems and detector response to pions, muons, electrons and protons from 200 MeV/c up to 1000 MeV/c
  - Understand detector calibration needed for IWCD/HK
  - Physics: Cherenkov profile, secondary interactions, neutrons
- Use tertiary production target and spectrometer upstream of detector



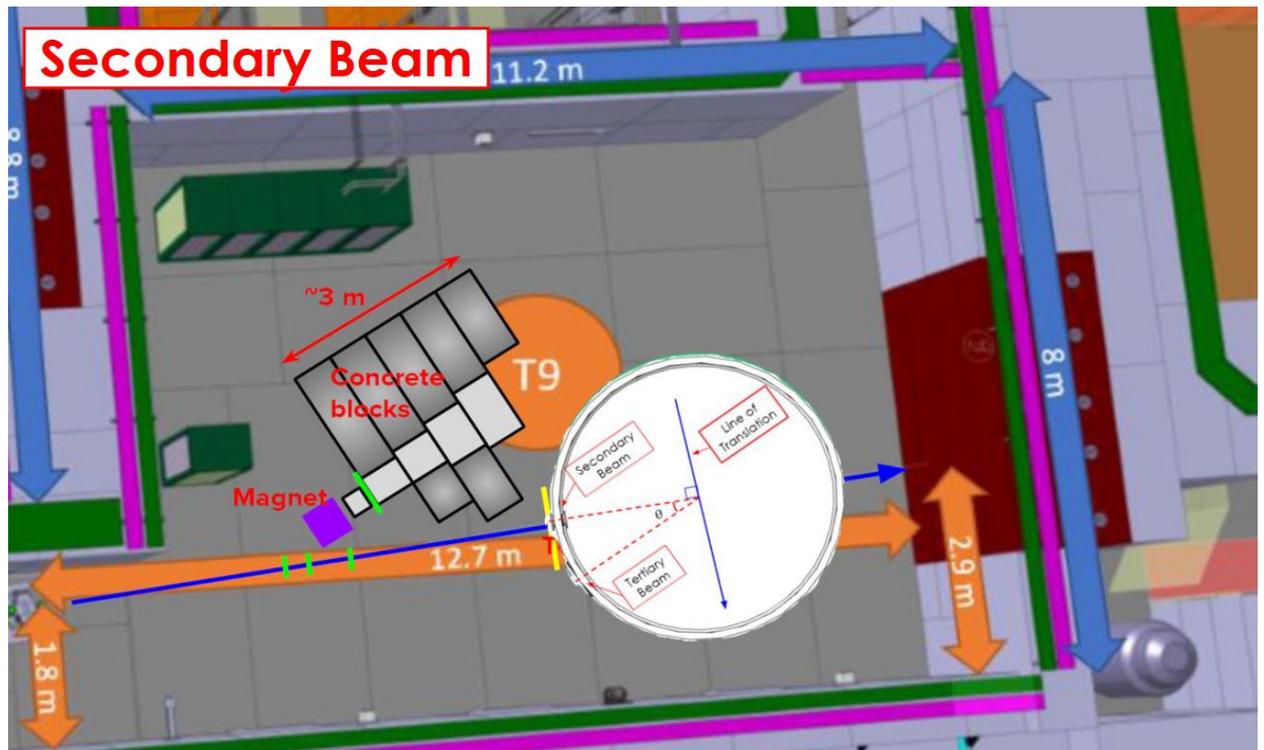
## Experimental area

- We are proposing to use the T9 beam line in the East Area
  - Enough space for 4m by 4m tank and tertiary beamline
- Tertiary beam for pions/protons

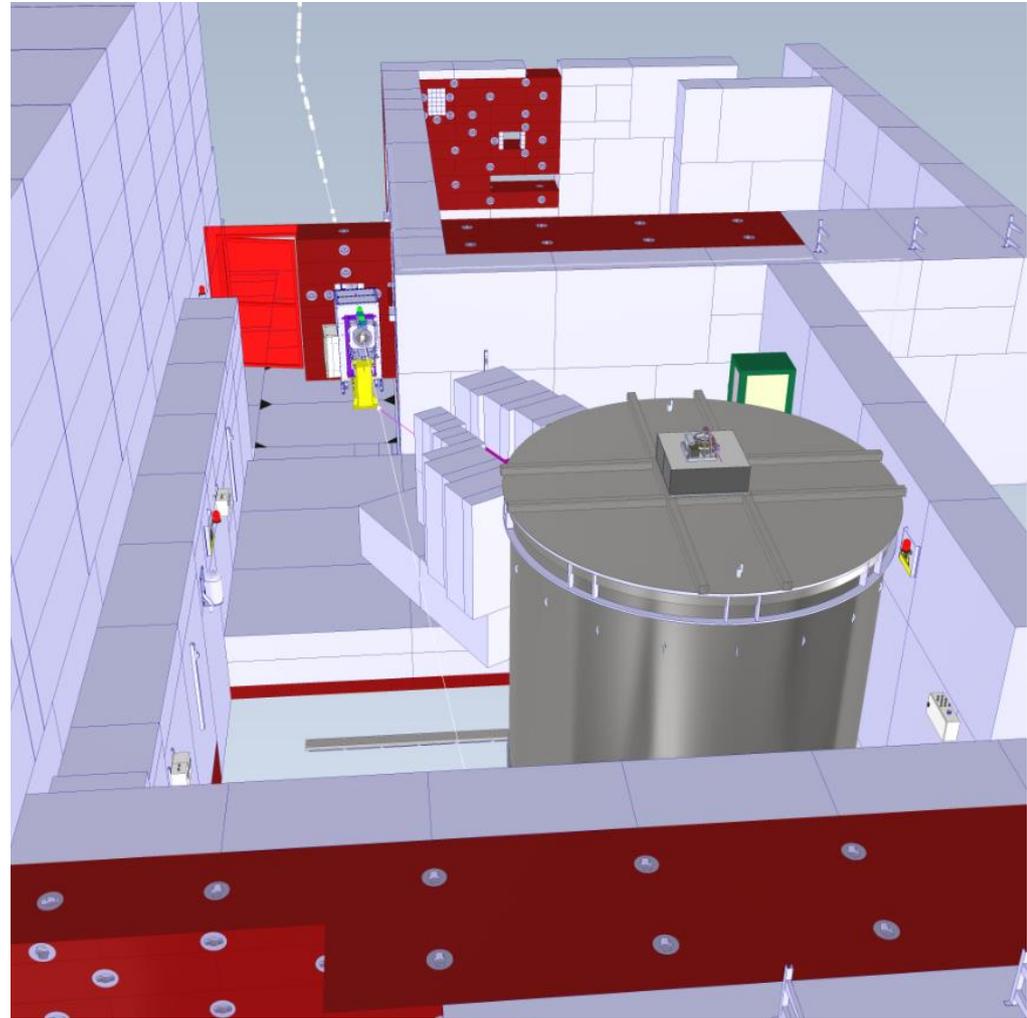
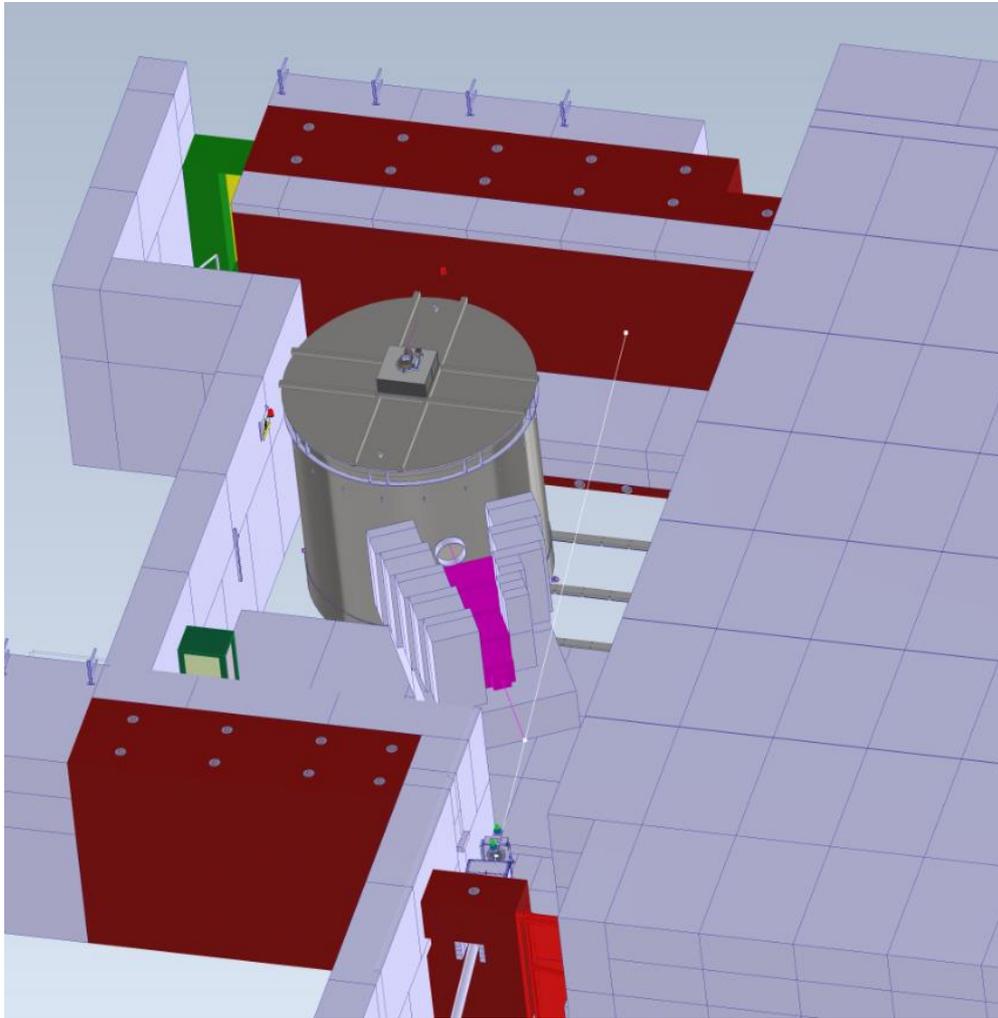


## Experimental area

- We are proposing to use the T9 beam line in the East Area
  - Enough space for 4m by 4m tank and tertiary beamline
- Tertiary beam for pions/protons
- Secondary beam for low momentum electrons and muons
- Planned for early 2023

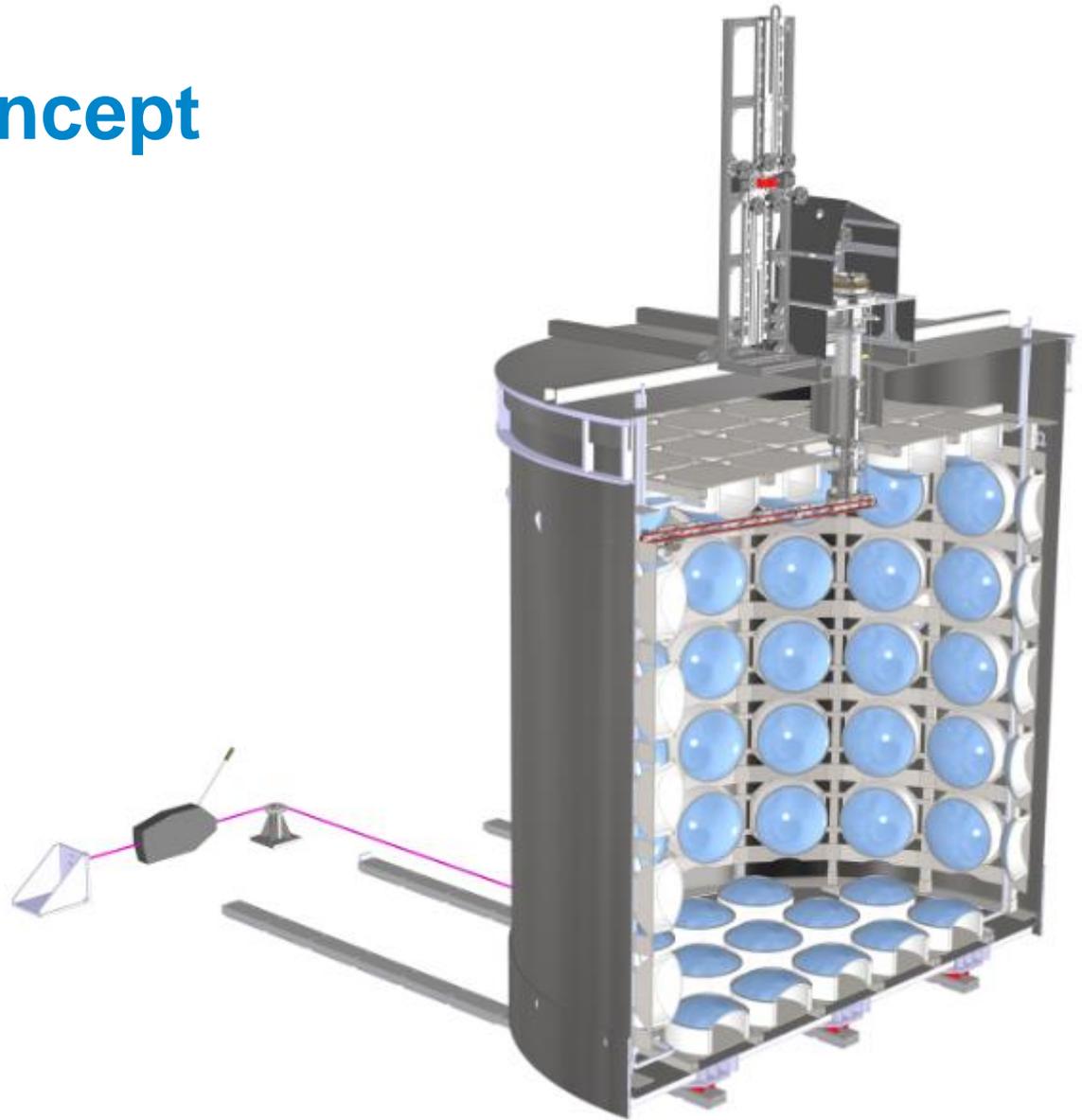


## WCTE at CERN T9 beamline



## WCTE Detector concept

- Instrumented with multi-PMT modules being developed for Hyper-K
- Integrated calibration system on detector lid
- Total mass ~50 tons



## Best fit parameter values

Parameter	Best fit			
	T2K only		T2K + reactor	
	Normal	Inverted	Normal	Inverted
Data				
Hierarchy				
$\sin^2(2\theta_{13})$	0.109	0.120	0.0855	0.0860
$\sin^2(\theta_{13})$	$28.0 \times 10^{-3}$	$31.0 \times 10^{-3}$	$21.9 \times 10^{-3}$	$22.0 \times 10^{-3}$
$\delta_{\text{CP}}$	-2.22	-1.29	-1.97	-1.44
$\Delta m_{32}^2$ (NH)/ $ \Delta m_{31}^2 $ (IH) [ $\text{eV}^2/\text{c}^4$ ]	$2.495 \times 10^{-3}$	$2.463 \times 10^{-3}$	$2.494 \times 10^{-3}$	$2.463 \times 10^{-3}$
$\sin^2(\theta_{23})$	0.467	0.466	0.561	0.563
$-2 \ln L$	597.72	598.56	598.05	600.49

- Global best fit (above) and Feldman-Cousins intervals for  $\delta_{\text{CP}}$  (bottom left) and  $\sin^2\theta_{23}$  (bottom right)

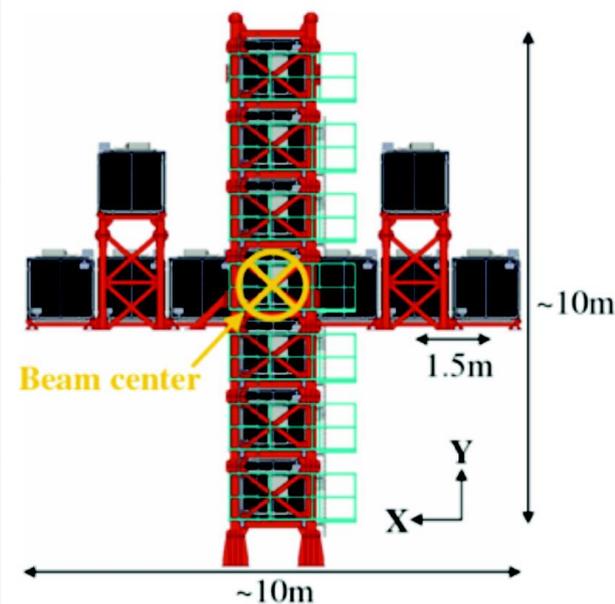
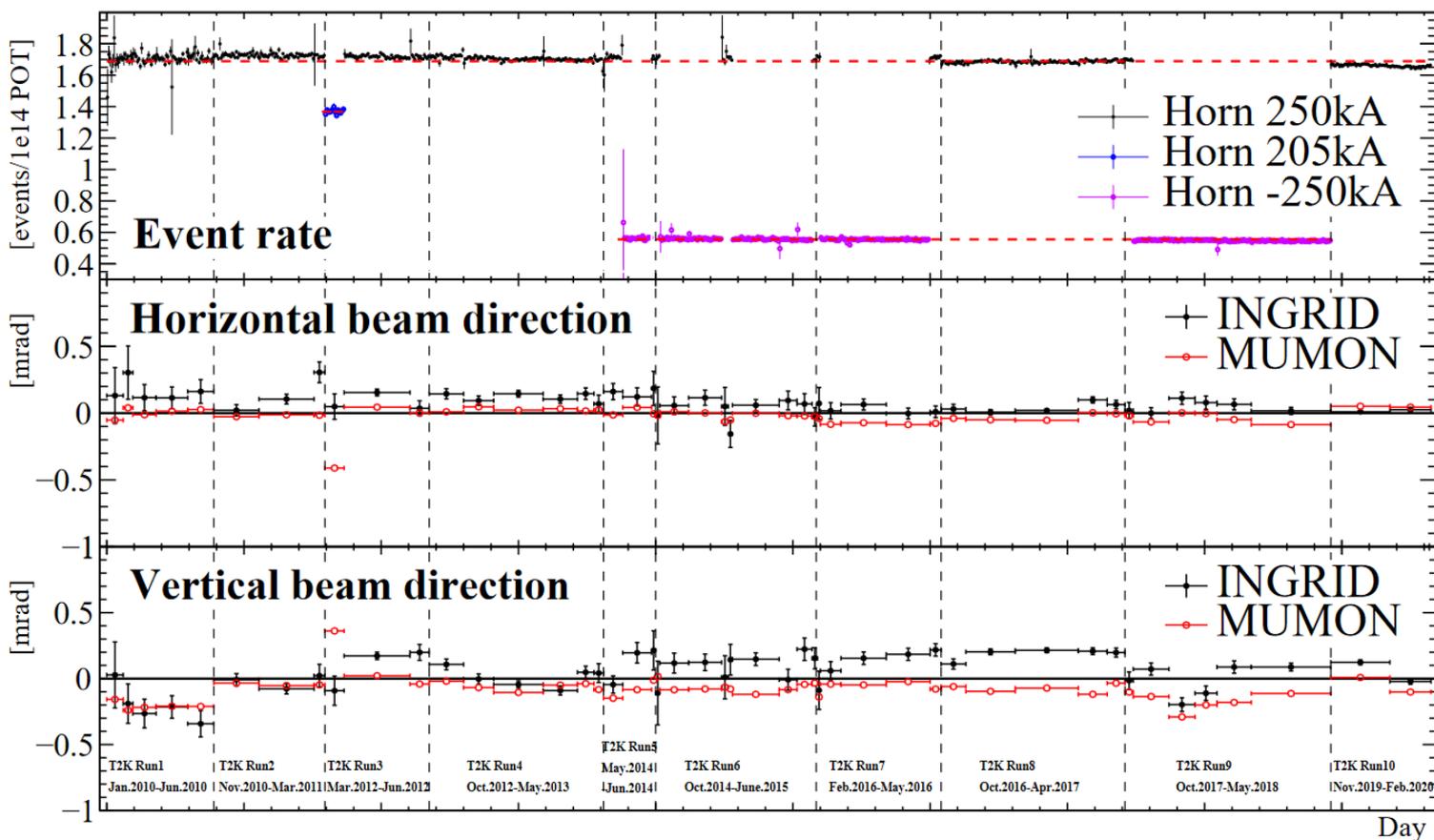
$\delta_{\text{CP}}$

Confidence level	Interval (NH)	Interval (IH)
$1\sigma$	$[-2.66, -0.97]$	
90%	$[-3.00, -0.49]$	$[-1.79, -1.09]$
$2\sigma$	$[-\pi, -0.26] \cup [3.11, \pi]$	$[-2.20, -0.75]$
$3\sigma$	$[-\pi, 0.32] \cup [2.63, \pi]$	$[-2.82, -0.14]$

$\sin^2\theta_{23}$

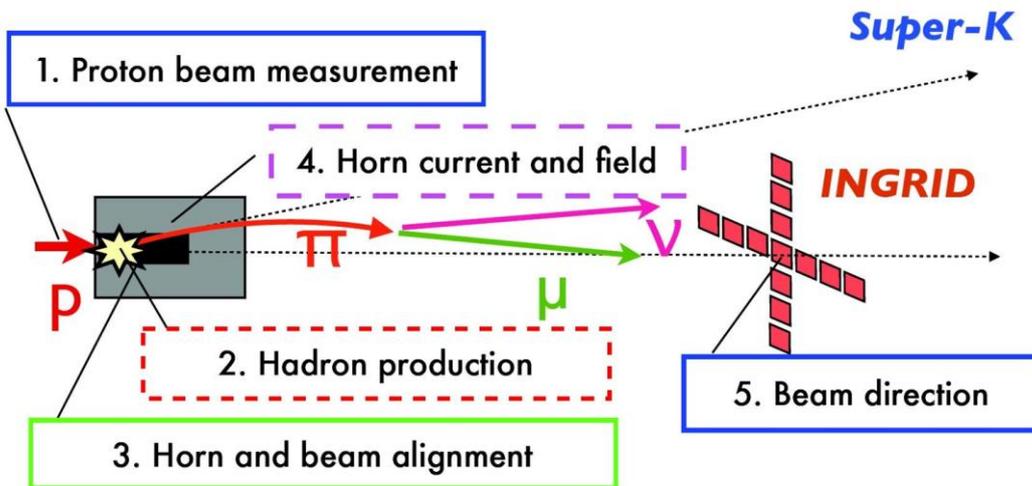
Confidence level	Interval (NH)	Interval (IH)
$1\sigma$	$[0.528, 0.582]$	
90%	$[0.443, 0.592]$	$[0.537, 0.584]$
$2\sigma$	$[0.436, 0.597]$	$[0.505, 0.593]$

# Beam stability

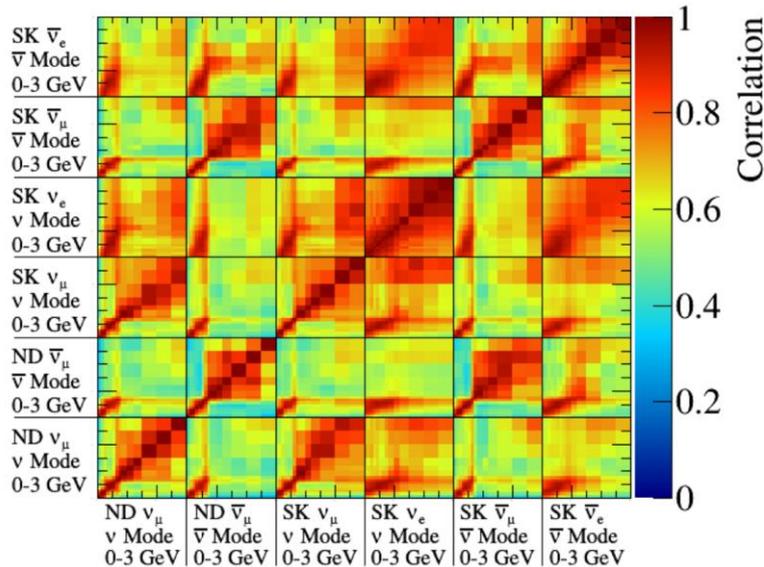


- INGRID and muon monitors measure beam centre position
- Very stable neutrino beam over full run

# T2K flux model

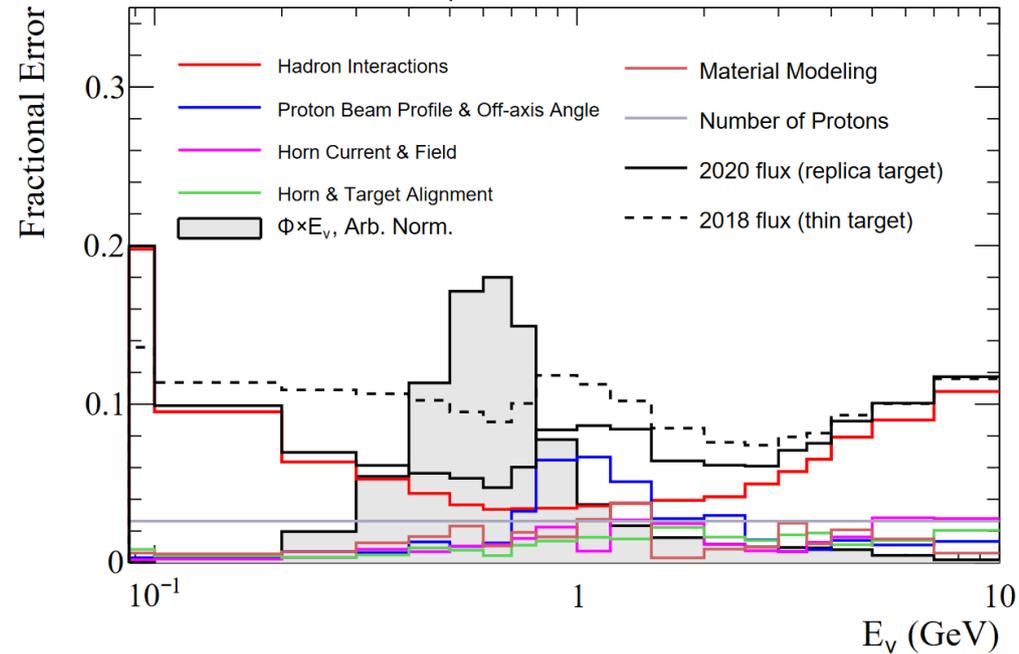


Flux Correlations



ND280: Neutrino Mode,  $\nu_\mu$

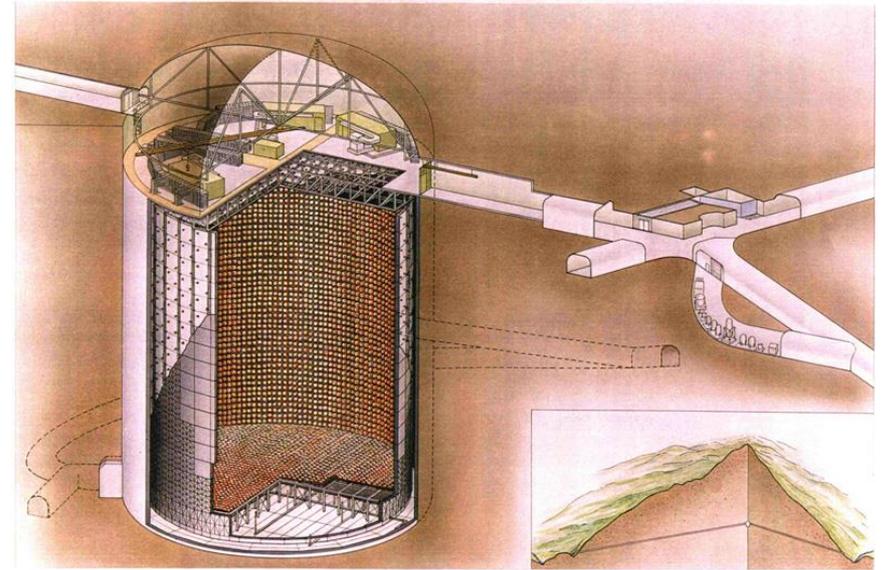
T2K Preliminary



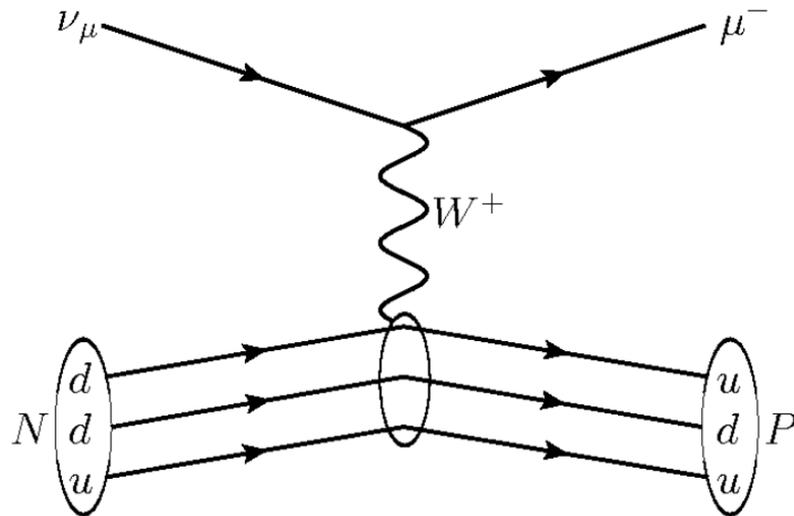
- Parametrised in neutrino energy and flavour
- Parameter uncertainties calculated by varying underlying systematics
- Performed simultaneously for near and far detector
- Correlates near and far flux parameters

# Super-Kamiokande detector

- Signal in far detector:
- Measure rate of muon-like and electron-like events
- CCQE interactions are 'golden' channel



SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO (c) Kamioka Observatory, ICRR(Institute for Cosmic Ray Research), The University of Tokyo

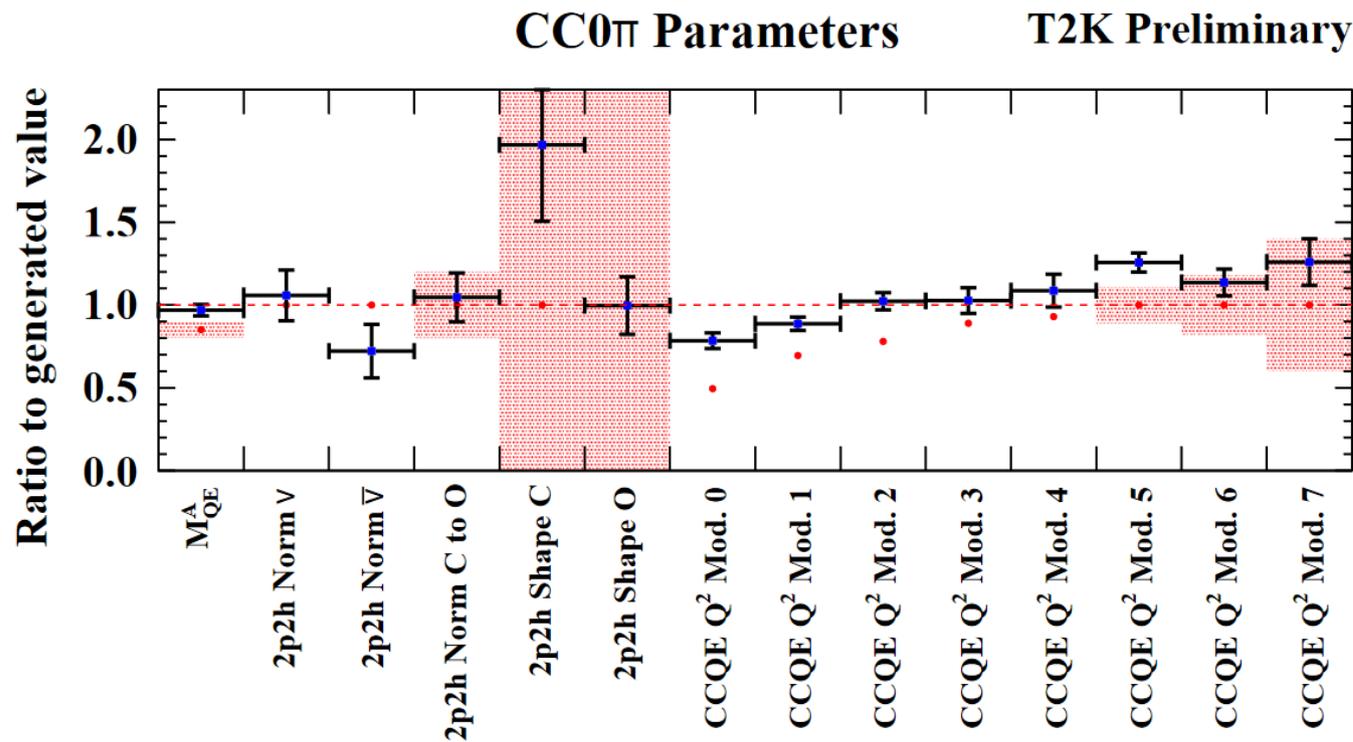


(a) CC QES interaction

- Assume nucleon at rest – 2-body process
- Can calculate neutrino energy from observed muon kinematics

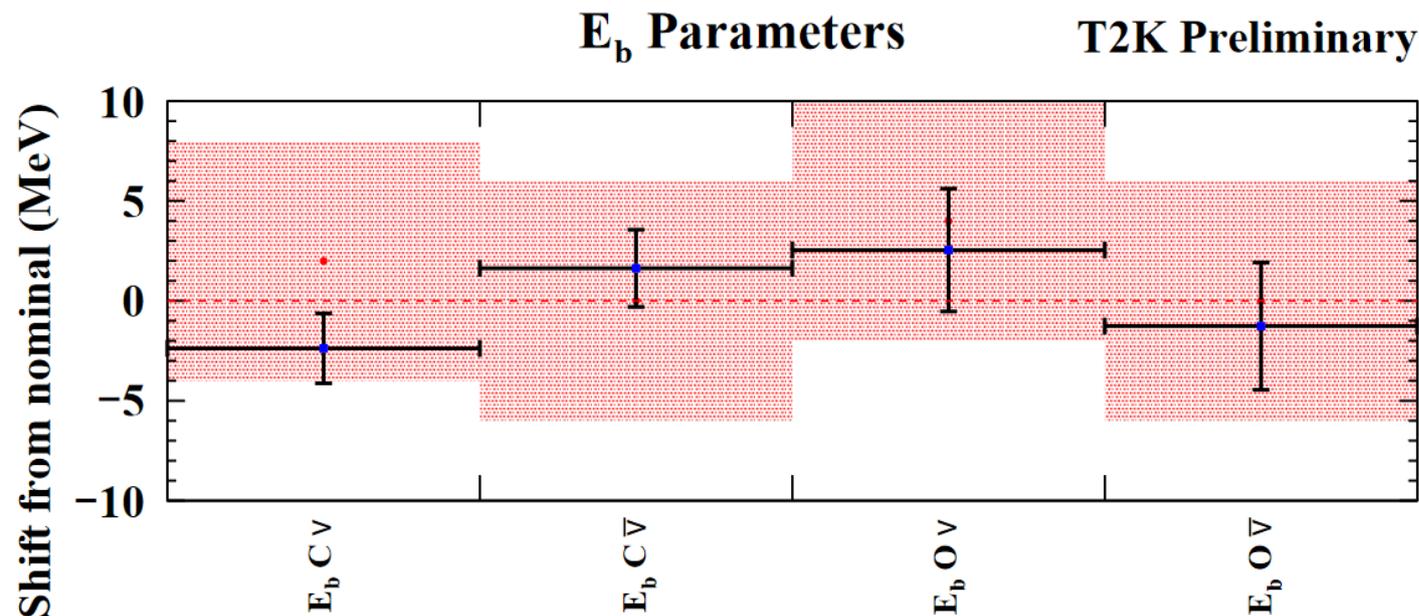
$$E_{\nu}^{QE} = \frac{m_p^2 - m_n'^2 - m_{\mu}^2 + 2m_n' E_{\mu}}{2(m_n' - E_{\mu} + p_{\mu} \cos \theta_{\mu})}$$

# Cross-section model – CC $0\pi$



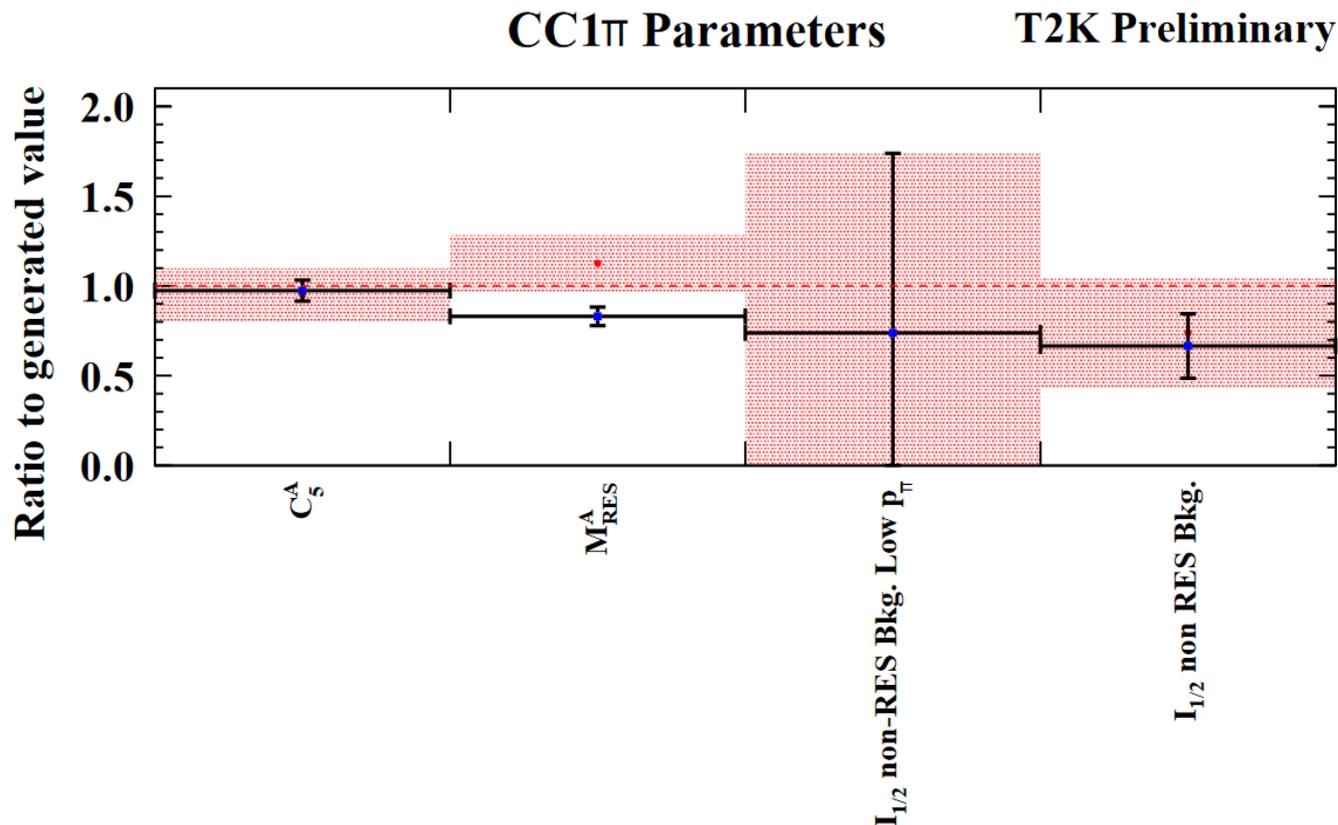
- Axial mass parameter
- 2p2h normalisation
  - Different for neutrinos and antineutrino
- 2p2h shape – difference between true and reconstructed neutrino energy
  - Different for carbon and oxygen
- Q<sup>2</sup> normalization parameters

# Cross-section model – Binding energy



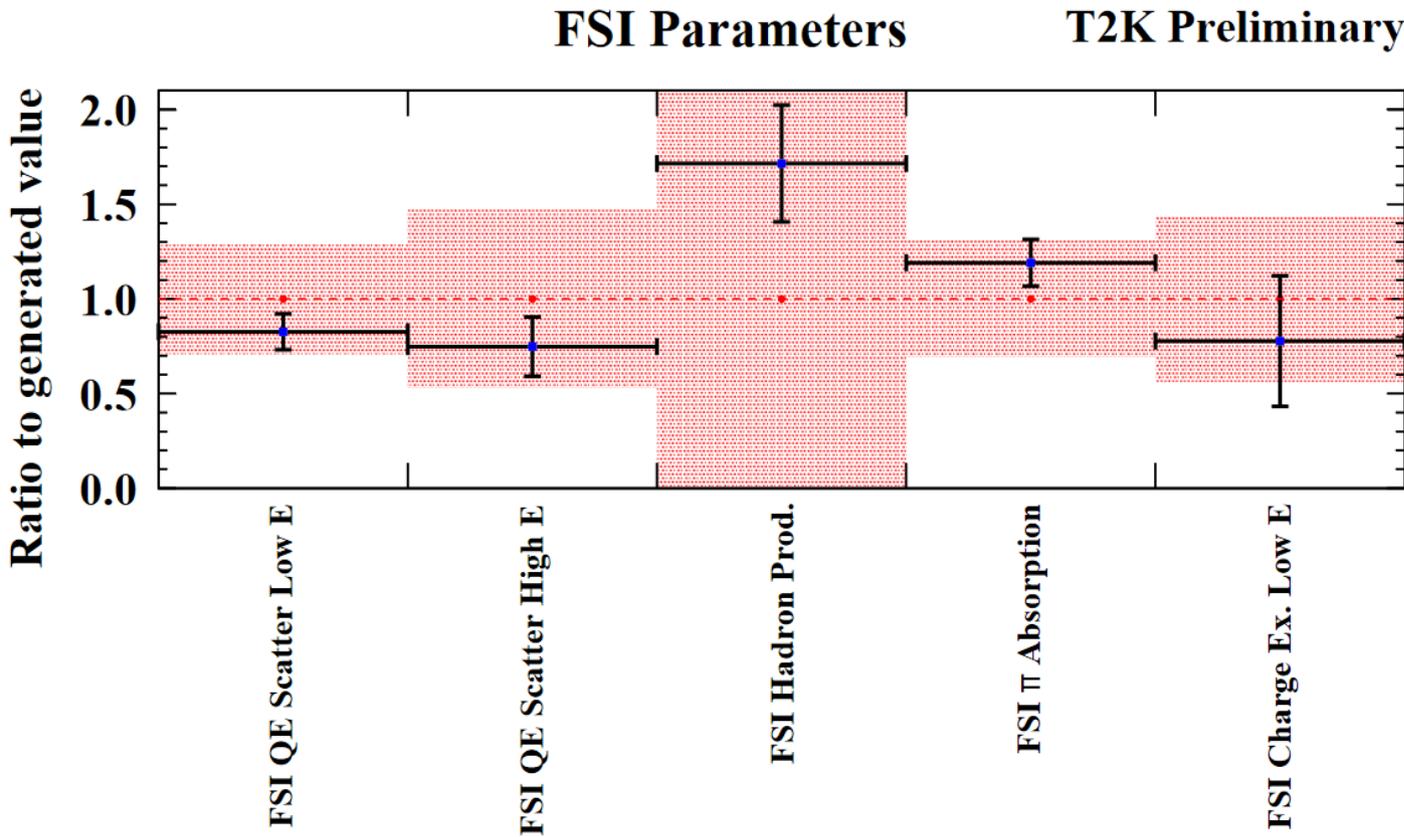
- Affects CC  $0\pi$  events
- Shifts momentum of outgoing lepton
- New modelling allows this to be constrained by near detectors
  - Previously was a large uncertainty on oscillation measurement

# Cross-section model – CC 1 $\pi$



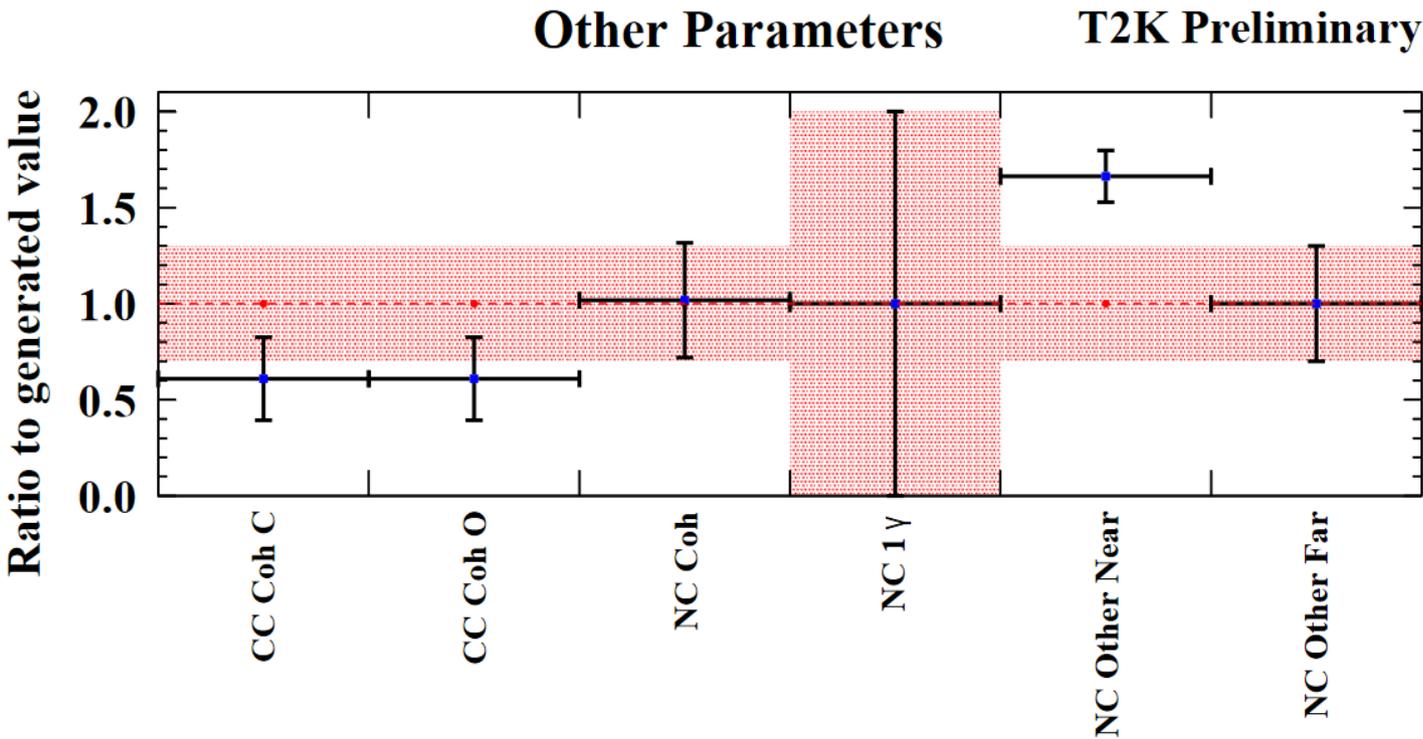
- CA5 – normalisation for the resonant form factor
- Axial mass parameter
- $I=1/2$  background norm.
  - Low momentum and high momentum pions

# Cross-section model – Pion Final State Interactions



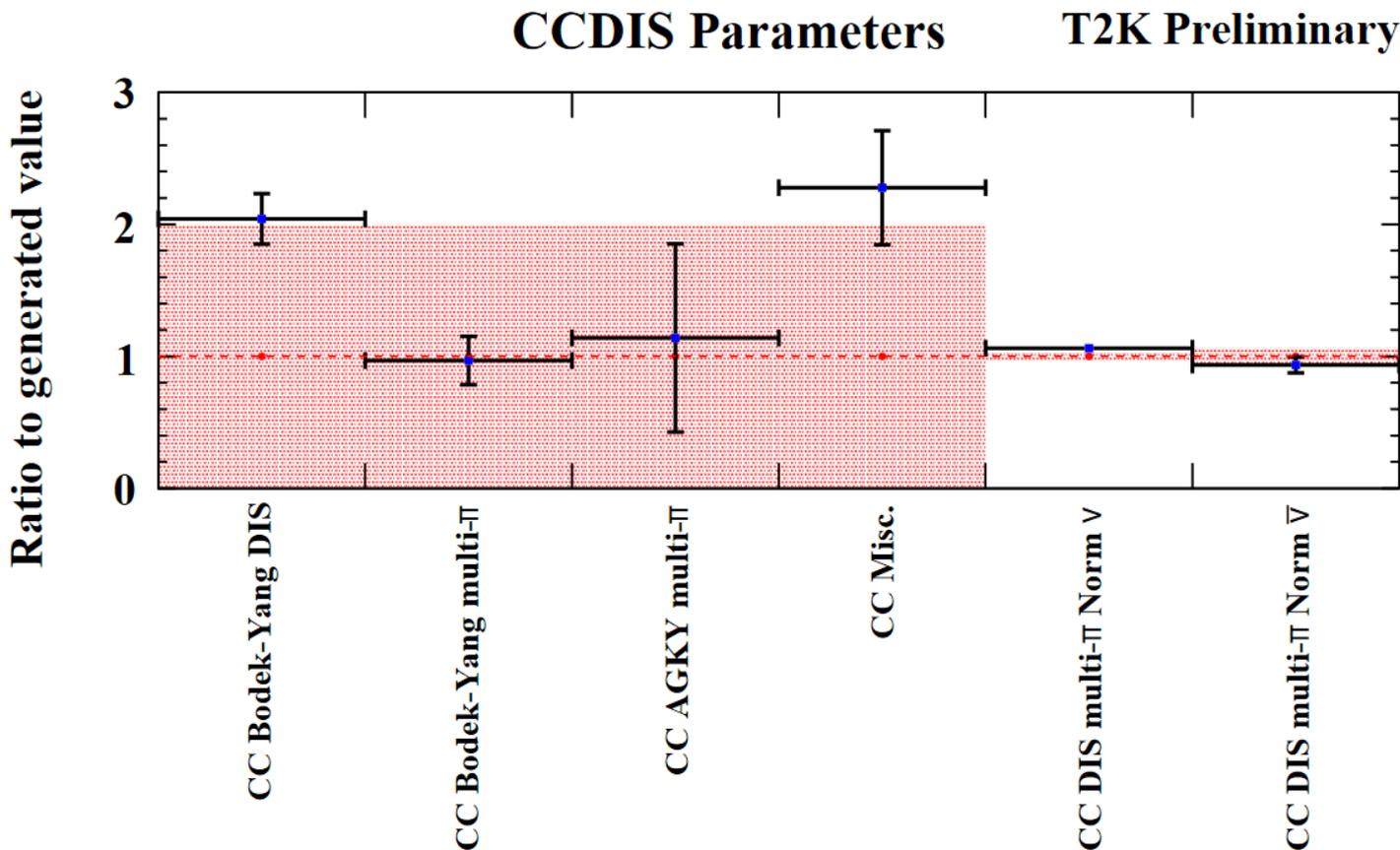
- Microscopic final state interaction cross-section parameters
- Alter charge, kinematics and presence of pions in final state of neutrino interaction

# Cross-section model – CC Other



- CC coherent pion production normalization
  - Separate for carbon and oxygen
- NC coherent normalization
  - Not fit at near detector
- NC other normalization
  - Not extrapolated to far detector

# Cross-section model – CC DIS



- Bodek-Yang correction uncertainty
  - Separate for DIS and multi-pion production events
- AKGY multi-pion production model uncertainty
- Miscellaneous events
- DIS/multi-pion normalization uncertainties

# SK event selection – $0\pi$ samples

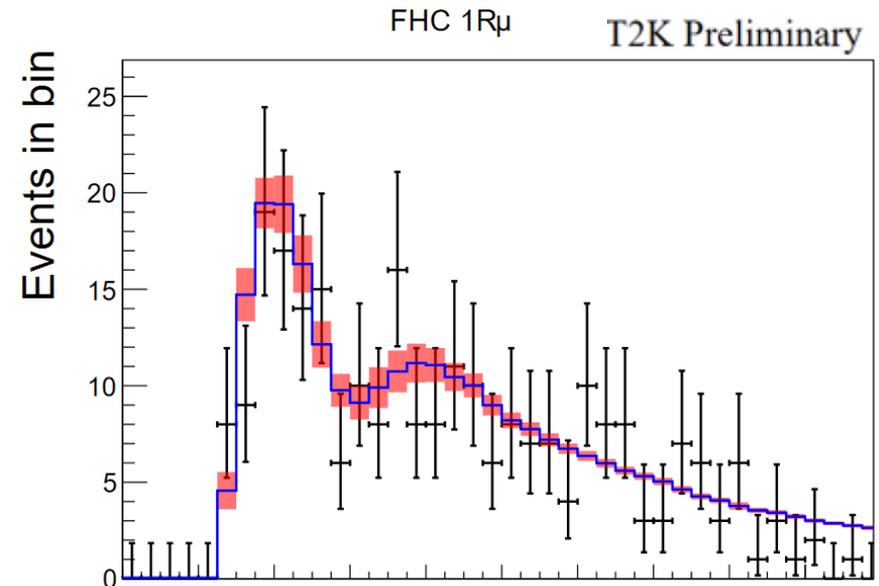
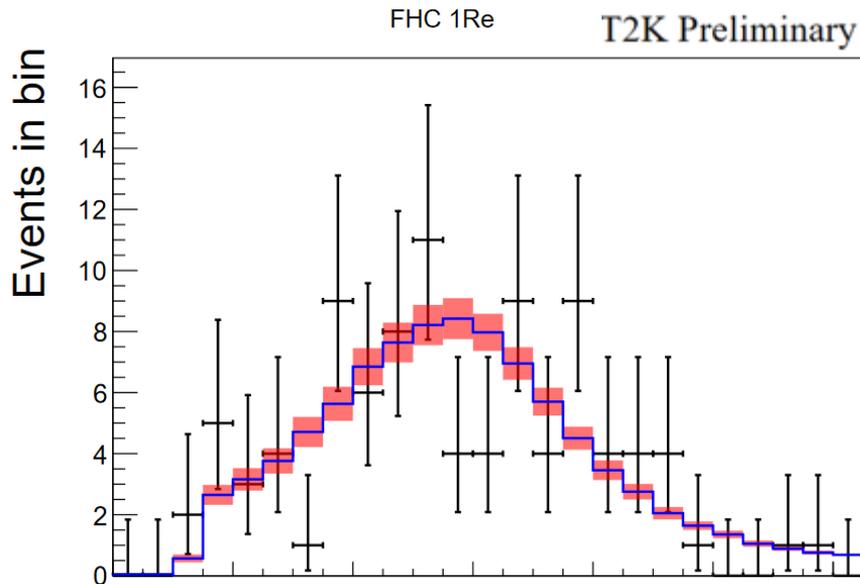
Look for fully contained, single ring events inside SK fiducial volume, then:

## If electron-like ring:

- Visible energy  $> 100$  MeV
- Reconstructed energy  $< 1250$  MeV
- Not identified as  $\pi^0$
- No decay electrons

## If muon-like ring:

- Reconstructed momentum  $> 200$  MeV/c
- At most 1 decay electron

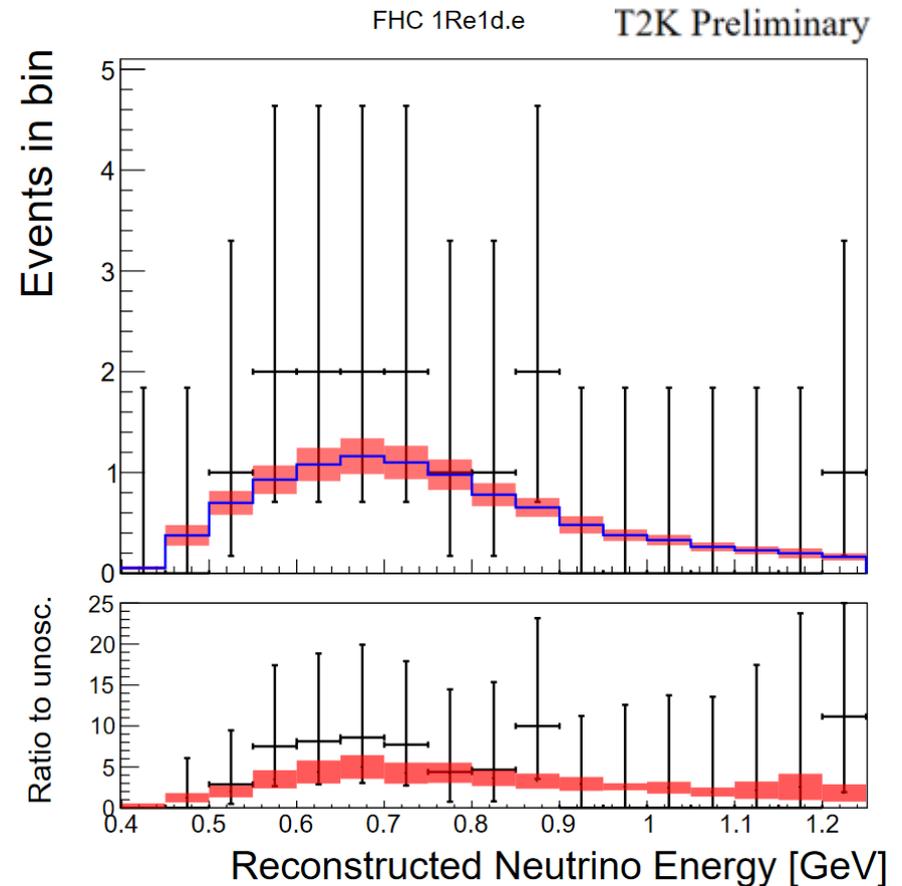


# SK event selection – e-like single pion sample

Look for fully contained, single ring events inside SK fiducial volume, then:

## If electron-like ring:

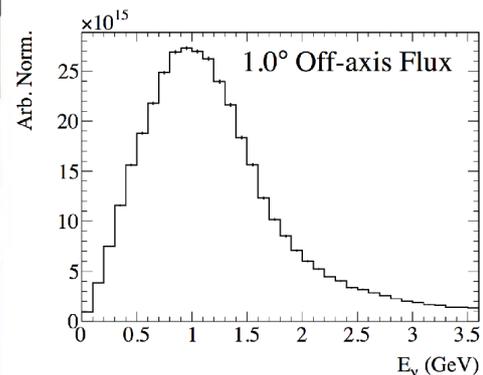
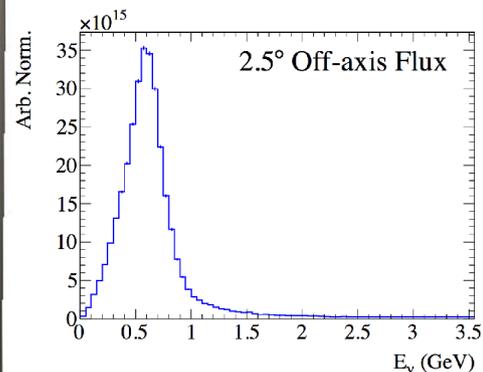
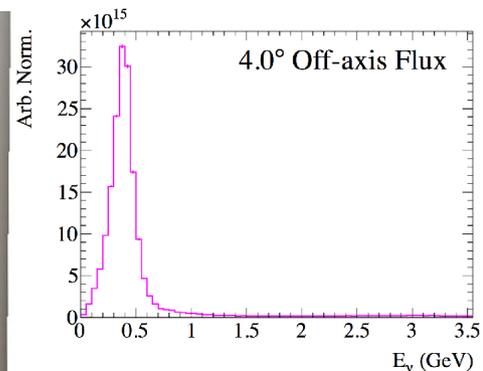
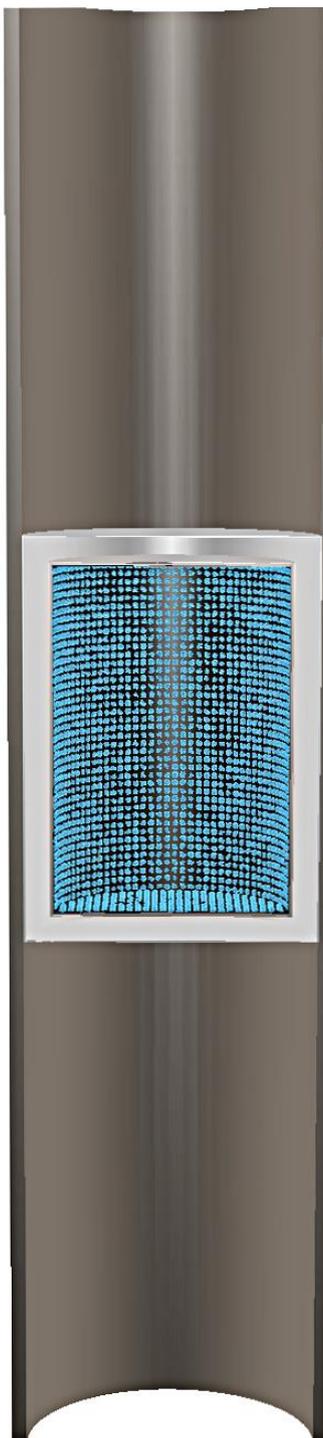
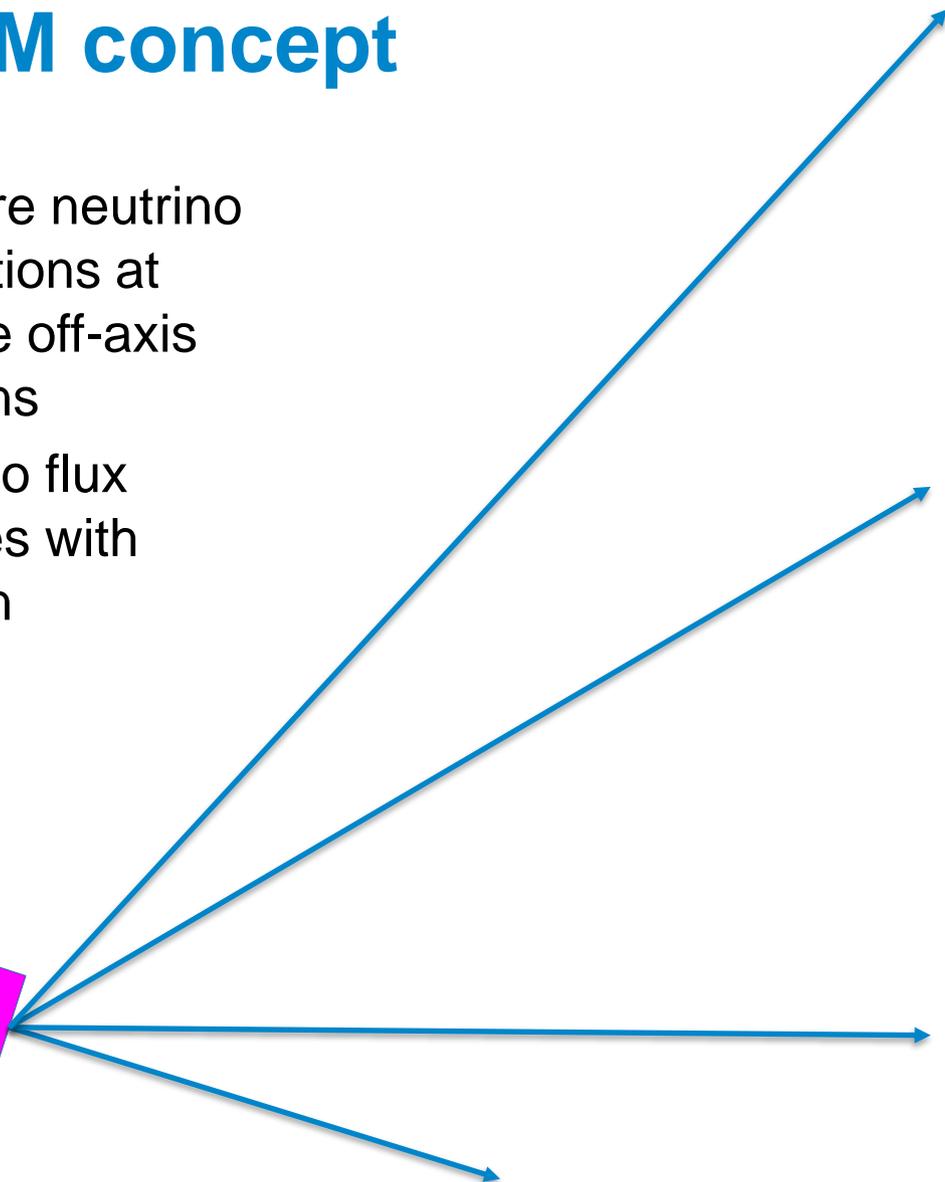
- Visible energy > 100 MeV
- Reconstructed energy < 1250 MeV
- Not identified as  $\pi^0$
- **One** decay electrons



## PRISM concept

- Measure neutrino interactions at multiple off-axis positions
- Neutrino flux changes with position

$\nu$  beam



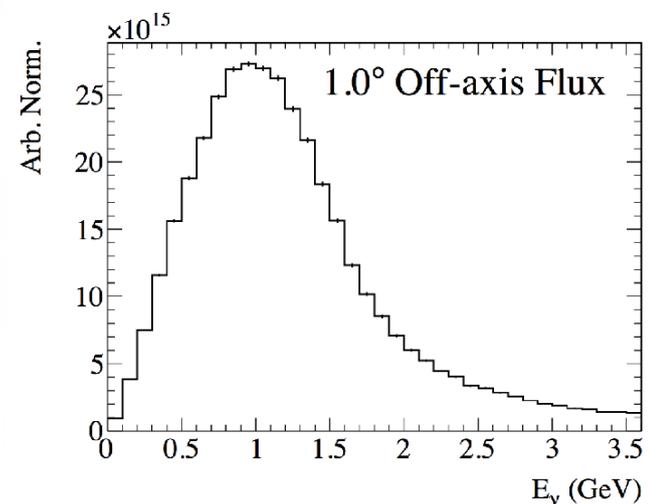
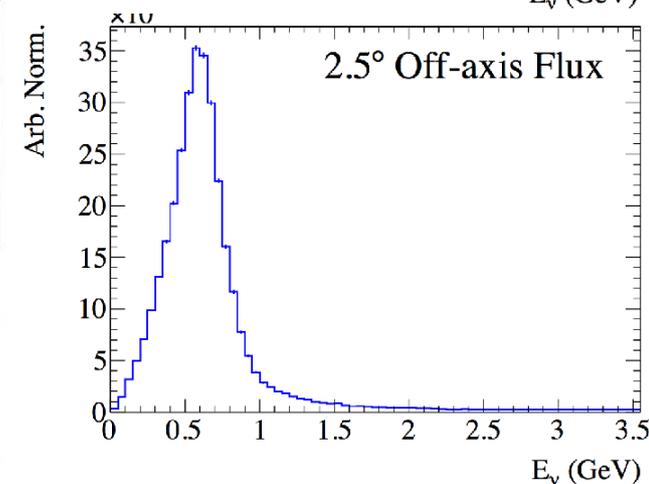
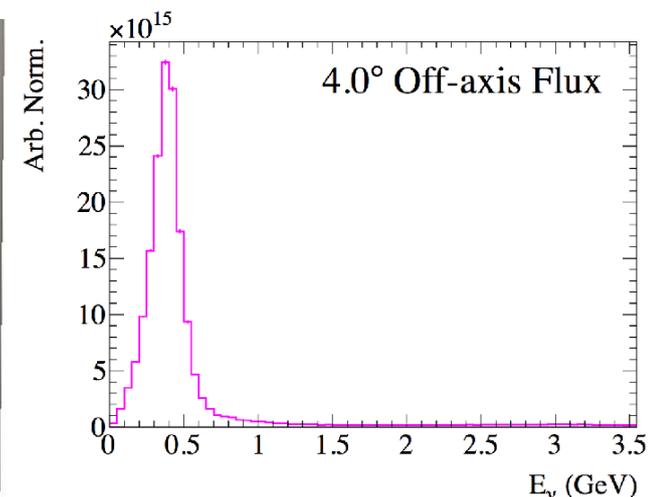
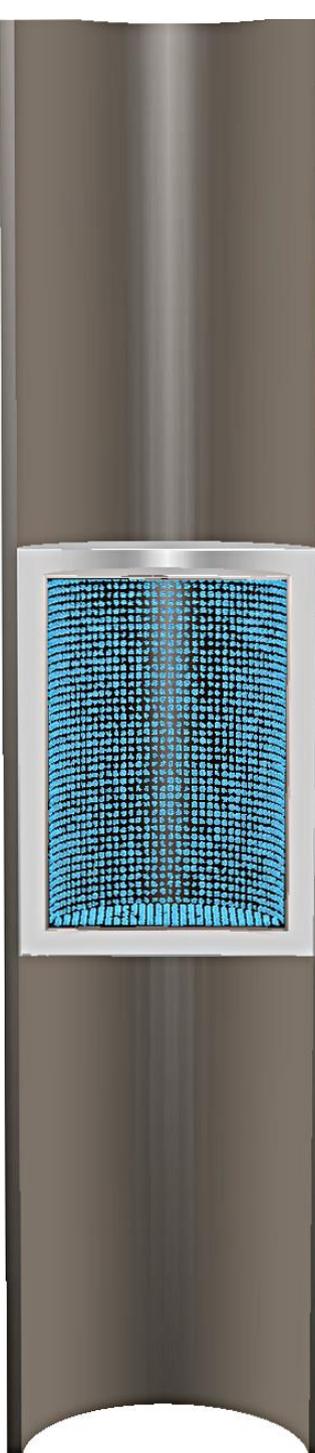
## PRISM benefits - 2

- Same detector measuring all off-axis fluxes
- Can weight and combine different off-axis 'slices'

-0.8

+0.8

-0.2

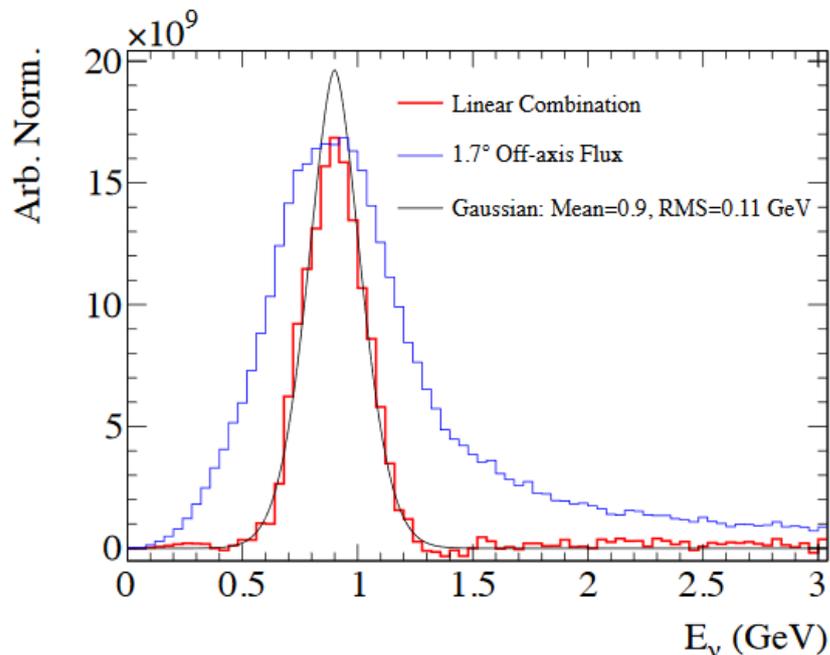


**-0.8**

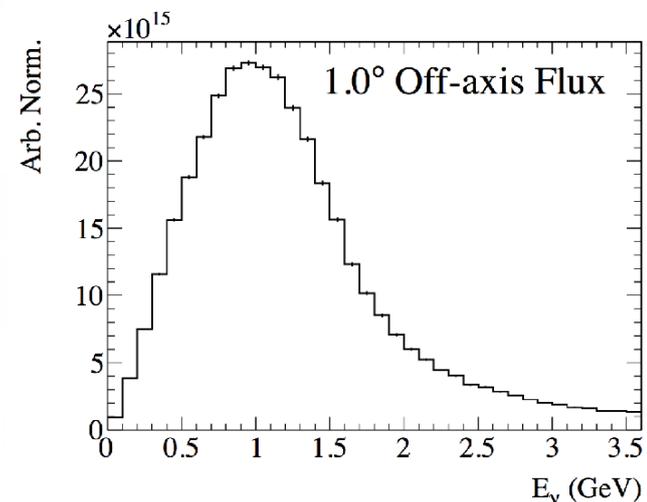
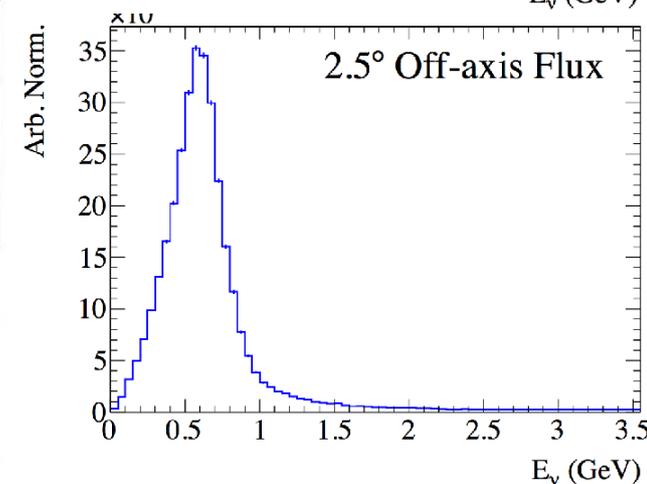
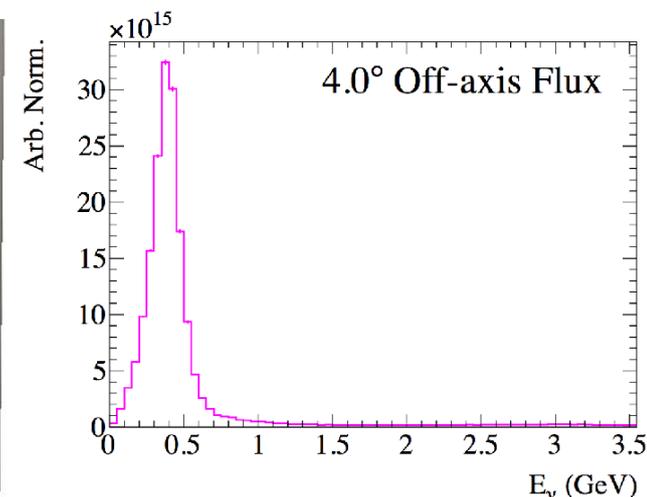
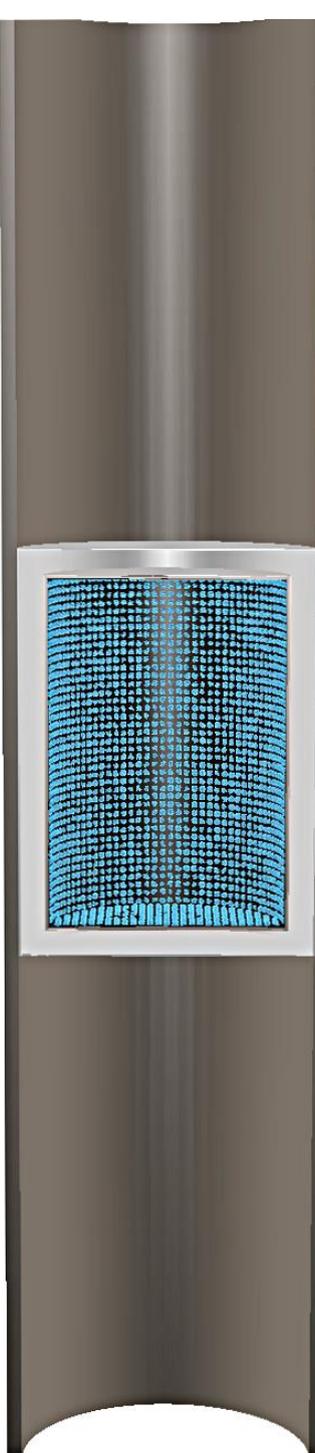
## PRISM benefits - 2

- Same detector measuring all off-axis fluxes
- Can weight and combine different off-axis 'slices'
- Produce Gaussian energy distribution

**+0.8**

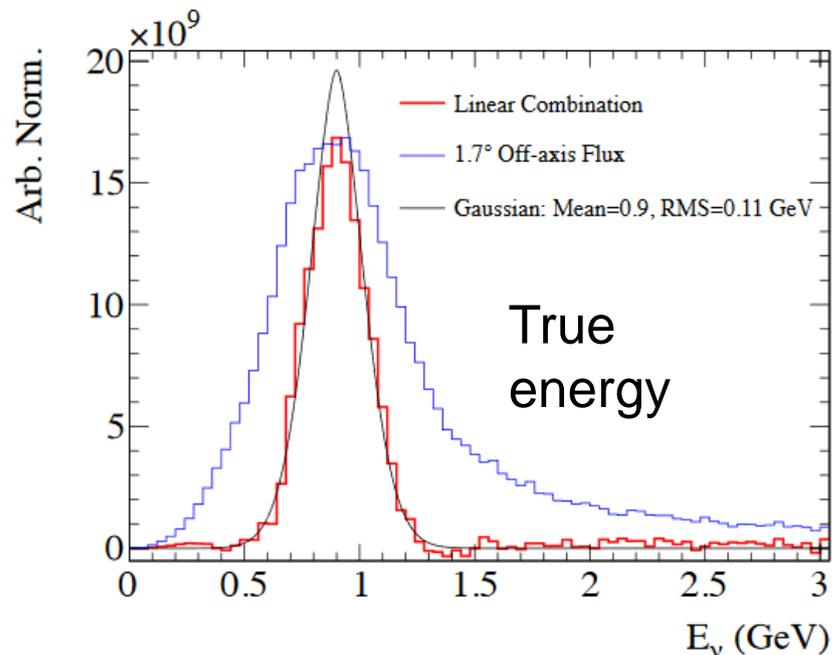


**-0.2**



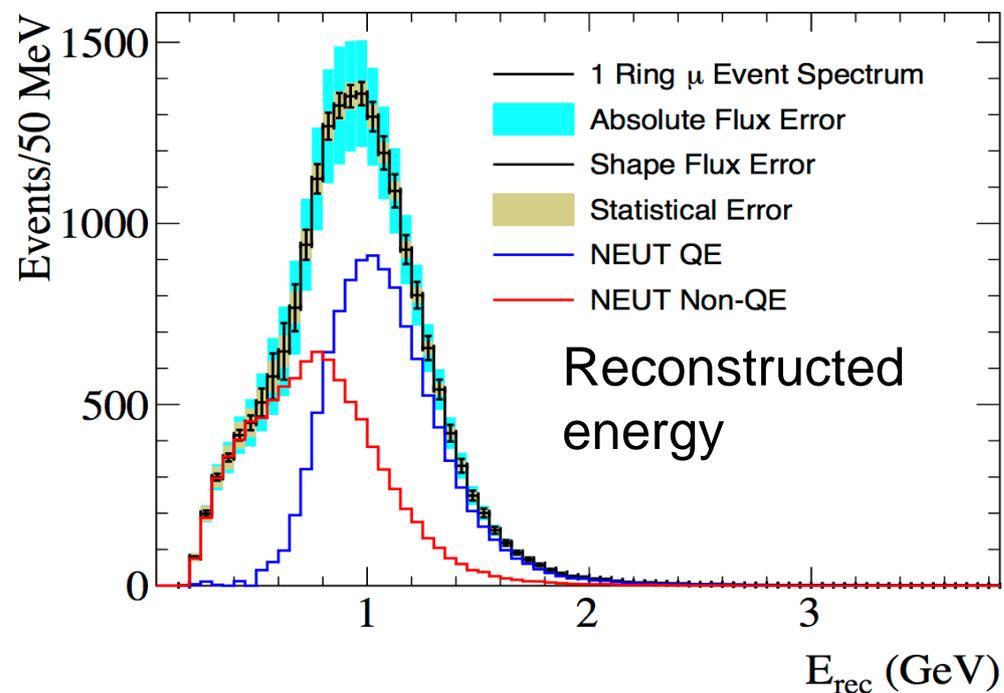
## PRISM benefits - 2

- Same detector measuring all off-axis fluxes
- Can weight and combine different off-axis 'slices'
- Produce Gaussian energy distribution



- Measure at a known energy
- Map out true-reco relationship
- Energy range determined by off-axis range

Linear Combination, 1.2 GeV Mean



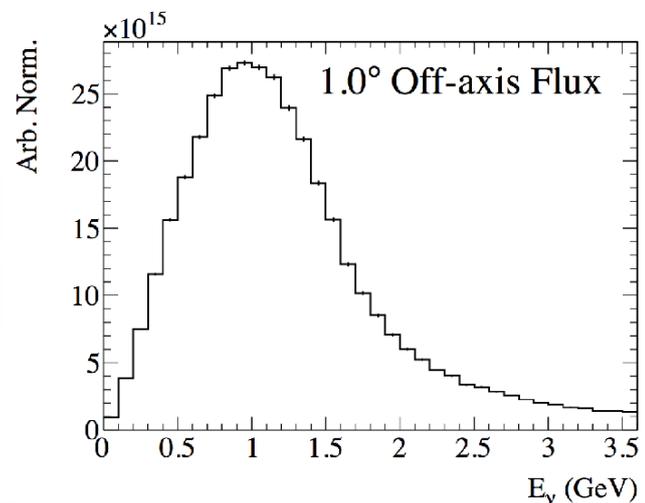
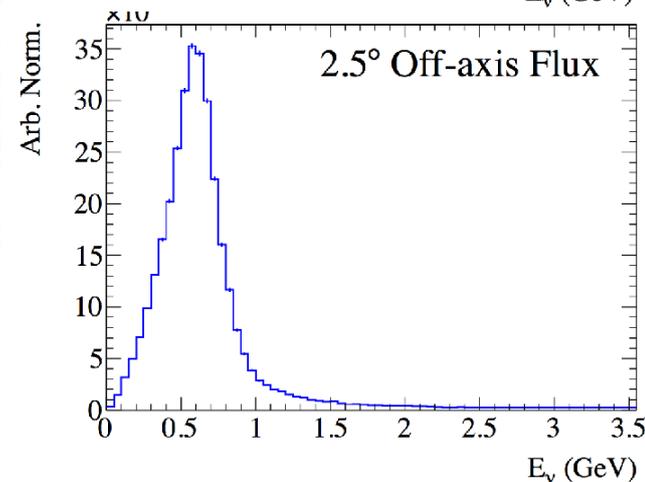
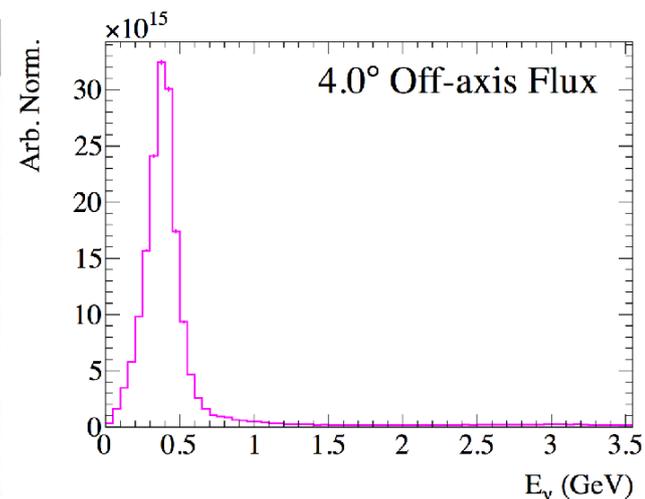
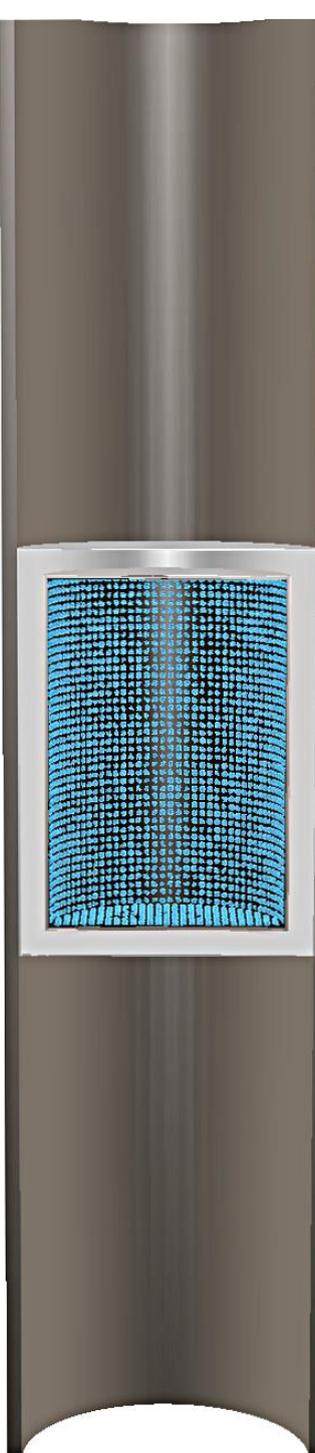
## PRISM benefits - 3

- Can have different linear combination

+1.0

-0.8

+0.2

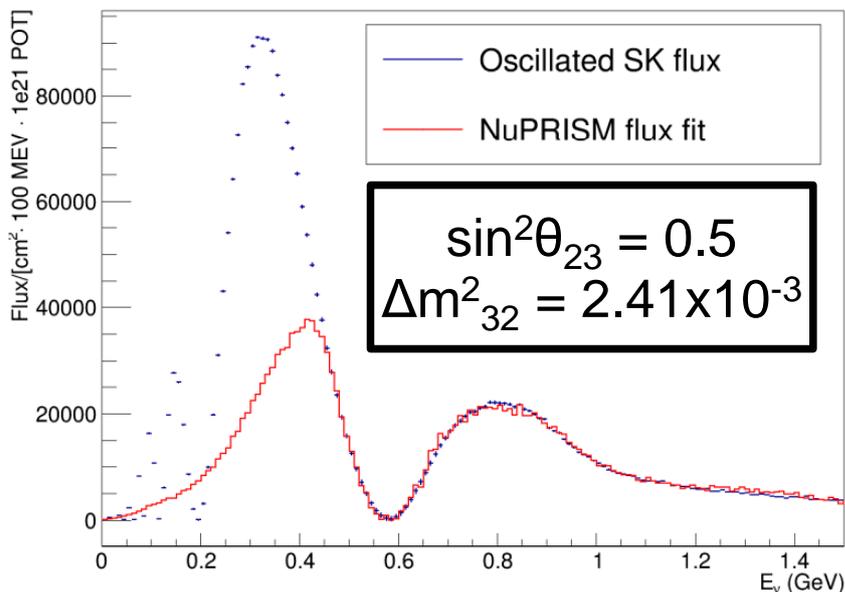


**+1.0**

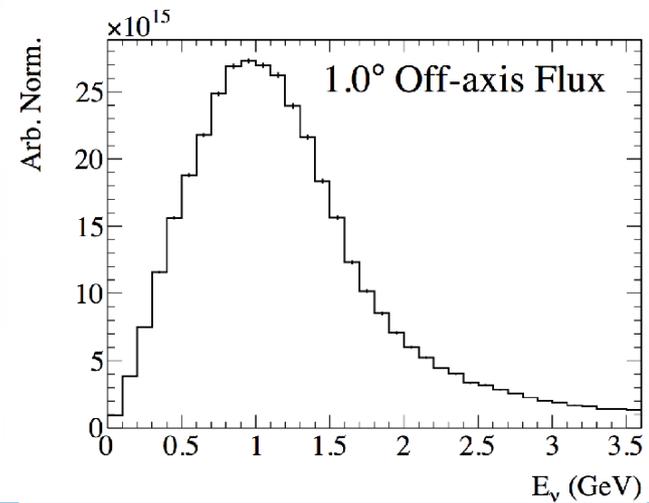
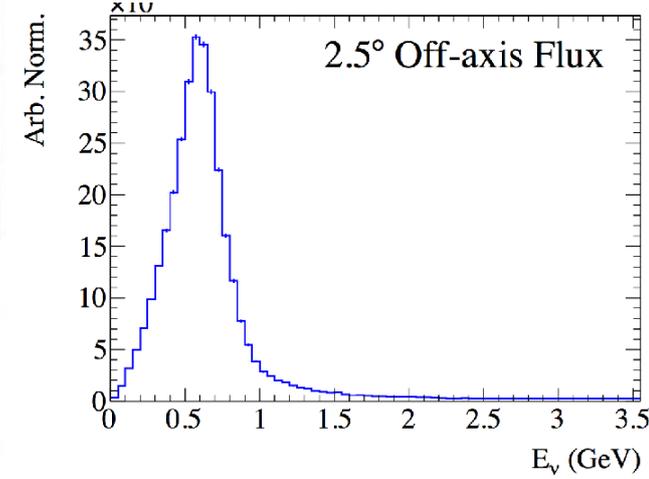
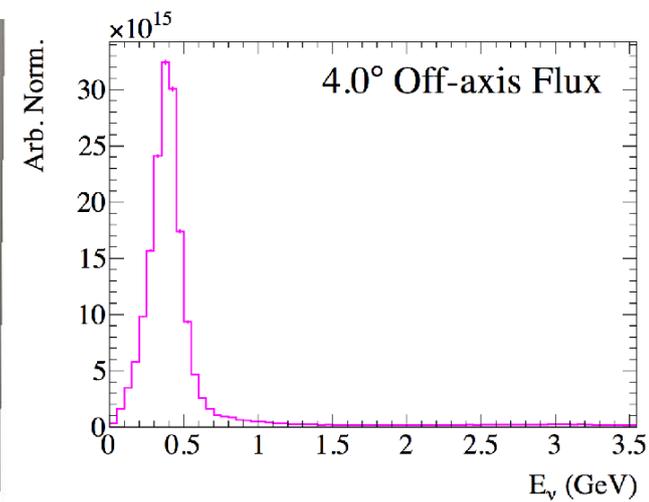
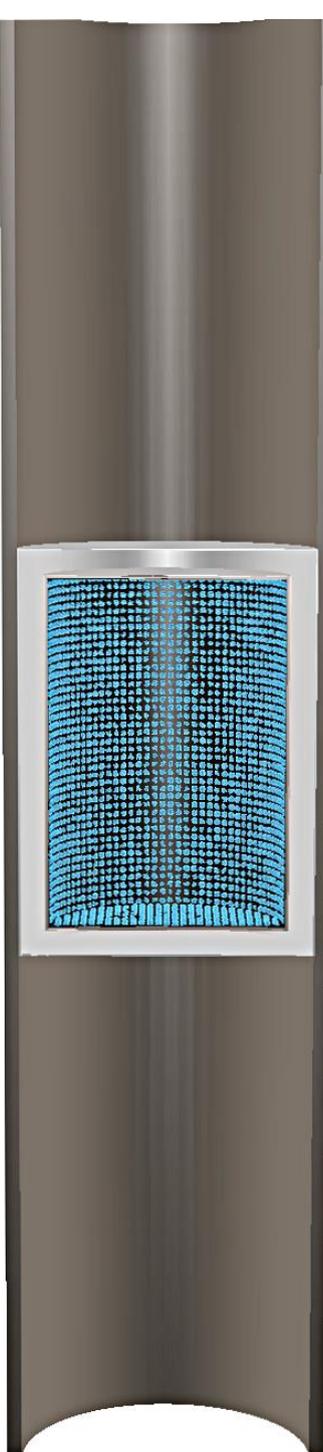
## PRISM benefits - 3

- Can have different linear combination
- Recreate oscillated flux using near detector data

**-0.8**

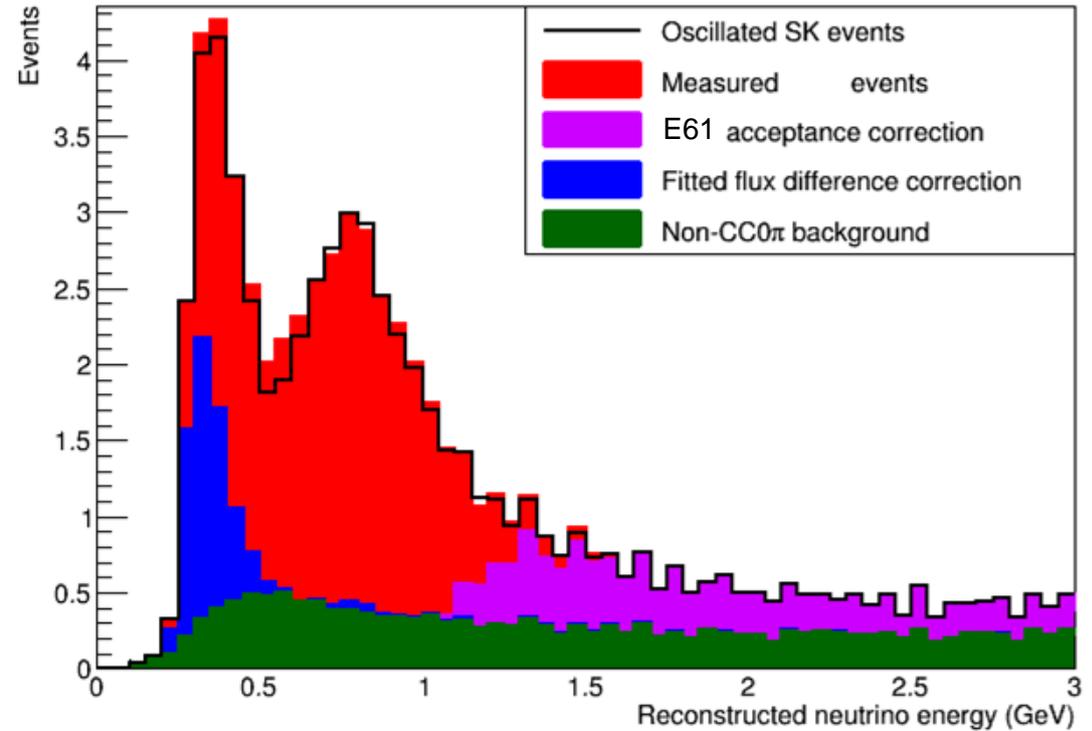
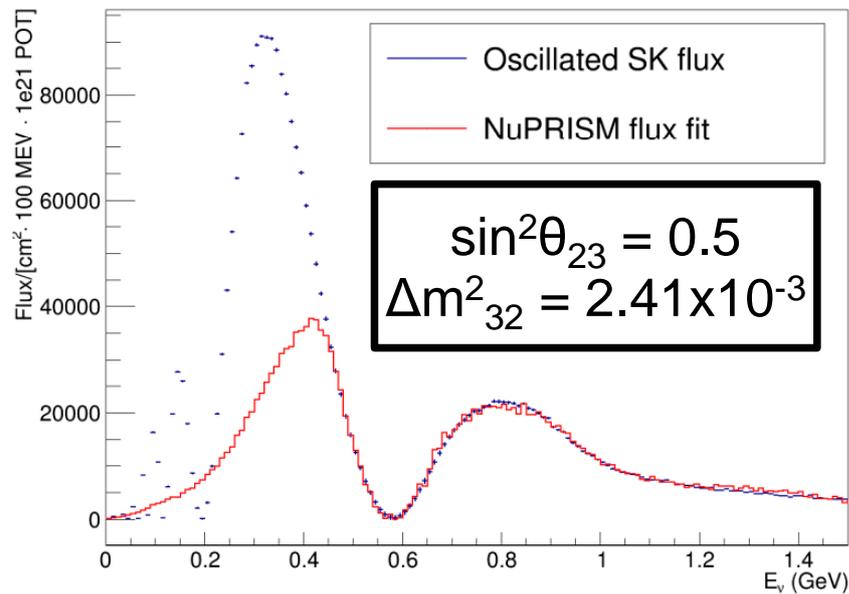


**+0.2**



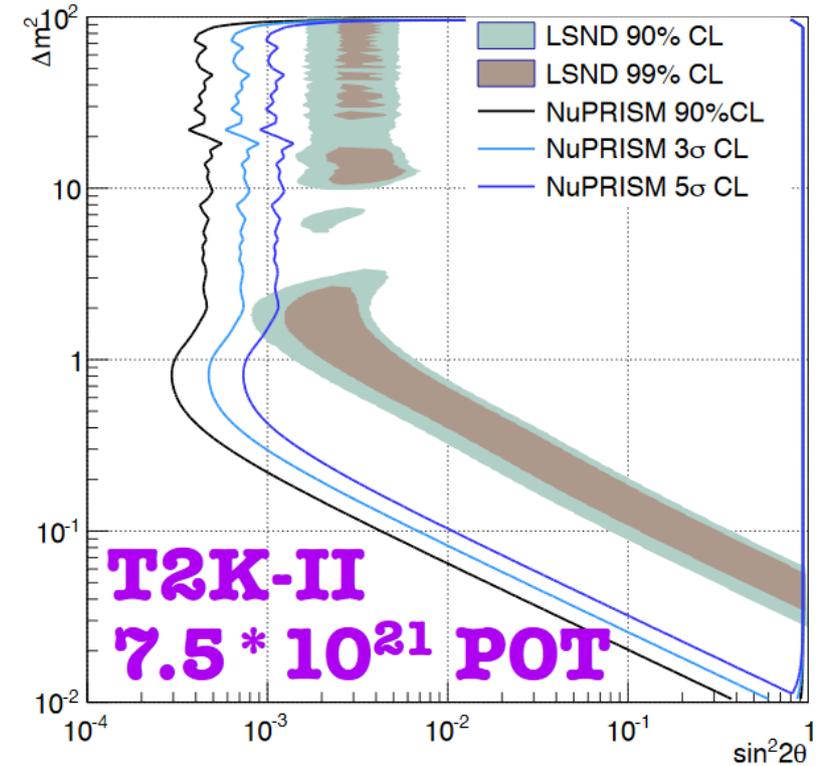
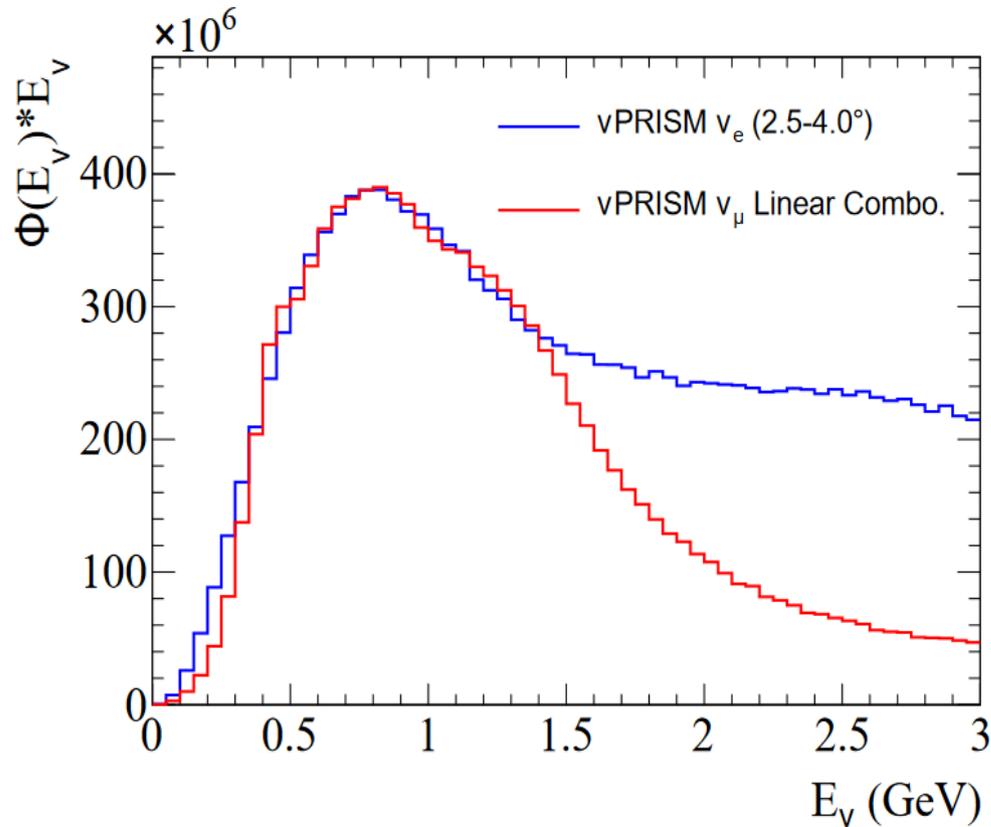
## PRISM benefits - 3

- Can have different linear combination
- Recreate oscillated flux using near detector data



- Use data to directly predict oscillated spectrum (red)
- Backgrounds (green) can be measured in-situ
- Oscillation analysis minimally dependent on neutrino interaction model

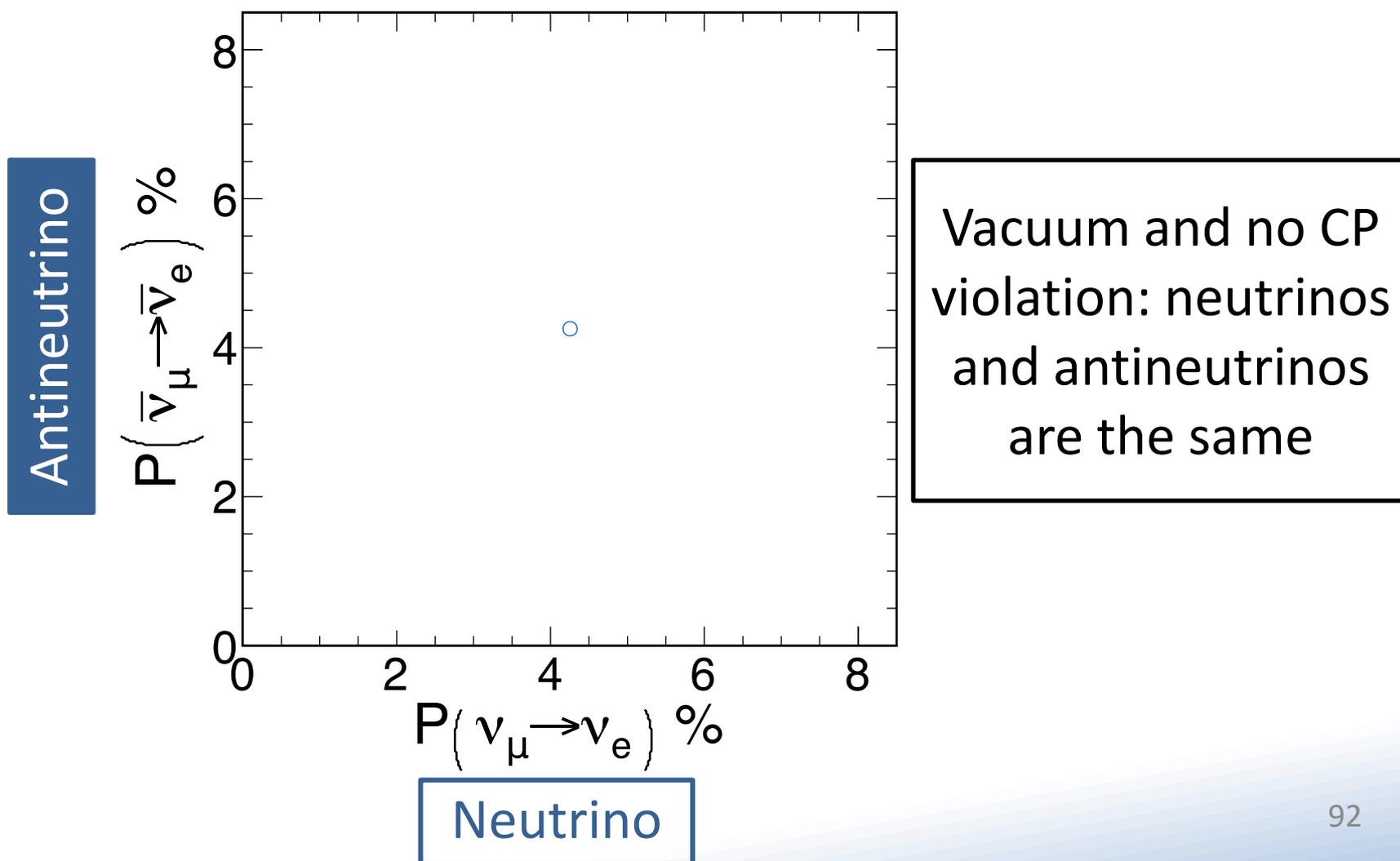
## PRISM benefits - 4



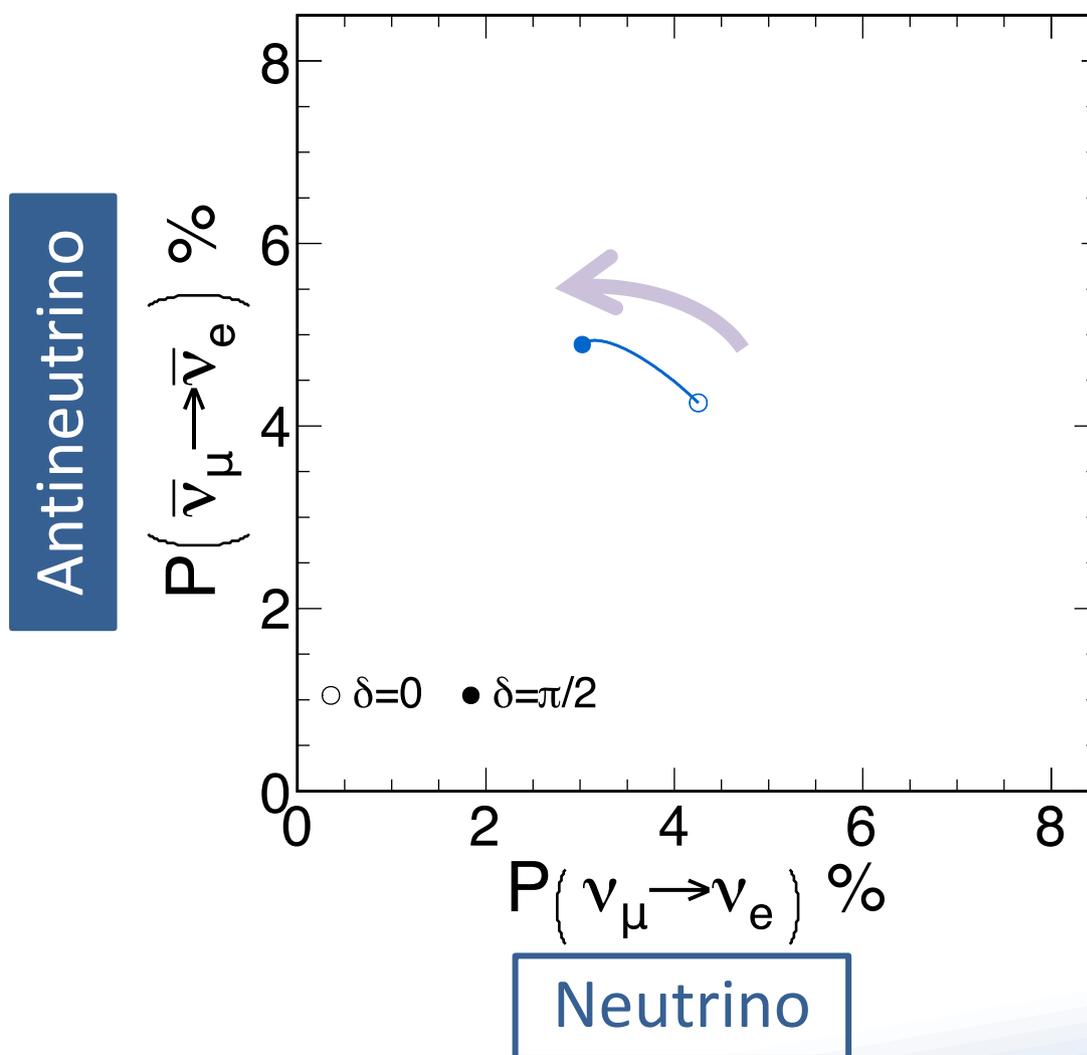
- Fit ND  $\nu_e$  flux
  - Directly measure electron/muon cross-section ratio

- Sterile neutrino searches
  - $>5\sigma$  exclusion of LSND
  - Oscillation vs off-axis angle

1. Is the mass hierarchy “normal” or “inverted?”
2. Do neutrino oscillations violate  $CP$  symmetry?
3. What is the “octant” of  $\theta_{23}$ ?

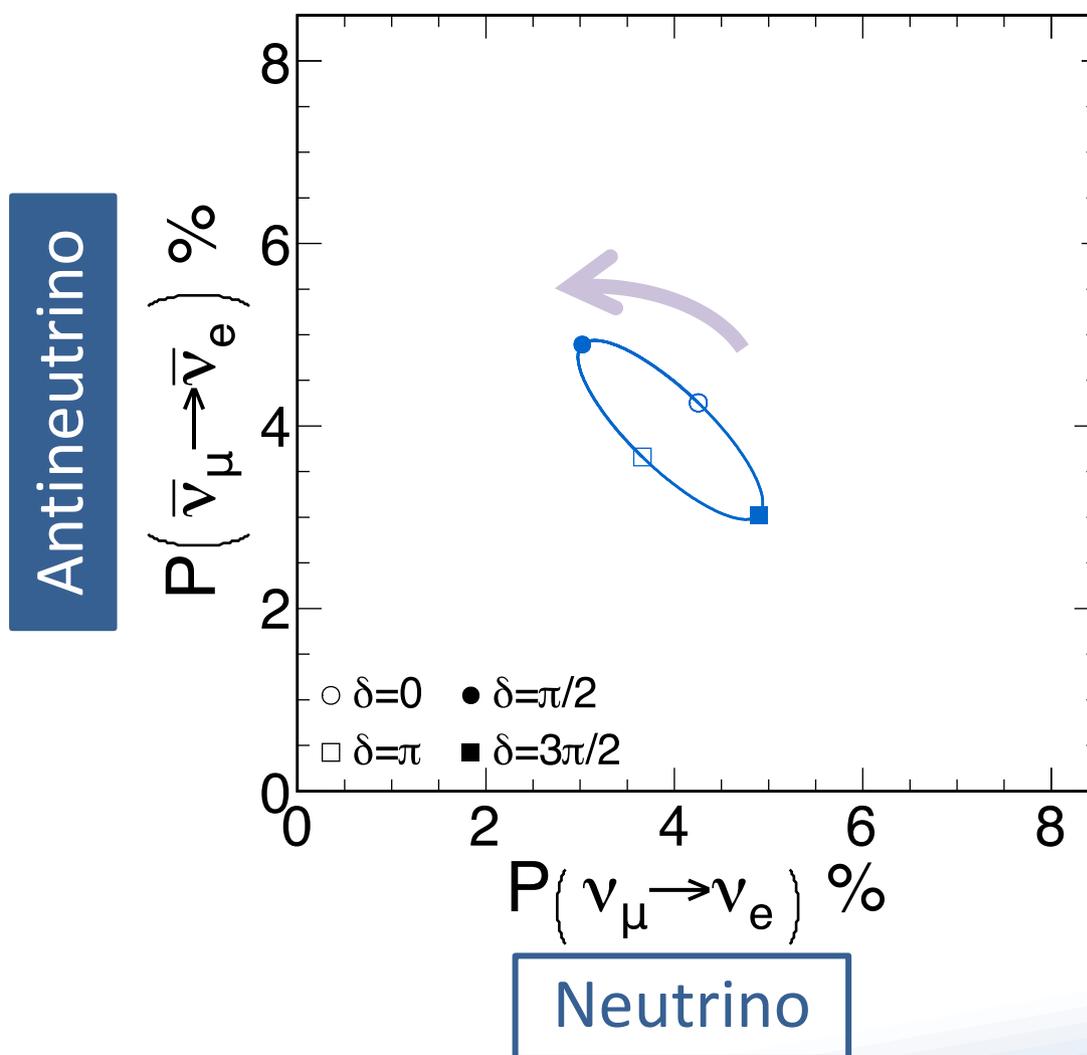


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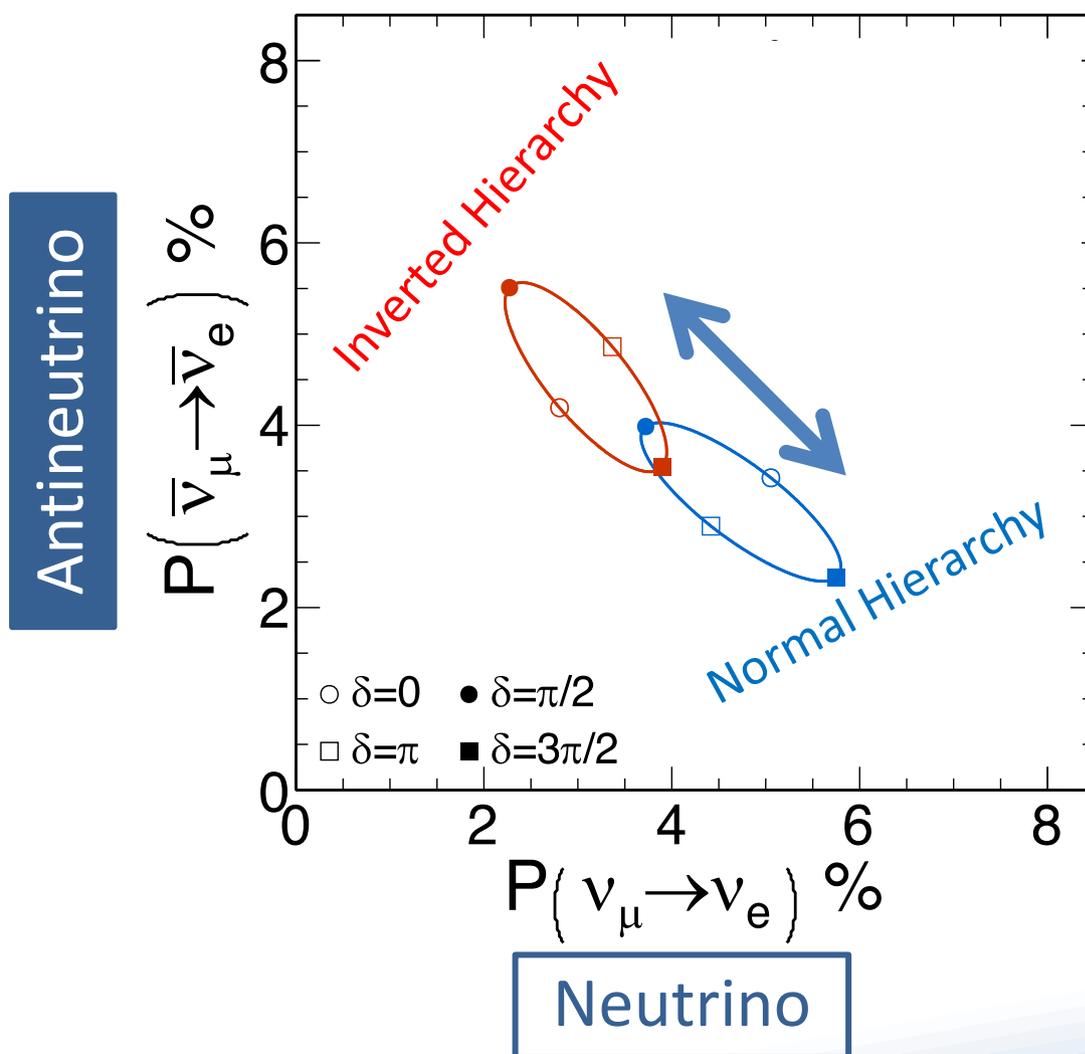
CP-violation through  $\delta$  creates opposite effects in neutrinos and antineutrinos

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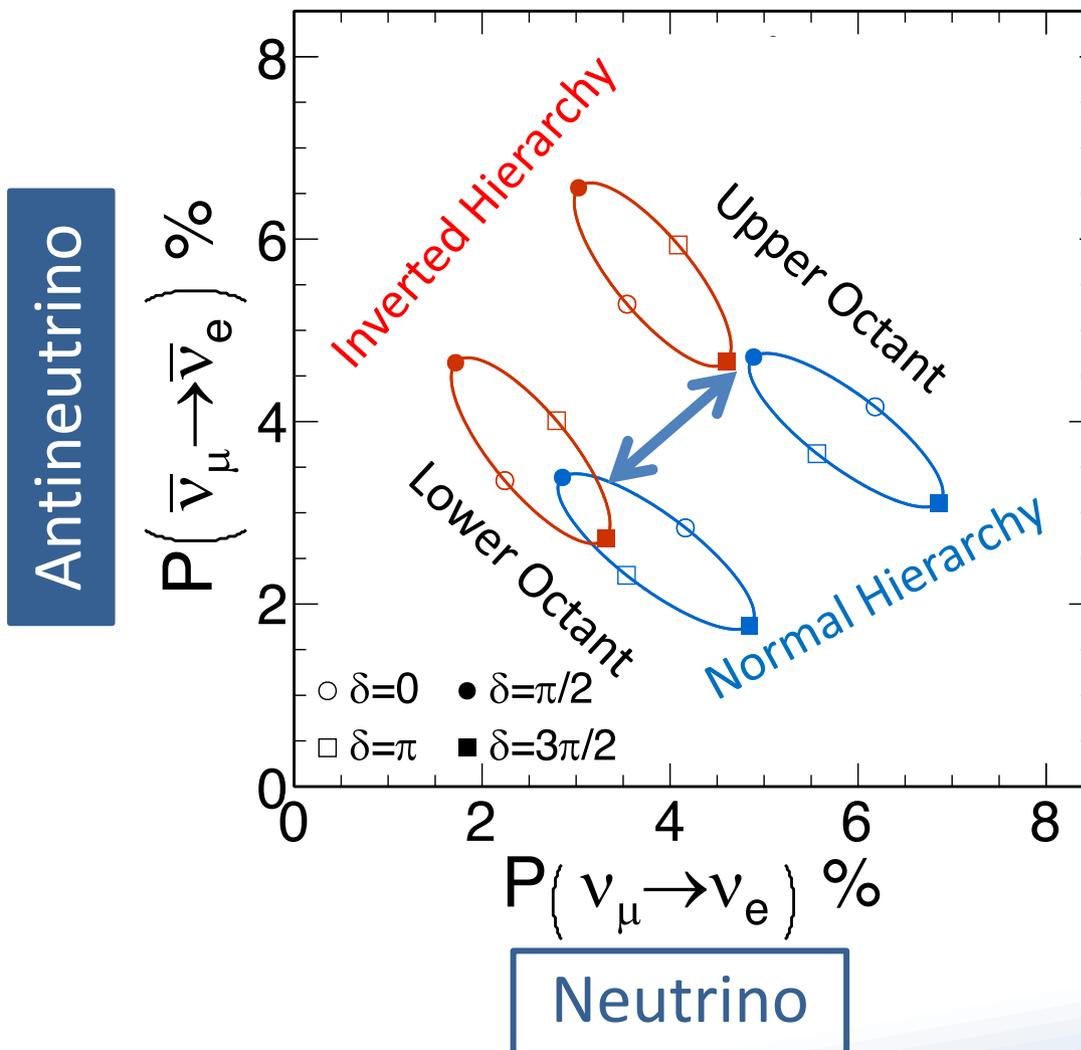
CP-violation through  $\delta$  creates opposite effects in neutrinos and antineutrinos

1. Is the mass hierarchy “normal” or “inverted?”
2. Do neutrino oscillations violate  $CP$  symmetry?
3. What is the “octant” of  $\theta_{23}$ ?



Matter effects also introduce opposite neutrino-antineutrino effects.

1. Is the mass hierarchy “normal” or “inverted?”
2. Do neutrino oscillations violate  $CP$  symmetry?
3. What is the “octant” of  $\theta_{23}$ ?



The octant creates the *same* effect in neutrinos and antineutrinos.