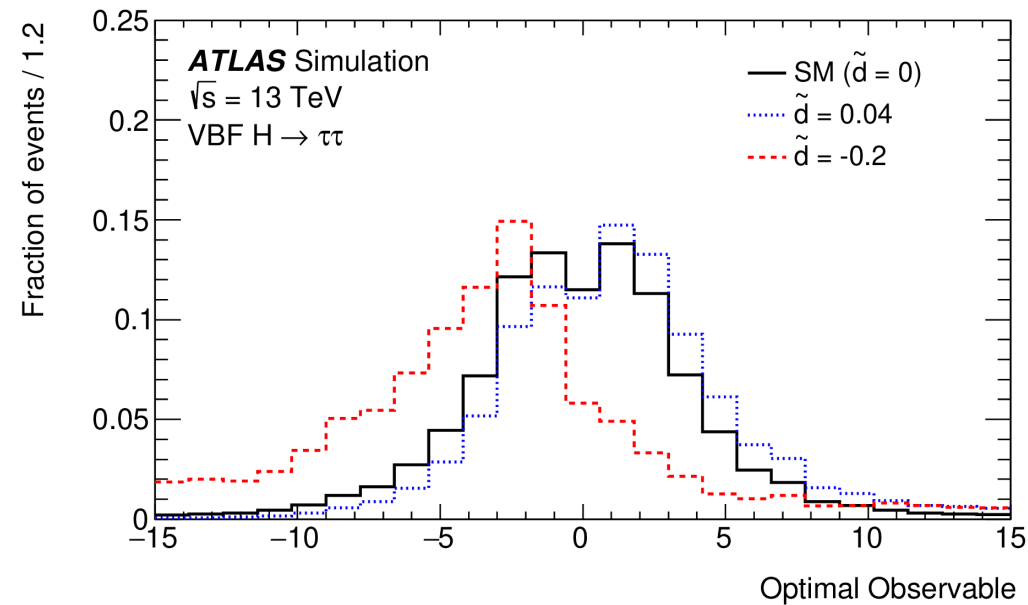


Looking for CP violation in the Higgs sector with ATLAS



Phys. Lett. B 805 (2020) 135426



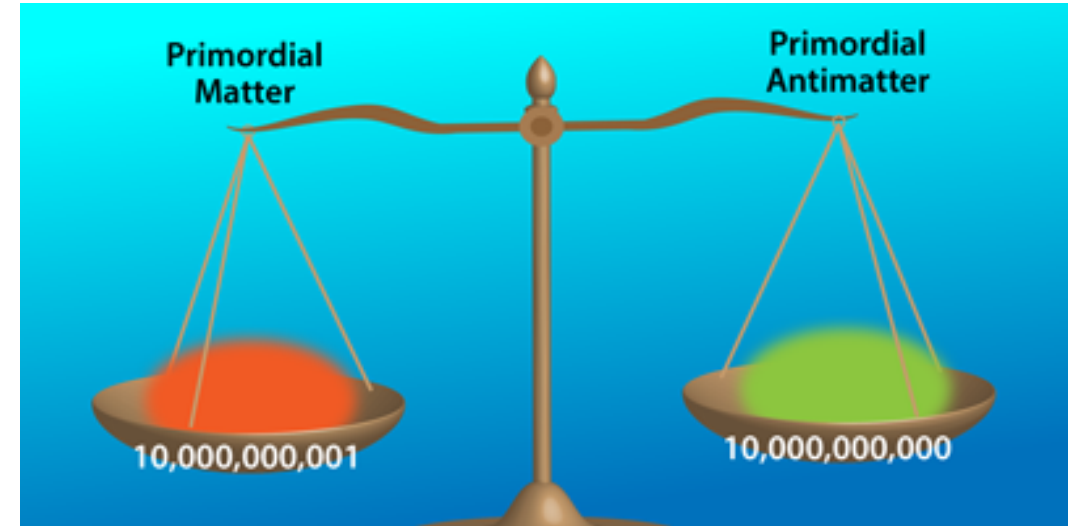
Kathrin Becker

Warwick EPP Seminar, June 25, 2020

Baryon asymmetry

- Why is there an asymmetry between matter and antimatter?
- One of the Sakharov conditions:
→ CP violation
- CP violation in the Standard Model not sufficient

➔ **Additional sources for CP violation?**



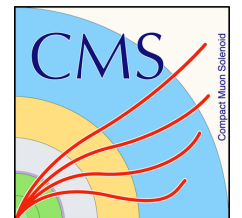
Quark sector:



Neutrino sector:



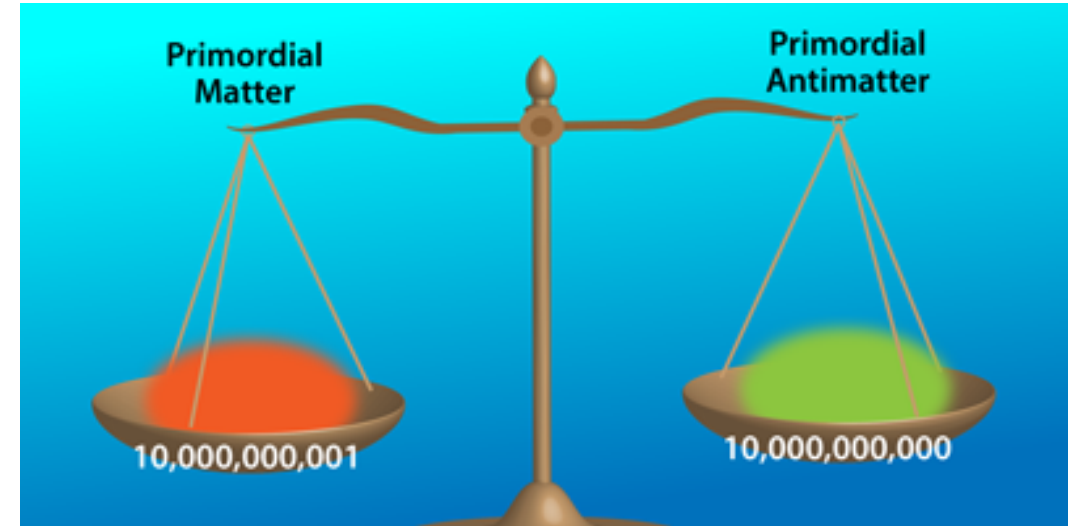
Higgs sector:



Baryon asymmetry

- Why is there an asymmetry between matter and antimatter?
- One of the Sakharov conditions:
→ CP violation
- CP violation in the Standard Model not sufficient

➔ Additional sources for CP violation?



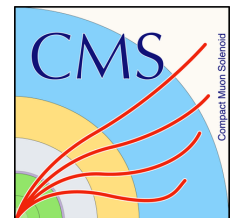
Quark sector:



Neutrino sector:



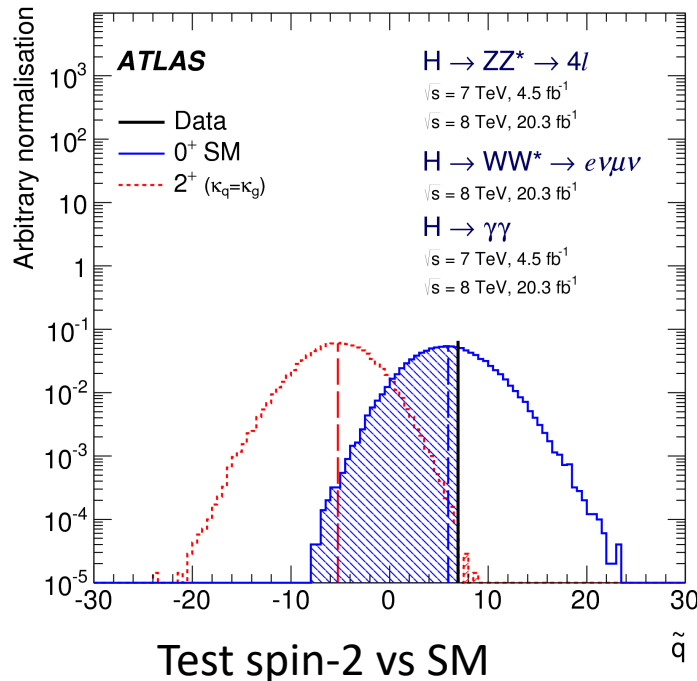
Higgs sector:



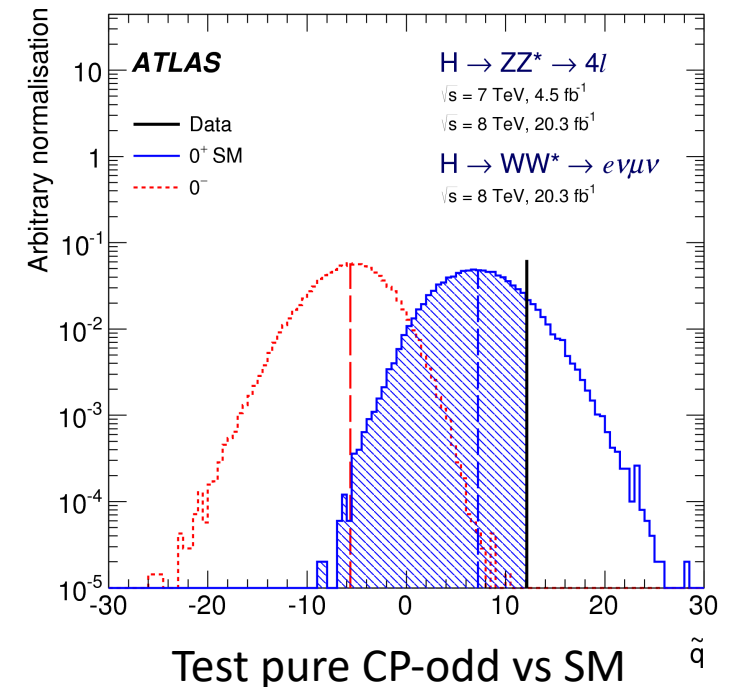
CP nature of the Higgs boson

- In the SM Higgs boson is CP-even scalar
- Higgs boson has C and P preserving interactions with the mass eigenstates of the fermions
- Observation of any CP violation
 - Clear sign of physics beyond the SM
- Results from experiments after Run 1:

Higgs boson is a scalar!



Dominant coupling structure is CP-even!

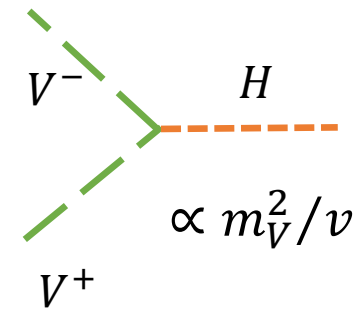


EPJ C75 (2015) 476

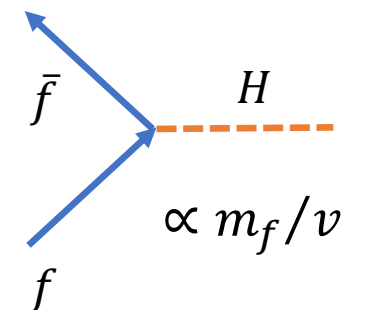
Introducing CP violation in the Higgs sector

- Dominant coupling structure is CP-even
→ can be mixture of CP-even and CP-odd
→ would lead to CP violation
- Can appear (and be searched for) in all couplings of the Higgs boson
- Possible to introduce model-independent

Gauge coupling:

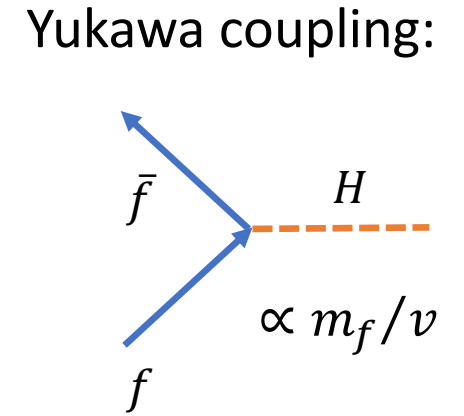
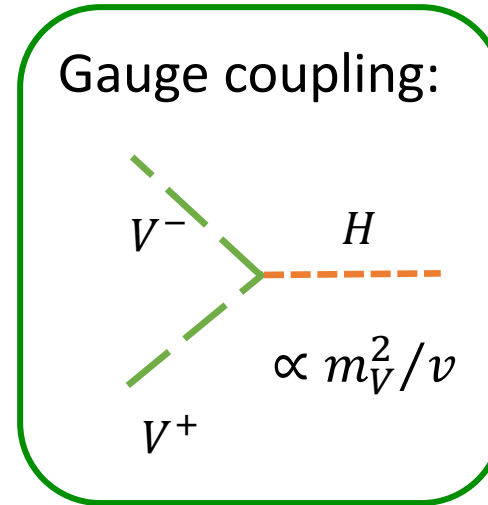


Yukawa coupling:



Introducing CP violation in the Higgs sector

- Dominant coupling structure is CP-even
 → can be mixture of CP-even and CP-odd
 → would lead to CP violation
- Can appear (and be searched for) in all couplings of the Higgs boson
- Possible to introduce model-independent



Effective field theory approach:

- Extend the SM. Parametrize new physics by higher dimension operators

$$\mathcal{L}_{eff} = \mathcal{L}_{SM} + \frac{1}{\Lambda^2} \sum_i c_i^{(6)} O_i^{(6)} + \frac{1}{\Lambda^4} \sum_j c_j^{(8)} O_j^{(8)} + \dots$$

Λ : Scale of new physics

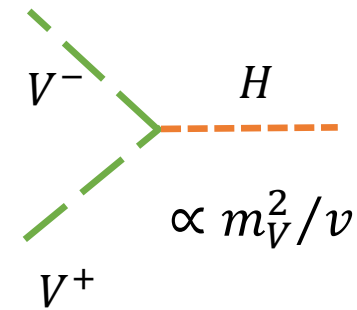
Leading deformations of the SM



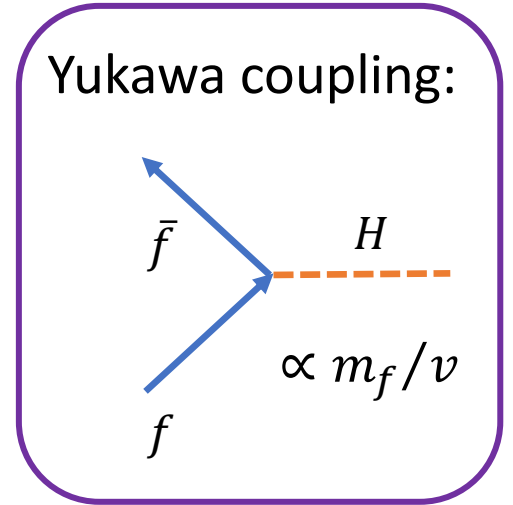
Introducing CP violation in the Higgs sector

- Dominant coupling structure is CP-even
 → can be mixture of CP-even and CP-odd
 → would lead to CP violation
- Can appear (and be searched for) in all couplings of the Higgs boson
- Possible to introduce model-independent
- Introduce pseudo-scalar coupling at tree level

Gauge coupling:



Yukawa coupling:



$$\mathcal{L}_{hff} = - \sum_f \frac{m_f}{v} H [\bar{\psi}_f \kappa_f (\cos \alpha + i \sin \alpha \gamma_5) \psi_f]$$

α : CP mixing angle
 κ_f : Yukawa coupling

CP even: $\alpha = 0^\circ$
 CP odd: $\alpha = 90^\circ$



Overview

- Higgs-Gauge coupling:

- Test of CP invariance in vector-boson fusion production of the Higgs boson in the $H \rightarrow \tau\tau$ channel in pp collisions at $\sqrt{s} = 13 \text{ TeV}$ with the ATLAS detector

Phys. Lett. B 805 (2020) 135426

[arXiv:2002.05315](https://arxiv.org/abs/2002.05315)

**VBF, $H \rightarrow \tau\tau$
using 36 fb^{-1}**

- Yukawa coupling:

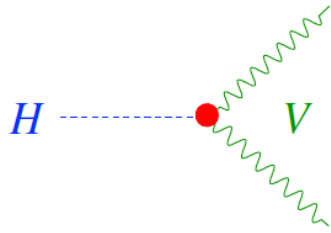
- Study of the CP properties of the interaction of the Higgs boson with top quarks using top-quark associated production of the Higgs boson and its decay into two photons with the ATLAS detector at the LHC

Accepted by PRL

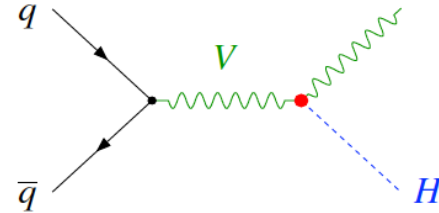
[arXiv:2004.04545](https://arxiv.org/abs/2004.04545)

**$t\bar{t}H/tH, H \rightarrow \gamma\gamma$
using 139 fb^{-1}**

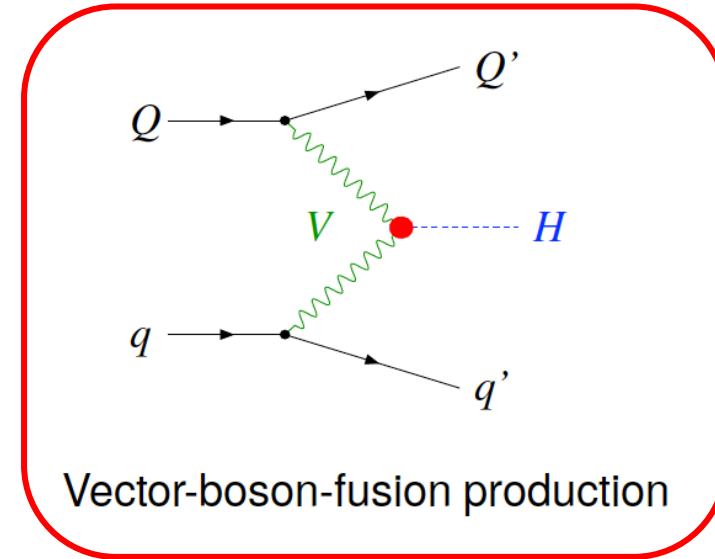
CP violation in the Higgs-Gauge coupling



Higgs-boson decay

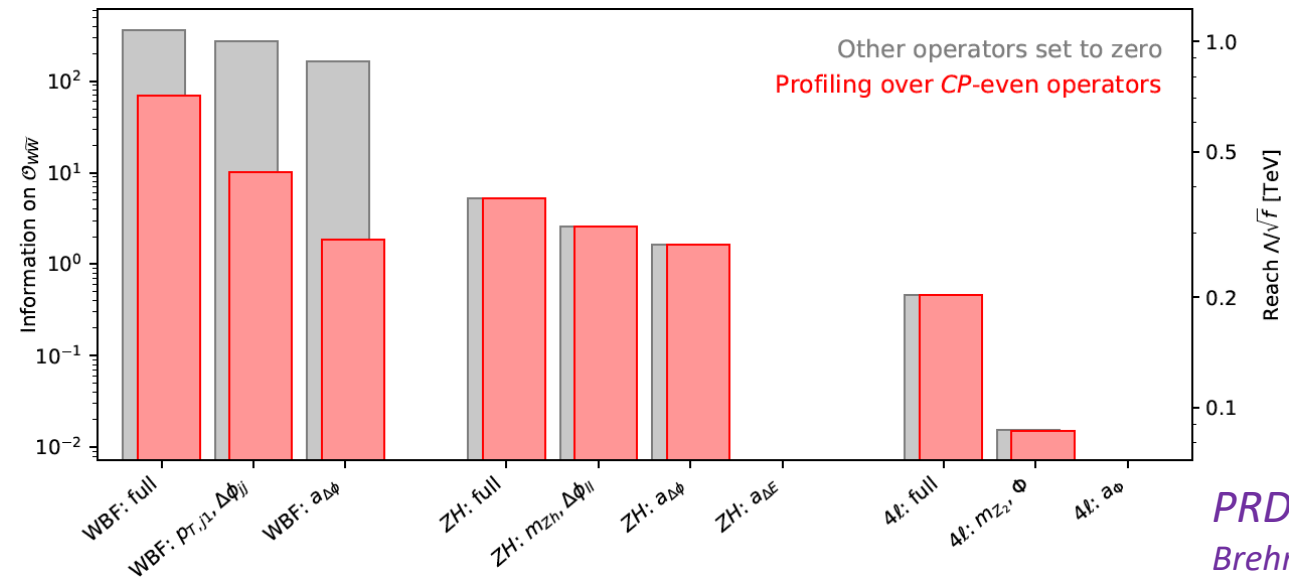


Higgs-strahlung



Vector-boson-fusion production

- Reach for 100 fb^{-1} at the LHC
- VBF sensitivity best at current statistics
- In future: ZH



PRD 97 (2018) 095017
Brehmer, Kling, Plehn, Tait

CP violation in the Higgs-Gauge coupling

- Ansatz: Effective $SU(2) \times U(1)$ invariant Lagrangian with additional CP-odd dimension-6 operators *V. Hankele et al; Phys. Rev. D74 (2006)*

- After electroweak symmetry breaking:

$$\mathcal{L}_{eff} = \mathcal{L}_{SM} + \tilde{g}_{HAA} H \tilde{A}_{\mu\nu} A^{\mu\nu} + \tilde{g}_{HAZ} H \tilde{A}_{\mu\nu} Z^{\mu\nu} + \tilde{g}_{HZZ} H \tilde{Z}_{\mu\nu} Z^{\mu\nu} + \tilde{g}_{HWW} H \tilde{W}_{\mu\nu} W^{\mu\nu}$$

with $\tilde{V}_{\mu\nu} = \frac{1}{2} \varepsilon_{\mu\nu\rho\sigma} V^{\rho\sigma}$

- Coupling constants assuming

- $SU(2) \times U(1)$ symmetry \rightarrow two parameters \tilde{d}, \tilde{d}_B

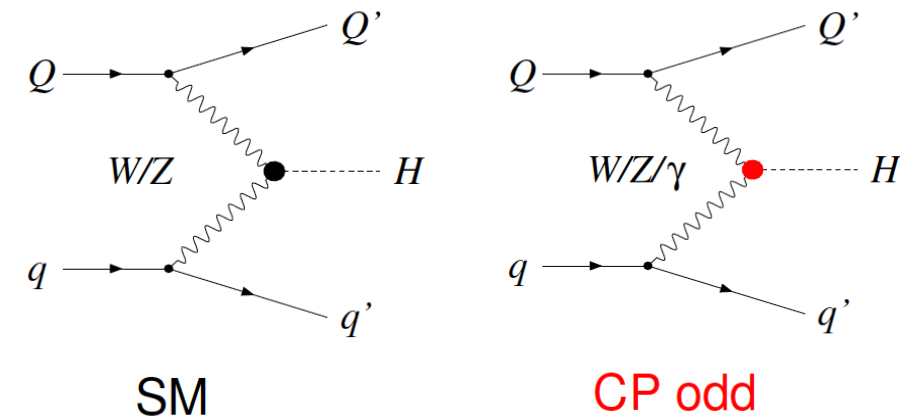
- No distinction between HVV coupling contributions possible

$\rightarrow \tilde{d} = \tilde{d}_B$:

$$\tilde{g}_{HAZ} = 0, \tilde{g}_{HAA} = \tilde{g}_{HZZ} = \frac{1}{2} \tilde{g}_{HWW} = \frac{g}{2m_W} \cdot \tilde{d}$$

- CP violation parametrised by single parameter \tilde{d}

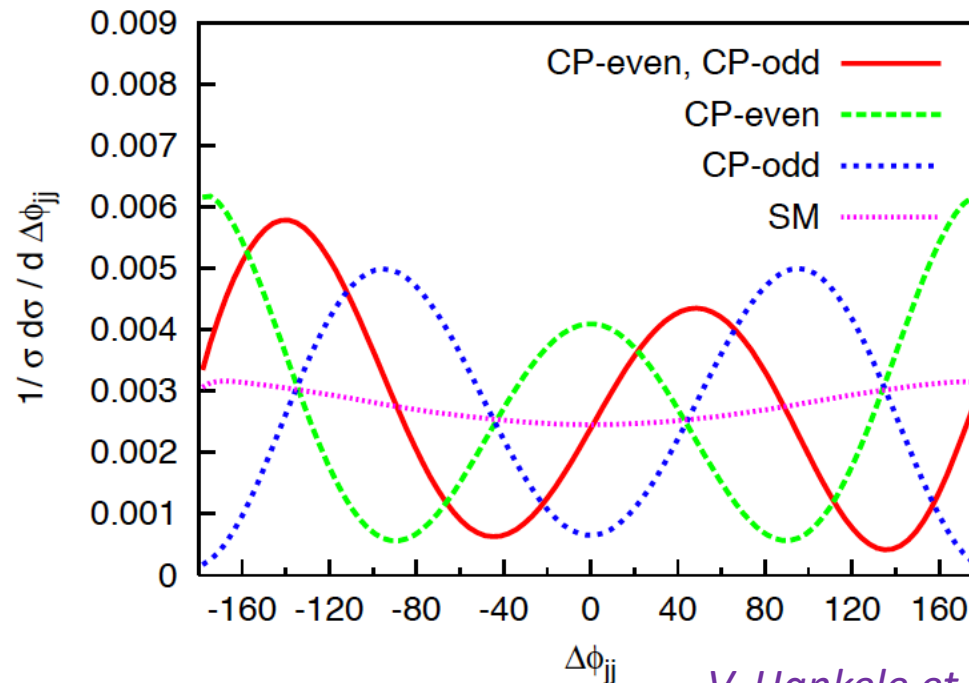
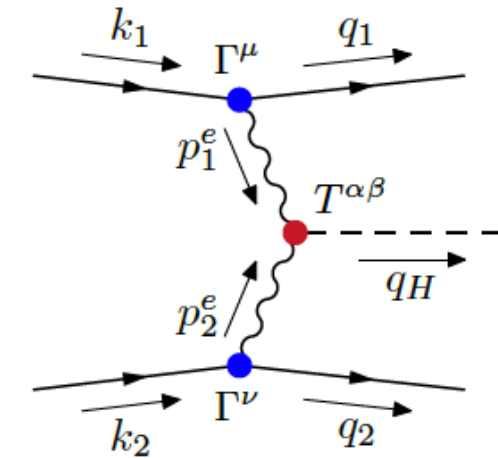
$\rightarrow \tilde{d} = 0$: no CP violation, $\tilde{d} \neq 0$: CP violation



CP-odd (T-odd) observables

- Observable whose expectation value is 0 if CP conserved
- Simple observable:
signed difference in azimuthal angle of tagging jets (k_+ and p_+ point in same hemisphere)

$$\begin{aligned} \epsilon_{\mu\nu\rho\sigma} k_+^\mu q_+^\nu k_-^\rho q_-^\sigma &= 2E_+ E_- p_{T+} p_{T-} \sin(\phi_+ - \phi_-) \\ &= 2E_+ E_- p_{T+} p_{T-} \sin(\Delta\phi_{jj}) \end{aligned}$$



SM, CP-even, pure CP-odd:
symmetric under $\Delta\phi_{jj} \rightarrow -\Delta\phi_{jj}$

→ Broken if CP-mixed

→ Missing information on
momentum transfer

Optimal Observable

- Gain sensitivity in using full phase space information
- Matrix element for process with CP-odd contribution

$$|\mathcal{M}|^2 = \underbrace{|\mathcal{M}_{SM}|^2}_{\text{CP-even}} + \underbrace{\tilde{d} \cdot 2\text{Re}(\mathcal{M}_{SM}^* \mathcal{M}_{CP\text{-odd}})}_{\text{CP-odd}} + \underbrace{\tilde{d}^2 \cdot |\mathcal{M}_{CP\text{-odd}}|^2}_{\text{CP-even}}$$

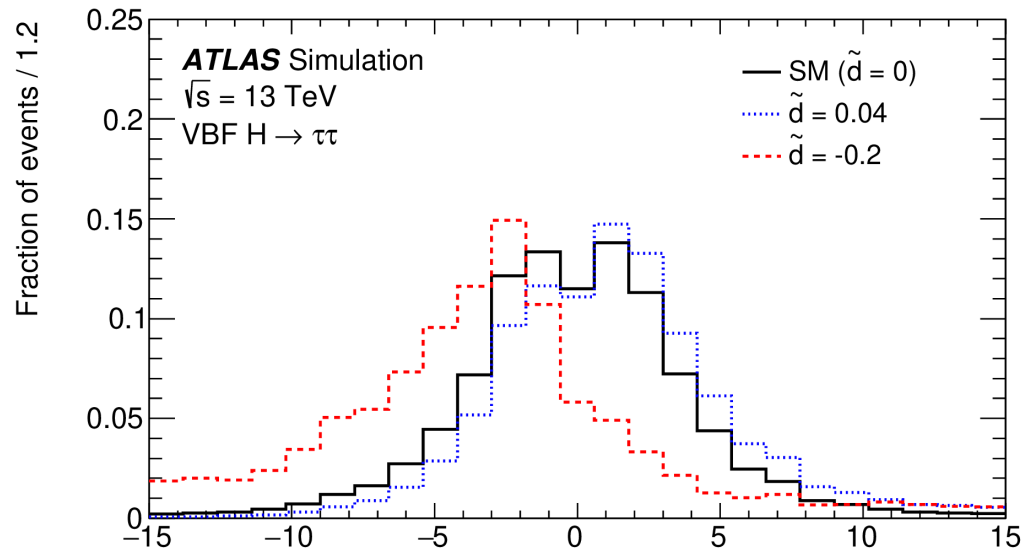
- Optimal CP-odd Observable:

$$\mathcal{OO} = \frac{2\text{Re}(\mathcal{M}_{SM}^* \mathcal{M}_{CP\text{-odd}})}{|\mathcal{M}_{SM}|^2}$$

- Contains full phase-space information in 1-dim. observable for **small \tilde{d}**
- $\langle \mathcal{OO} \rangle \neq 0 \rightarrow$ **CP violation**
- Construct with
 - ME from a leading order MC generator
 - Reconstructed Lorentz vectors of the two tagging jets and the Higgs boson

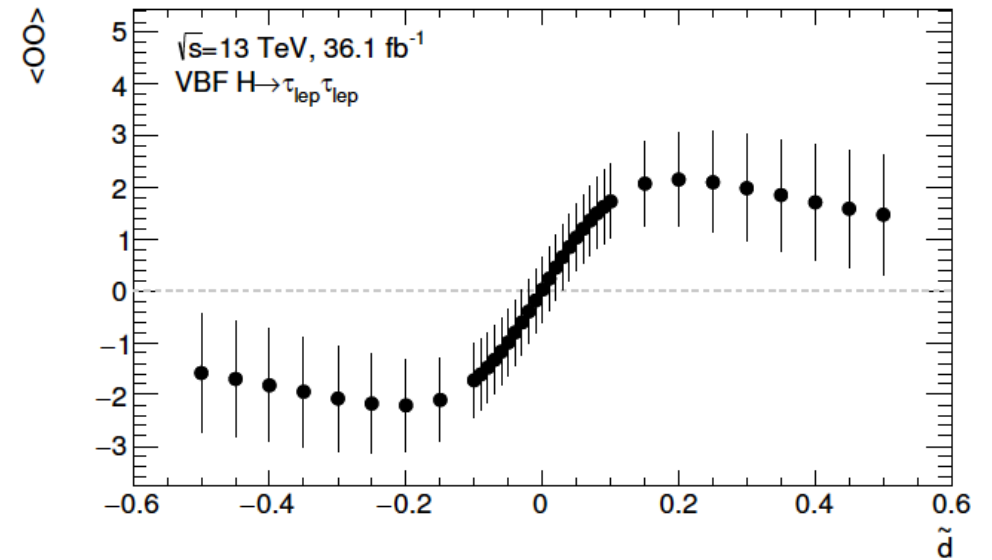
Optimal Observable

$$\mathcal{O}\mathcal{O} = \frac{2\text{Re}(\mathcal{M}_{SM}^* \mathcal{M}_{CP\text{-odd}})}{|\mathcal{M}_{SM}|^2}$$



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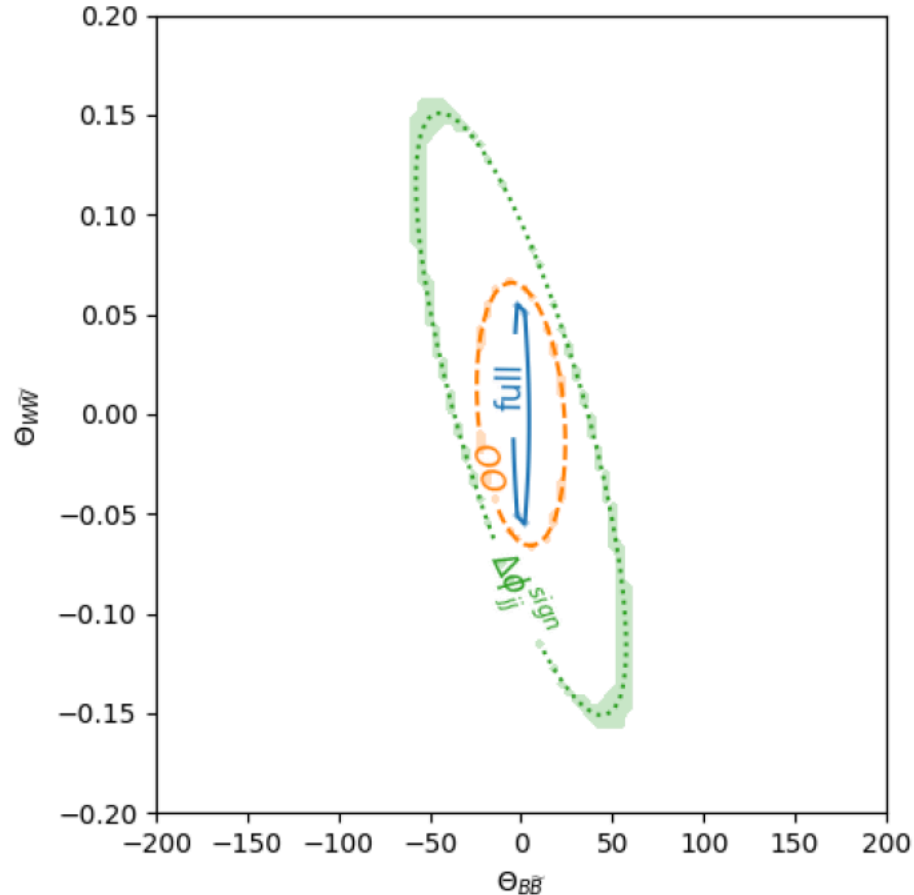
Optimal Observable



PhD thesis A. Loesle

- Symmetric for SM
- Shifted to $\mathcal{O}\mathcal{O} > (<) 0$ for $\tilde{d} > (<) 0$
- Mean value $\langle \mathcal{O}\mathcal{O} \rangle$: Linear for small \tilde{d} , $\langle \mathcal{O}\mathcal{O} \rangle \rightarrow 0$ for large \tilde{d}

Performance of CP odd observables



PhD thesis A. Loesle

- Expected 68% CL contours assuming 100 fb^{-1}
- Optimal observable close to full phase space for \tilde{d}
- Only low sensitivity to \tilde{d}_B
- Motivates choice $\tilde{d} = \tilde{d}_B$

$$\tilde{d} = -m_W^2 \Theta_{W\tilde{W}}$$

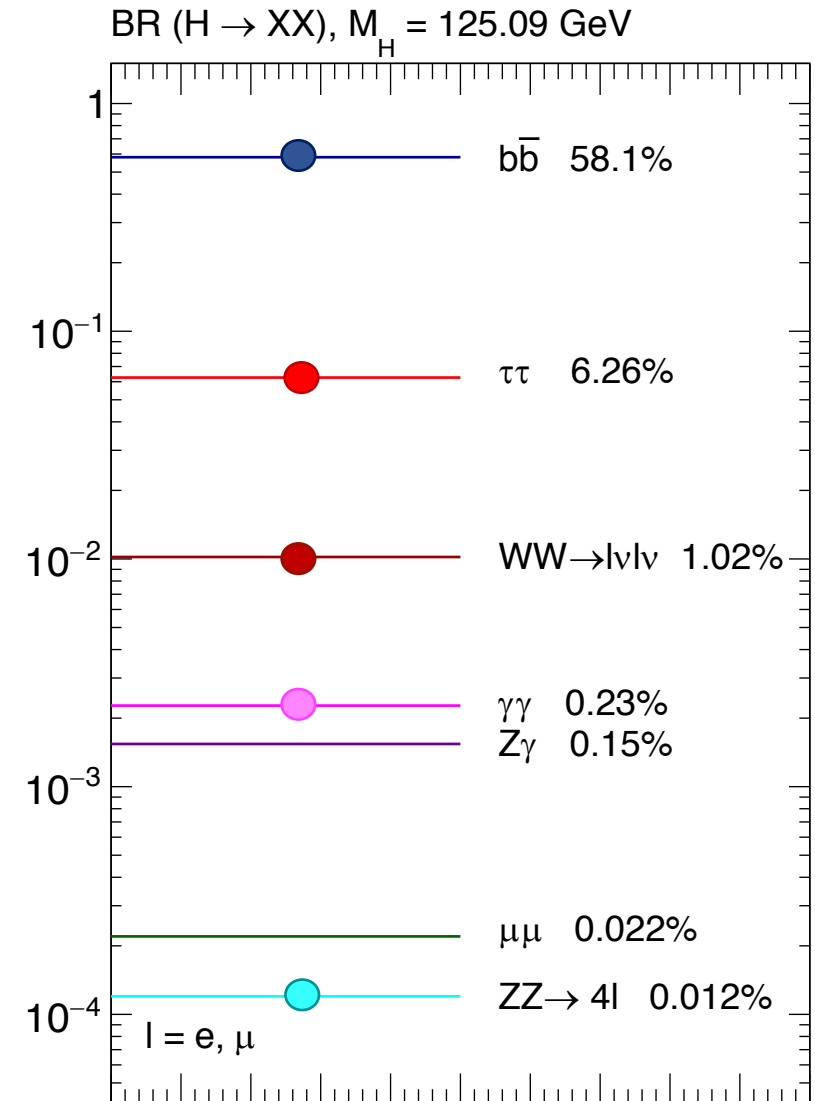
$$\tilde{d}_B = -m_W^2 \tan^2 \theta_W \Theta_{B\tilde{B}}$$

What Higgs-boson decay channels to use?

- Looking for good VBF channel
- $H \rightarrow \tau\tau$ (6.3%)
 - High statistics
 - Can reconstruct Higgs boson four-momentum
- $H \rightarrow WW^* \rightarrow \ell\nu\ell\nu$ (1.2%, $\ell = e, \mu; \tau \rightarrow \ell\nu\nu$)
 - High statistics
 - Cannot reconstruct Higgs boson four-momentum
- $H \rightarrow \gamma\gamma$ (0.2%)
 - Low statistics
 - Good mass resolution and clean signal peak
 - As for $H \rightarrow ZZ^* \rightarrow 4\ell$, even less statistic

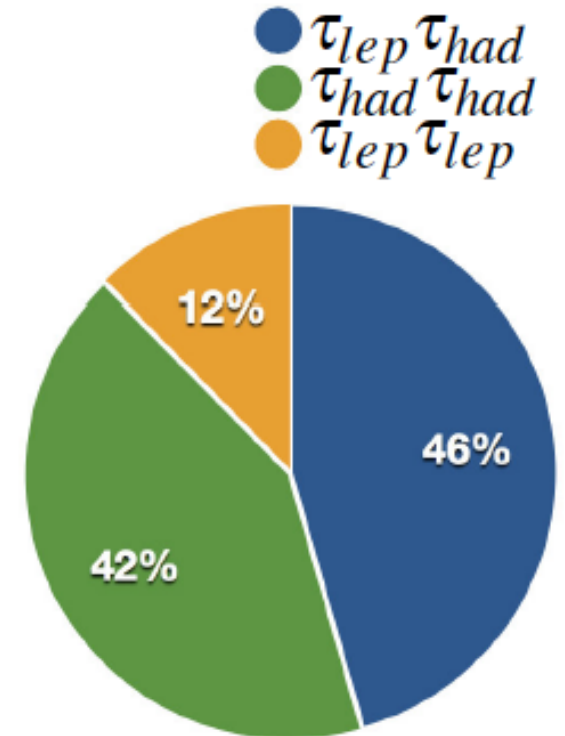
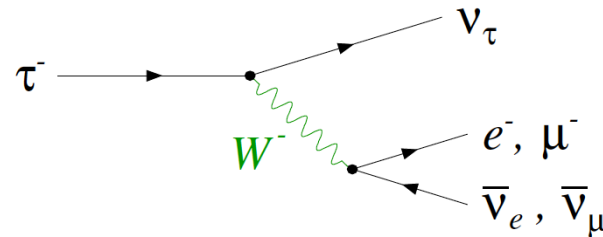
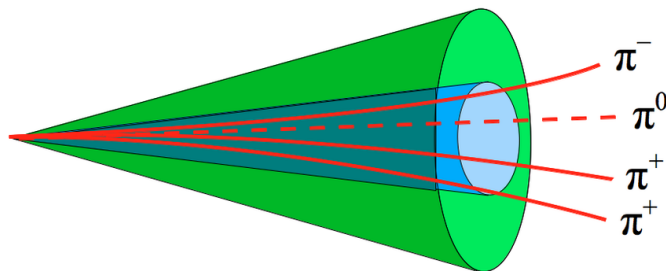


This analysis: $H \rightarrow \tau\tau$
Best result: combination of all three



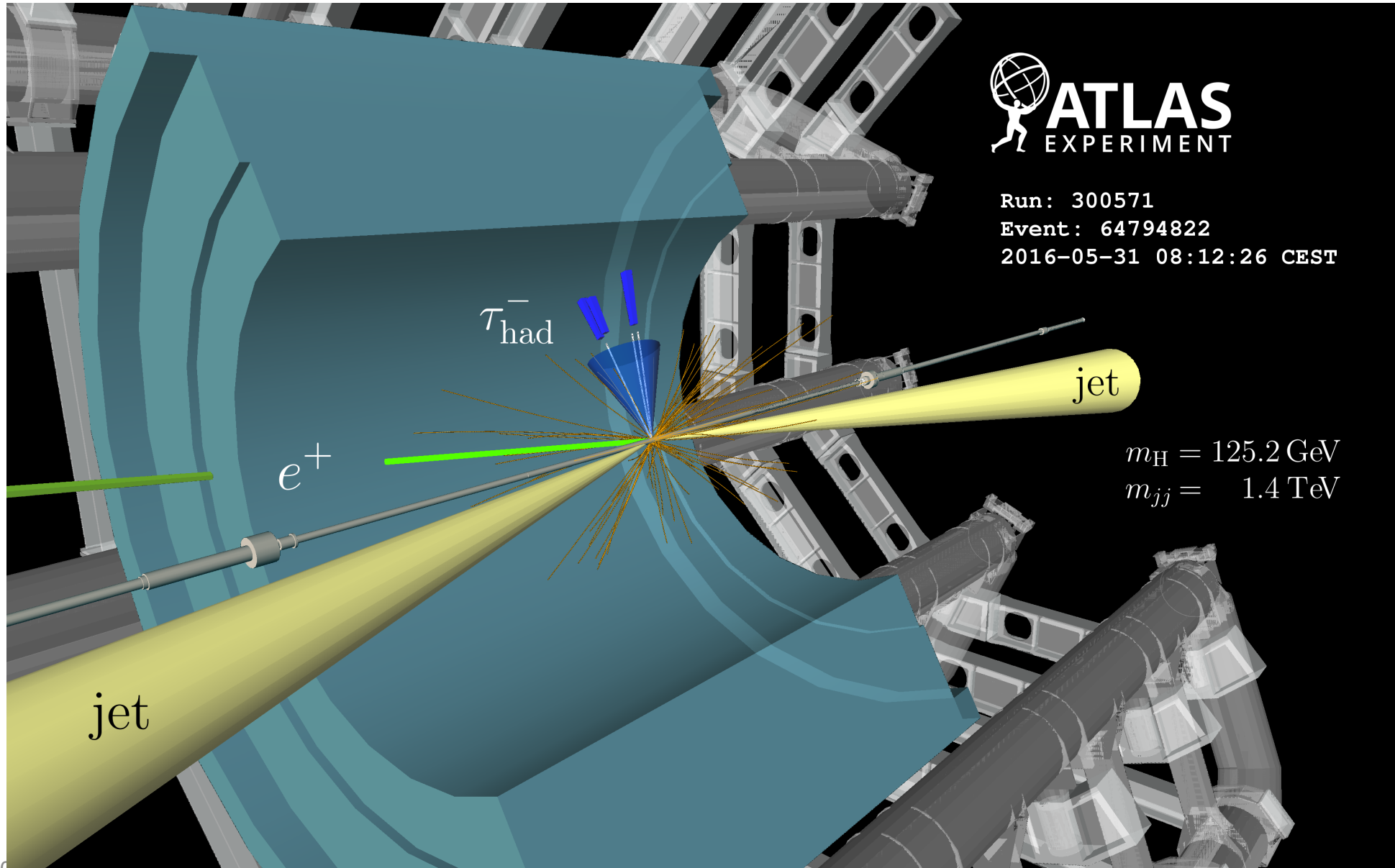
VBF CP analysis in $H \rightarrow \tau\tau$ decay channel

- Analysis strategy:
 1. Select VBF Higgs events
 2. Test for CP violation using Optimal Observable
- Based on couplings analysis (using 36 fb^{-1})
 - τ -lepton decay leptonically or hadronically
 - 4 analysis channels:
 $\tau_{had}\tau_{had}$, $\tau_{lep}\tau_{had}$, $\tau_{lep}\tau_{lep}$ DF, $\tau_{lep}\tau_{lep}$ SF



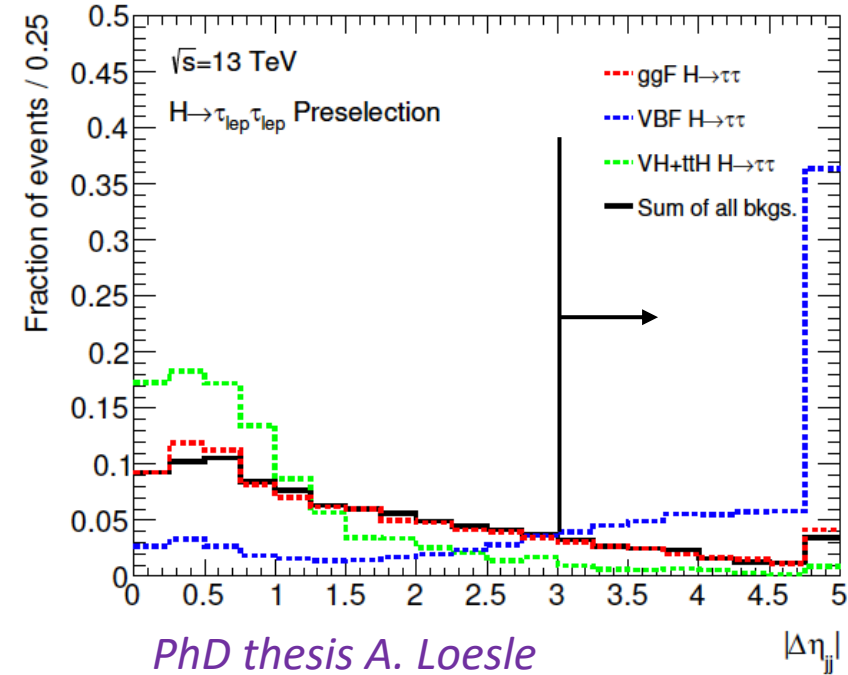
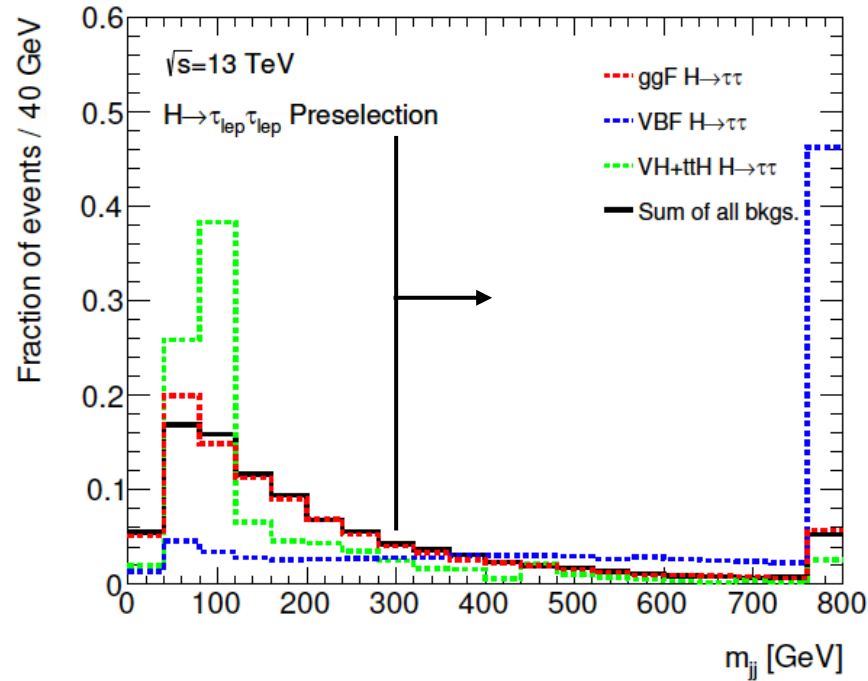
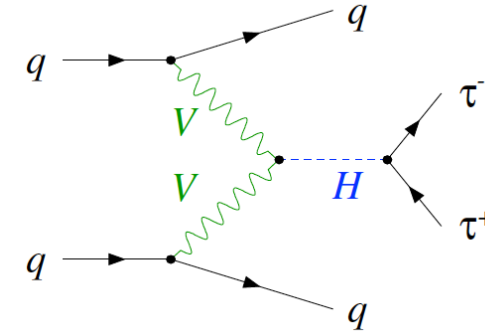
Example: 3-prong decay

VBF $H \rightarrow \tau\tau$ event in the detector

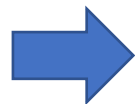


Selecting VBF events

| Channel | $\tau_{lep}\tau_{lep}$ | $\tau_{lep}\tau_{had}$ | $\tau_{had}\tau_{had}$ |
|--------------------|----------------------------------------------------------------------------------------------------------------------------|------------------------|-----------------------------|
| Basic preselection | | | |
| VBF topology | $N_{jets} \geq 2, p_T^{j2} > 30 \text{ GeV}$ $m_{jj} > 300 \text{ GeV}, \eta_{jj} > 3$ $p_T^{j1} > 40 \text{ GeV}$ | | $p_T^{j1} > 70 \text{ GeV}$ |



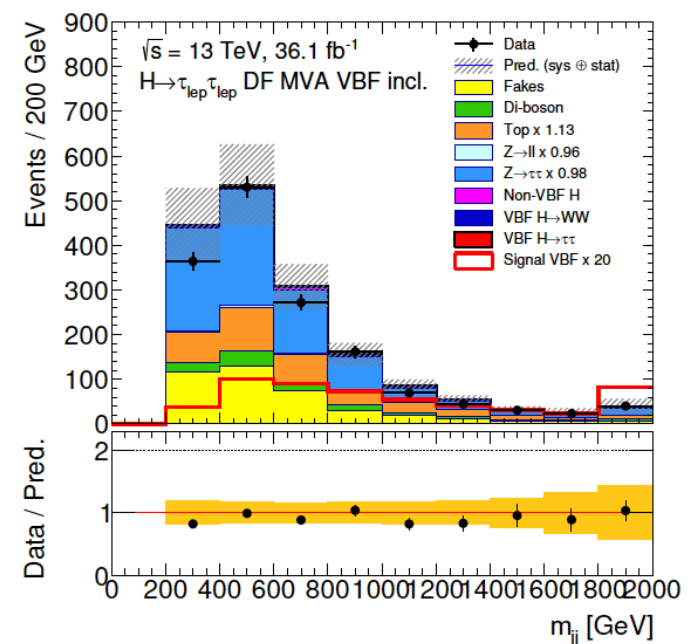
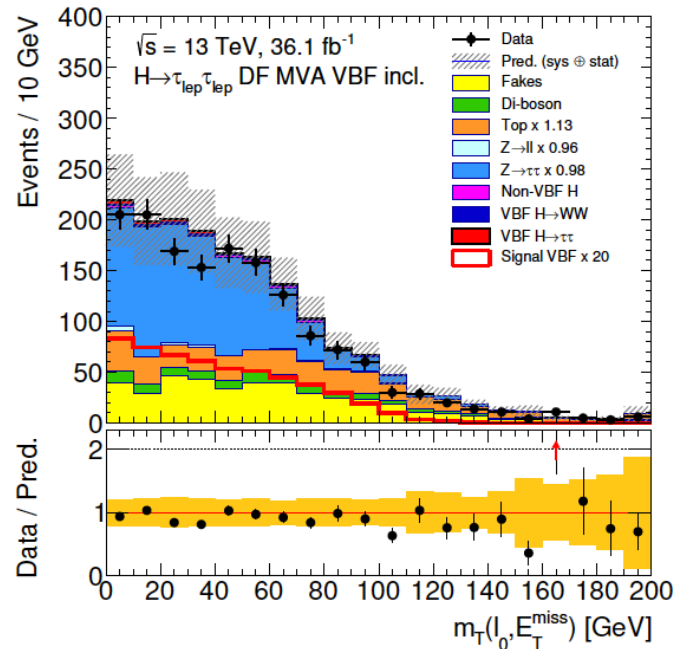
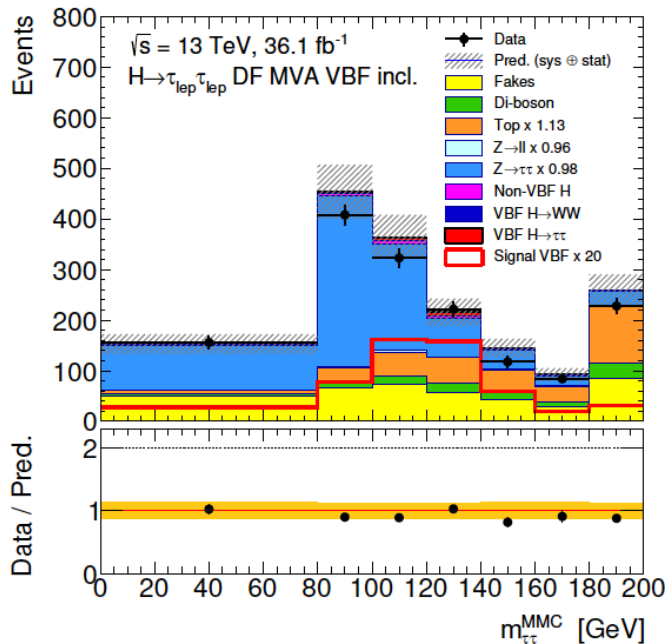
PhD thesis A. Loesle



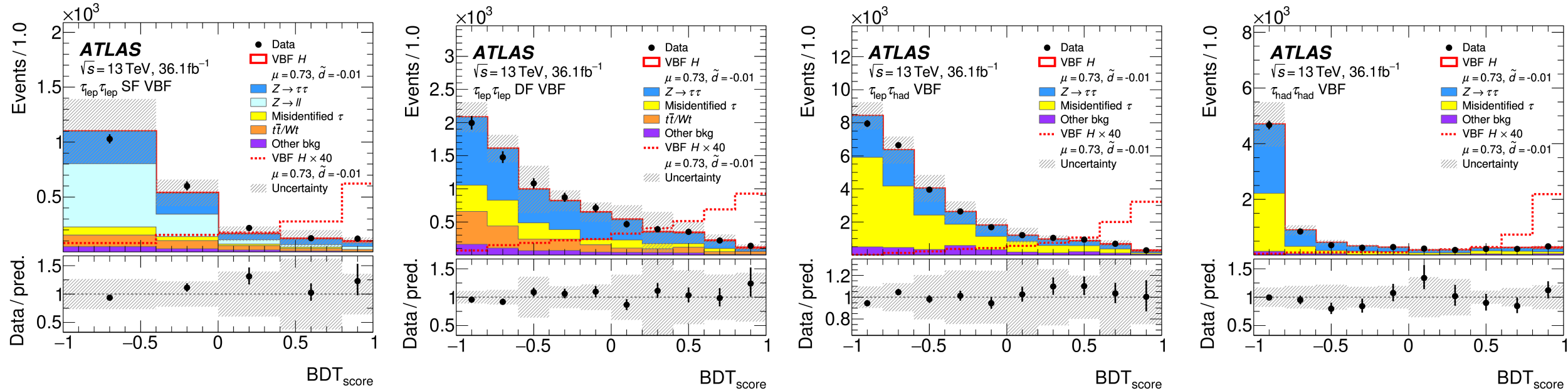
Improve S/B further with MVA analysis

Input variables for the MVA

- Properties of Higgs boson, e.g. $m_{\tau\tau} \rightarrow$ suppress resonant $Z \rightarrow \tau\tau$
- Properties of resonant di- τ decay, e.g. $m_T(\ell_0, E_T^{miss}) \rightarrow$ suppress mis-identified τ -leptons
- Properties of VBF topology, e.g. $m_{jj} \rightarrow$ suppress backgrounds and other Higgs production modes



Selection of VBF $H \rightarrow \tau\tau$ events



Cut on final
BDT score

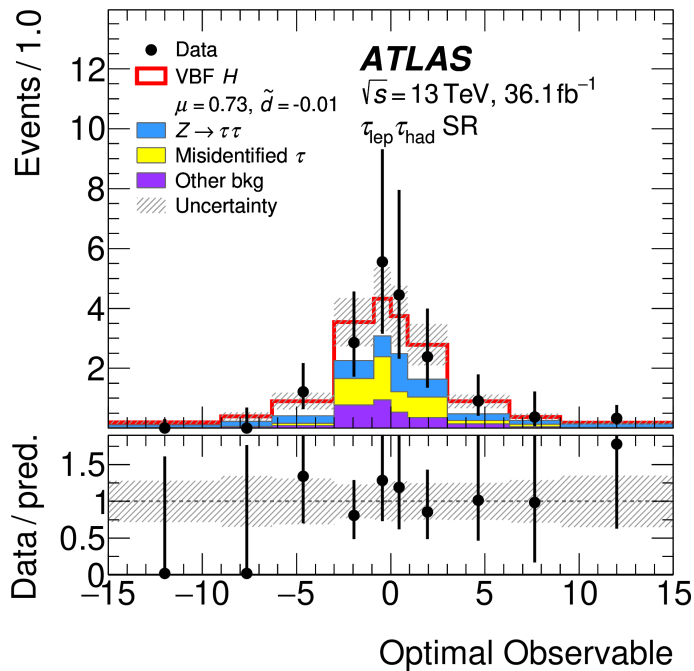
| | Cut | Exp. signal | Exp. sum of bkg | Ratio |
|---------------------------|----------------------|-------------|-----------------|-------|
| $\tau_{lep}\tau_{lep}$ SF | $BDT_{score} > 0.78$ | 4.5 | 23 | 0.2 |
| $\tau_{lep}\tau_{lep}$ DF | $BDT_{score} > 0.78$ | 6.9 | 24 | 0.3 |
| $\tau_{lep}\tau_{had}$ | $BDT_{score} > 0.86$ | 16 | 19 | 0.9 |
| $\tau_{had}\tau_{had}$ | $BDT_{score} > 0.87$ | 12.3 | 26 | 0.5 |

Postfit yields for SM signal

Fit Model

All channels:

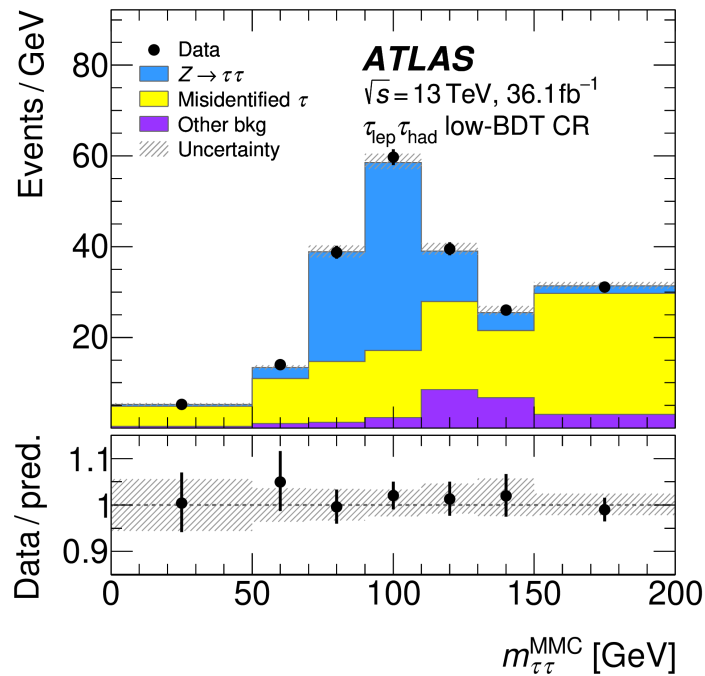
High BDT signal region Fit Optimal Observable



Sensitivity to \tilde{d} -value

All channels:

Low-BDT control region Fit di- τ mass $m_{\tau\tau}$



Constrain systematic
uncert., $Z \rightarrow \tau\tau$ norm.

$\tau_{\text{lep}} \tau_{\text{lep}}$ channels:

Top-quark control region

$$N_{b\text{-jets}} \geq 1$$

Use event yields

Constrain top-quark norm.

$Z \rightarrow \ell\ell$ control region

$$80 \text{ GeV} < m_{\ell\ell} < 100 \text{ GeV}$$

Use event yields

Constrain $Z \rightarrow \ell\ell$ norm.

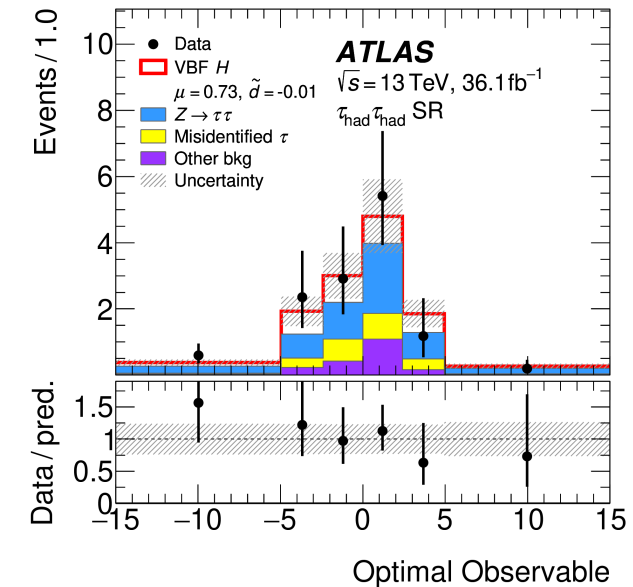
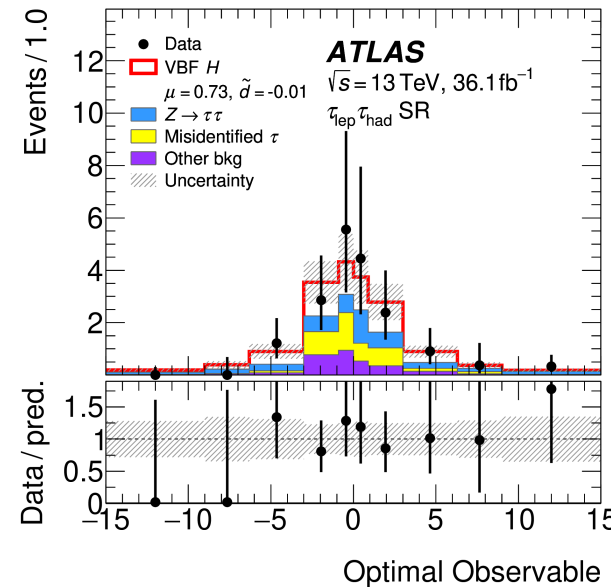
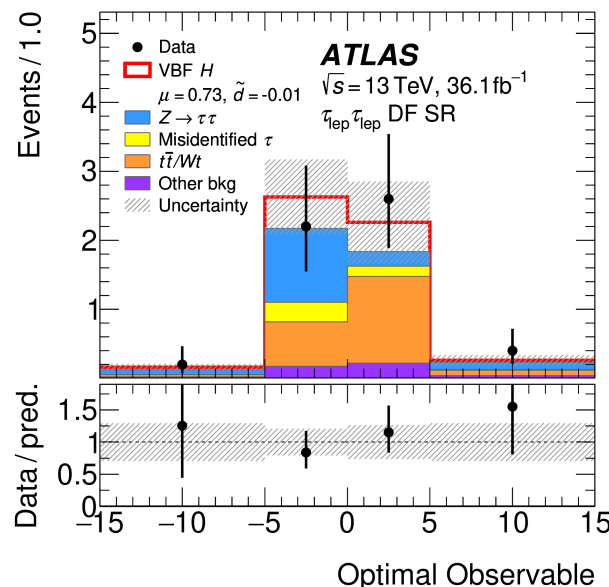
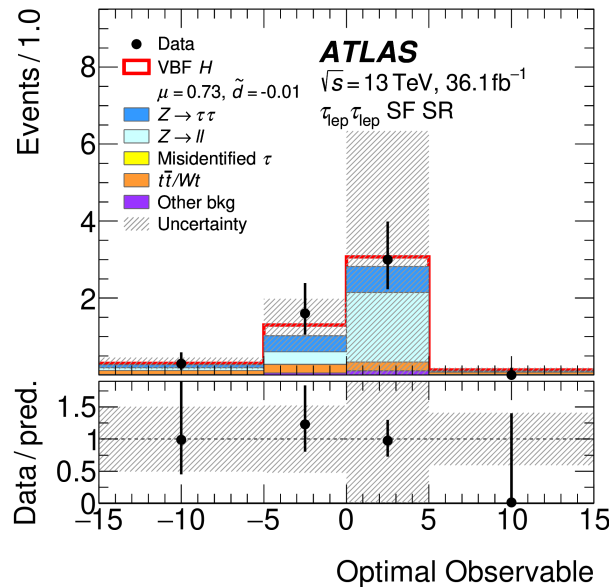


Simultaneous fit to 4 SRs and 7 CRs

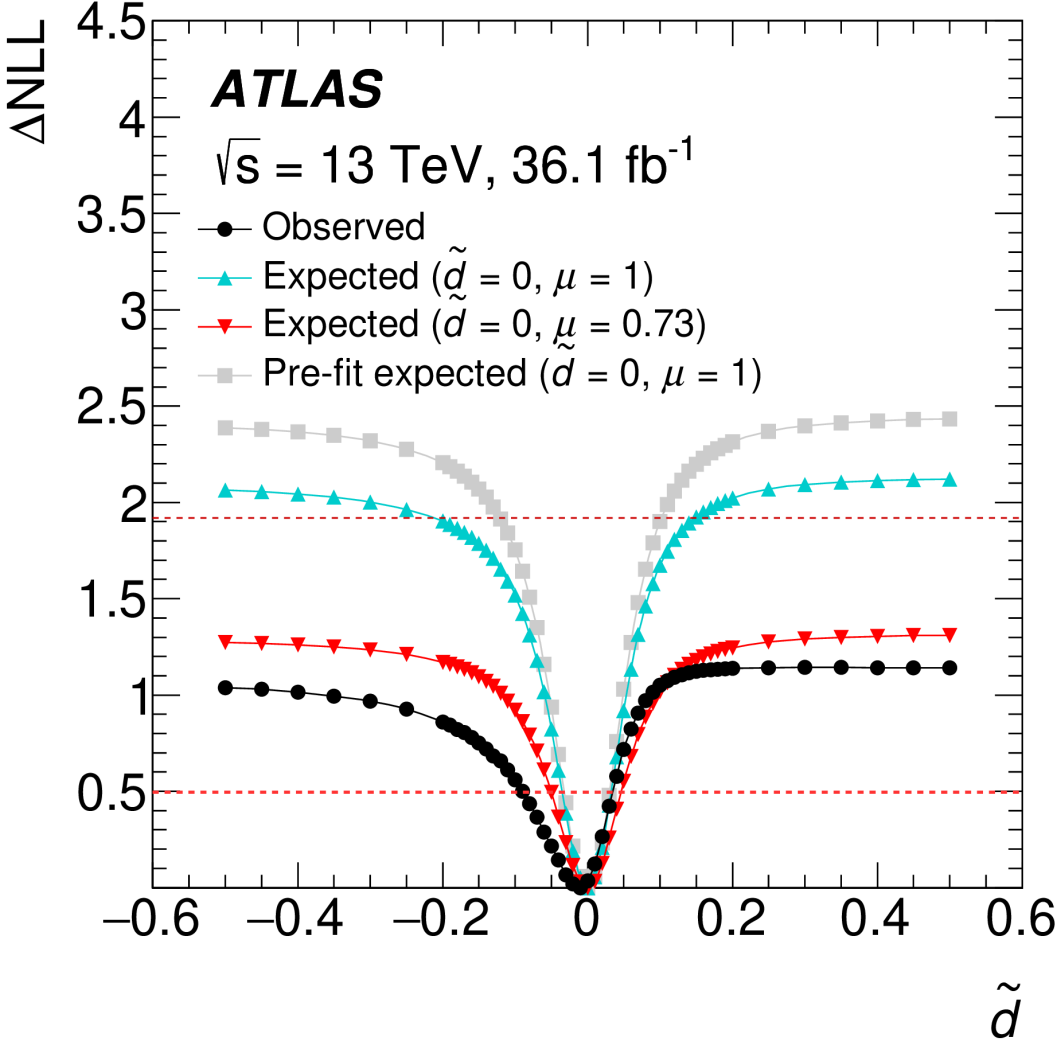
Testing CP invariance

1. Mean value of OO in data allows to test CP invariance
2. Construct NLL curve to determine confidence interval on \tilde{d}
 - No rate information used

| | $\langle OO \rangle$ |
|----------------------------|----------------------|
| $\tau_{lep} \tau_{lep}$ SF | -0.54 ± 0.72 |
| $\tau_{lep} \tau_{lep}$ DF | 0.71 ± 0.81 |
| $\tau_{lep} \tau_{had}$ | 0.74 ± 0.78 |
| $\tau_{had} \tau_{had}$ | -1.13 ± 0.65 |



Results

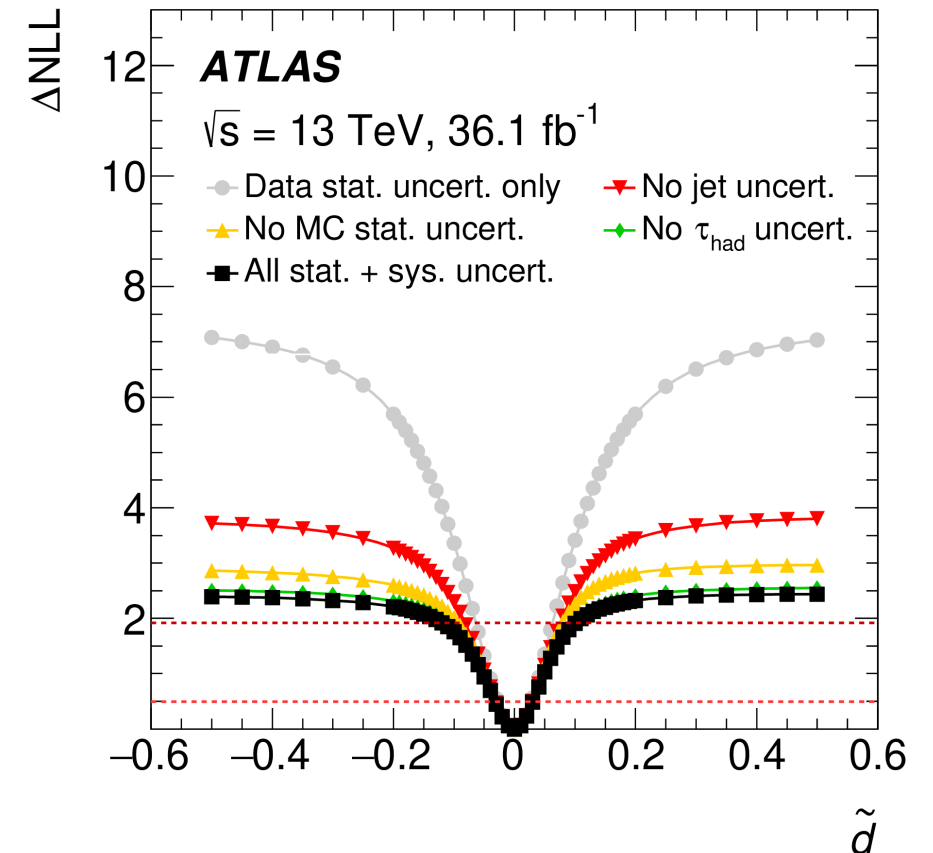


- Observed minimum at $\tilde{d} = -0.01$ with a signal strength of $\mu = 0.73 \pm 0.47$
- Expected curve from fit to pseudo-data built with best-fit values from CR-only fit

| | expected ($\mu = 1$) | observed |
|--------|------------------------|-------------------|
| 68% CL | $[-0.035, 0.033]$ | $[-0.090, 0.035]$ |
| 95% CL | $[-0.21, 0.15]$ | - |

Impact of systematic uncertainties

- Impact on the exp. limit on \tilde{d} :
 - Remove set of syst. uncert. from likelihood
- Compare sensitivity from **full stat.+syst. fit** and data stat. uncert. fit
- Largest impact from **jet uncertainties**
- Small impacts from **MC statistical** and τ_{had} -related uncertainties



Comparison to other results and to do's

- Confidence intervals on \tilde{d} :

| | decay | data sets | exp. CI at 68% CL | exp. CI at 95% CL |
|-------|--------------------------|-------------------------------|----------------------|----------------------|
| CMS | $H \rightarrow VV$ | R1 | [-1.45, 1.45] | [-2.81, 2.81] |
| CMS | $H \rightarrow VV$ | R1 + R2(80 fb ⁻¹) | [-0.11, 0.11] | [-0.76, 0.76] |
| CMS | $H \rightarrow \tau\tau$ | R2 (36 fb ⁻¹) | [-0.043, 0.043] | [-0.154, 0.154] |
| CMS | | combination | [-0.039, 0.039] | [-0.086, 0.086] |
| ATLAS | $H \rightarrow \tau\tau$ | R1 (20.3 fb ⁻¹) | [-0.16, 0.16] | N.A. |
| ATLAS | $H \rightarrow \tau\tau$ | R2 (36 fb ⁻¹) | [-0.035, 0.033] | [-0.21, 0.15] |

Best observed limit at 95 CL: [-0.81, 0.30]

To DOs:

- Add more data
- Control uncertainties better (MC stats)
- Quote also combinable and particle level result (could be input to e.g. EFT fit)

Overview

- Higgs-Gauge coupling:

- Test of CP invariance in vector-boson fusion production of the Higgs boson in the $H \rightarrow \tau\tau$ channel in pp collisions at $\sqrt{s} = 13 \text{ TeV}$ with the ATLAS detector

Phys. Lett. B 805 (2020) 135426

[arXiv:2002.05315](#)

**VBF, $H \rightarrow \tau\tau$
using 36 fb^{-1}**

- Yukawa coupling:

- Study of the CP properties of the interaction of the Higgs boson with top quarks using top-quark associated production of the Higgs boson and its decay into two photons with the ATLAS detector at the LHC

Accepted by PRL

[arXiv:2004.04545](#)

**$t\bar{t}H/tH, H \rightarrow \gamma\gamma$
using 139 fb^{-1}**

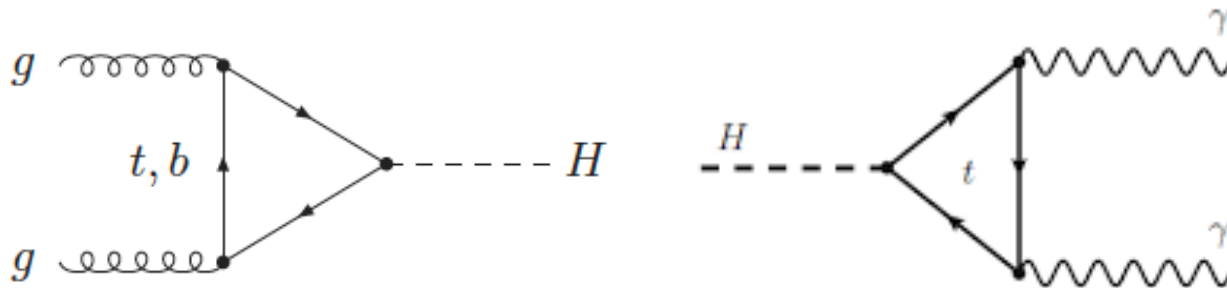


CP violation in the Top Yukawa coupling

- Scalar and pseudo-scalar top-H coupling:

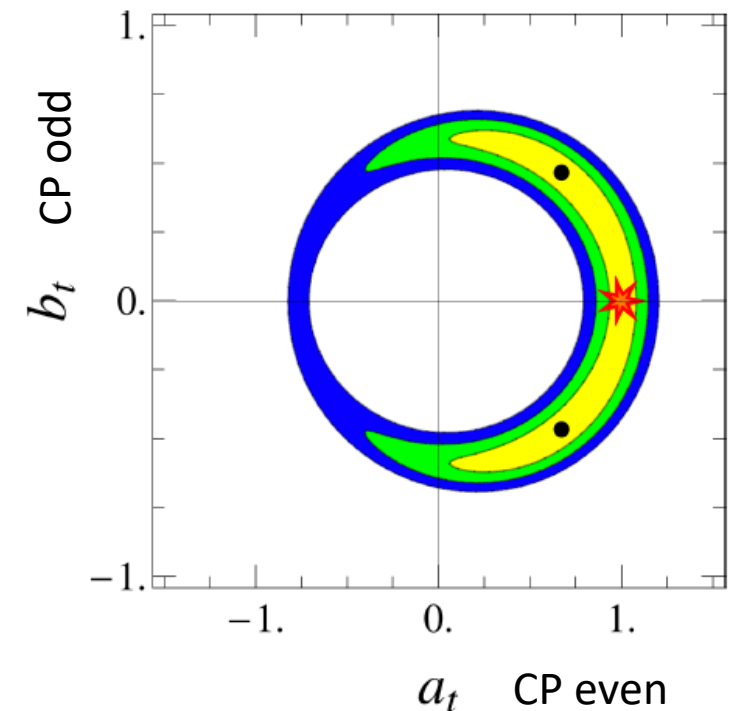
$$\mathcal{L}_{ht\bar{t}} = -\frac{m_t}{v} H [\bar{\psi}_t \kappa_t (\cos \alpha + i \sin \alpha \gamma_5) \psi_t]$$

- In SM: $\kappa_t = 1, \alpha = 0^\circ$
- Indirect constraints:



$$\kappa_g^2 = \kappa_t^2 \cos^2(\alpha) + 2.6\kappa_t^2 \sin^2(\alpha) + 0.11\kappa_t \cos(\alpha)(\kappa_t \cos(\alpha) - 1)$$

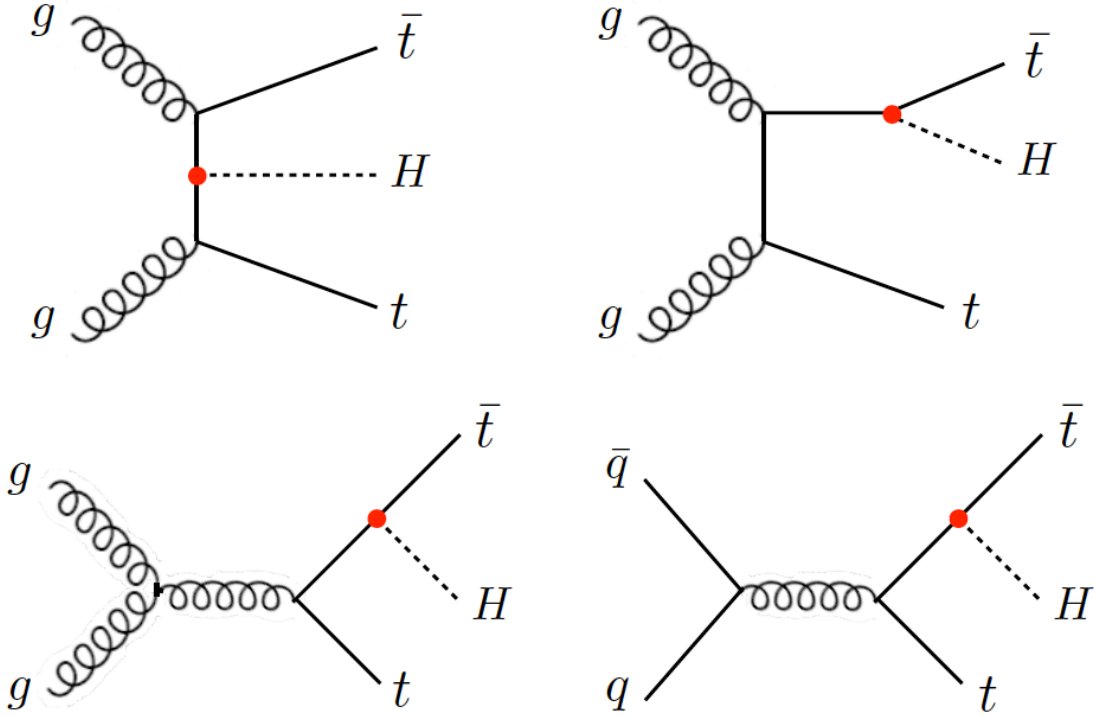
$$\kappa_\gamma^2 = (1.28 - 0.28\kappa_t \cos(\alpha))^2 + (0.43\kappa_t \sin(\alpha))^2$$



Direct probe of the Top Yukawa coupling

$t\bar{t}H$ production

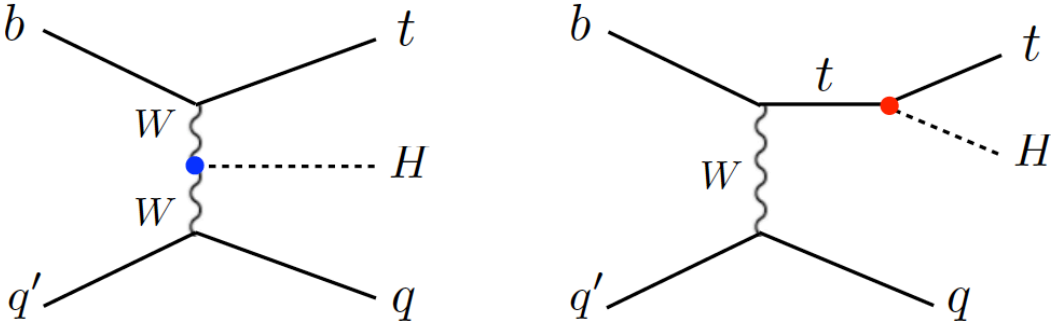
~ 1% of total Higgs production



tH production

~ 0.15% of total Higgs production

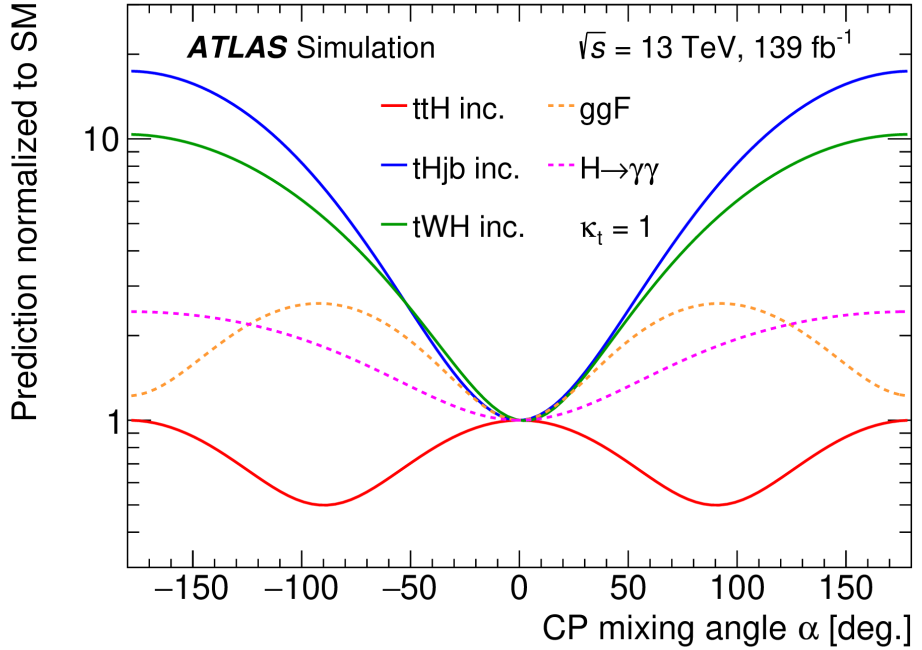
Negative interference between $t\bar{t}H$ and WWH coupling maximal in SM.



Direct probe of the Top Yukawa coupling

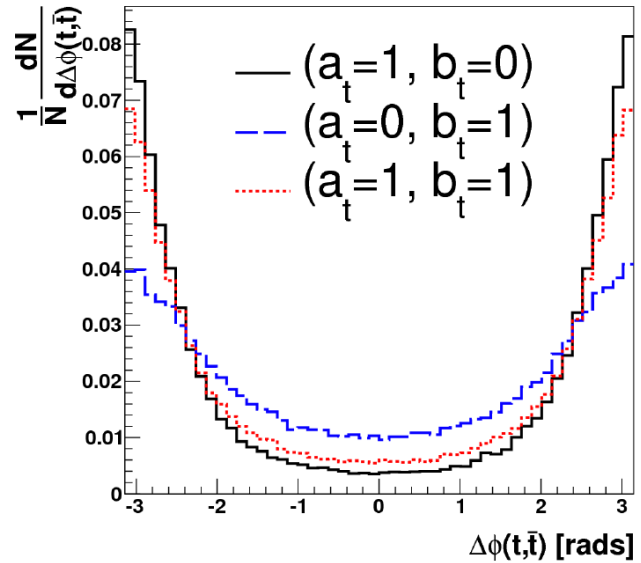
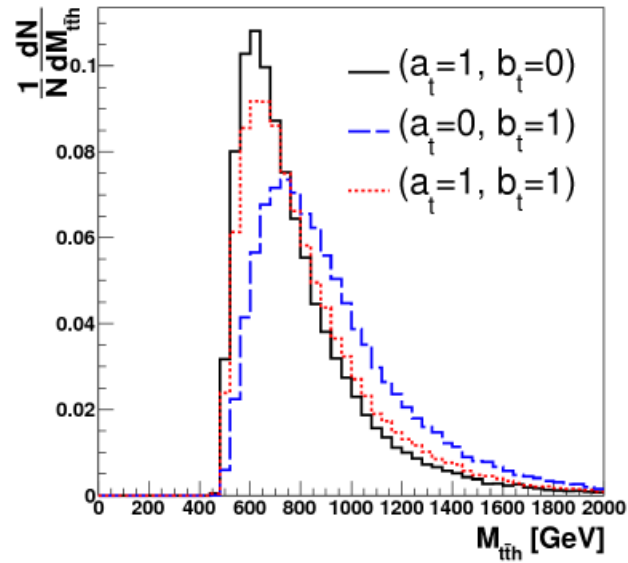
Rate information

→ high sensitivity from tH



Shape information

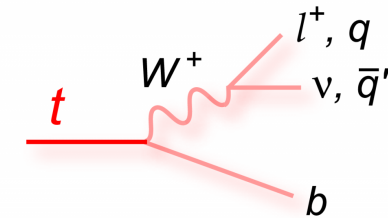
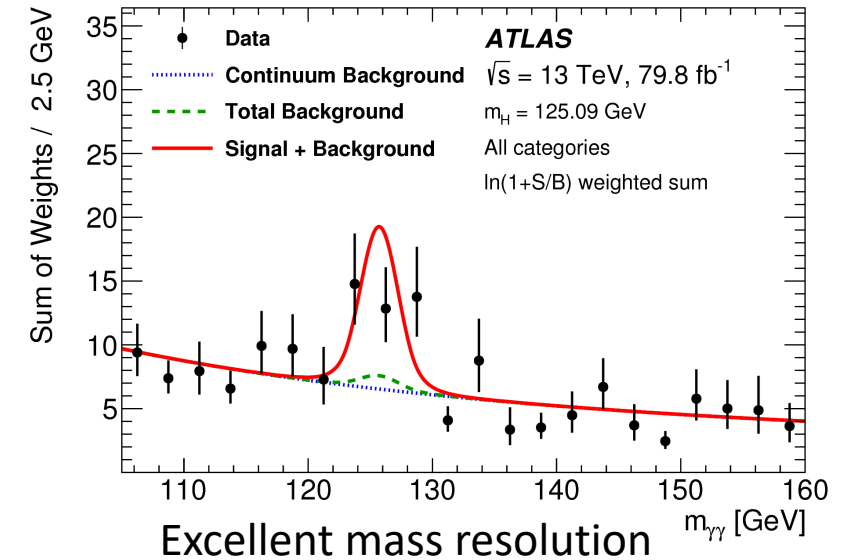
- Many variables constructed in $t\bar{t}H$ sensitive to spin correlations of process
- Optimal observable could be used
- MVA used instead



PRD 92 (2015) 015019
 Boudjema, Godbole, Guadagnoli, Mohan

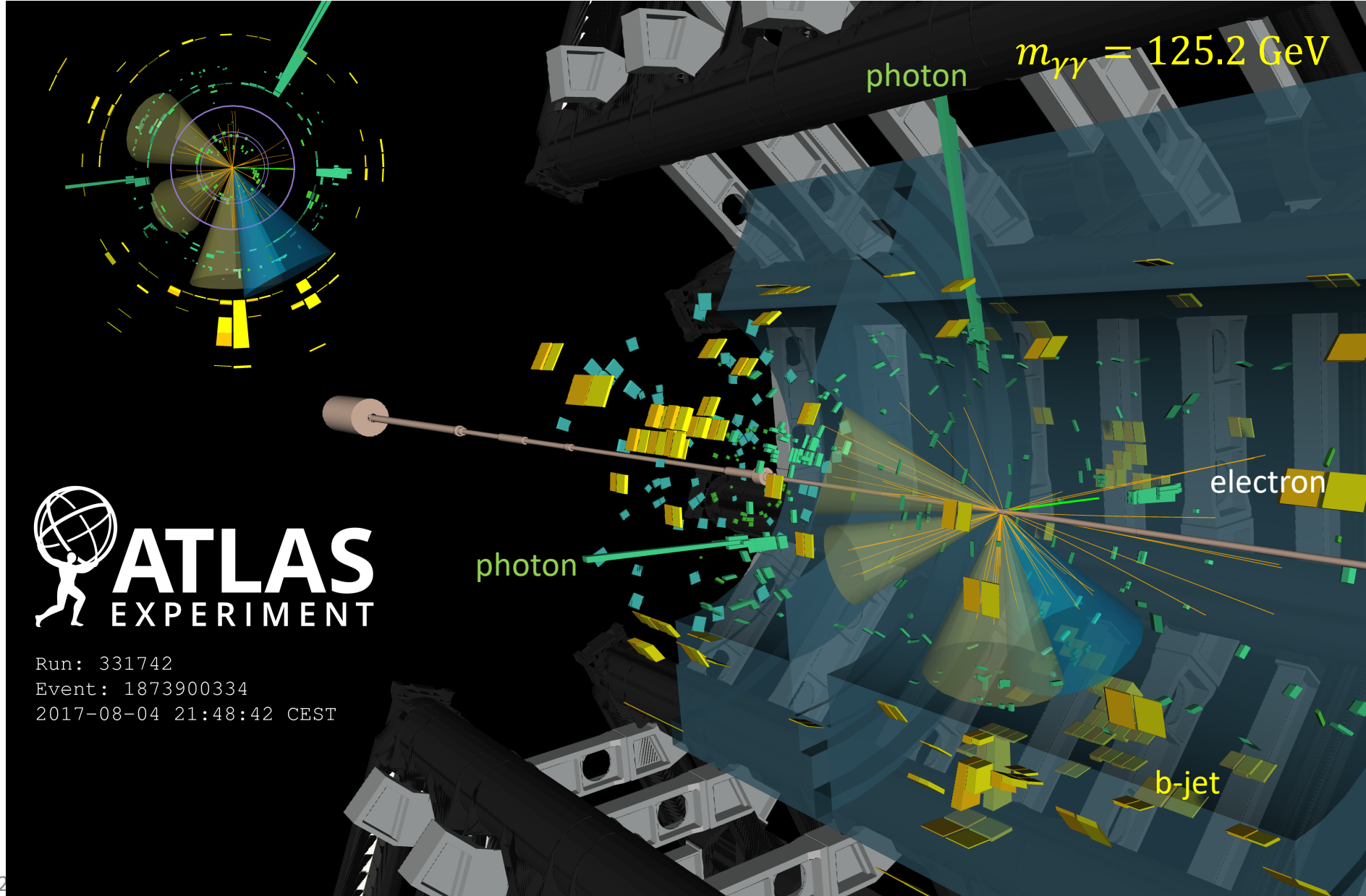
$t\bar{t}H/tH$ CP analysis in $H \rightarrow \gamma\gamma$ decay channel

- Analysis strategy:
 1. Select $t\bar{t}H/tH$ events
 2. Test for CP violation using an MVA
- Final state
 - $H \rightarrow \gamma\gamma$
 - Require two photons with $105 < m_{\gamma\gamma} < 160$ GeV
 - Top-quark decay(s) hadronic \rightarrow “Had” region
 - At least one b-tagged jet with $p_T > 25$ GeV
 - ≥ 2 additional jets with $p_T > 25$ GeV
 - At least 1 top-quark decay leptonic \rightarrow “Lep” region
 - At least one b-tagged jet with $p_T > 25$ GeV
 - ≥ 1 isolated lepton with $p_T > 15$ GeV



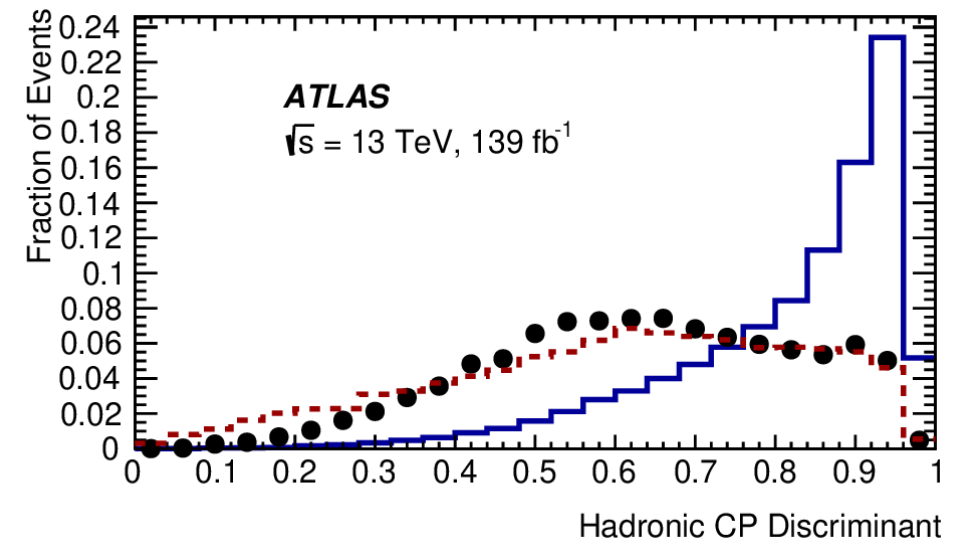
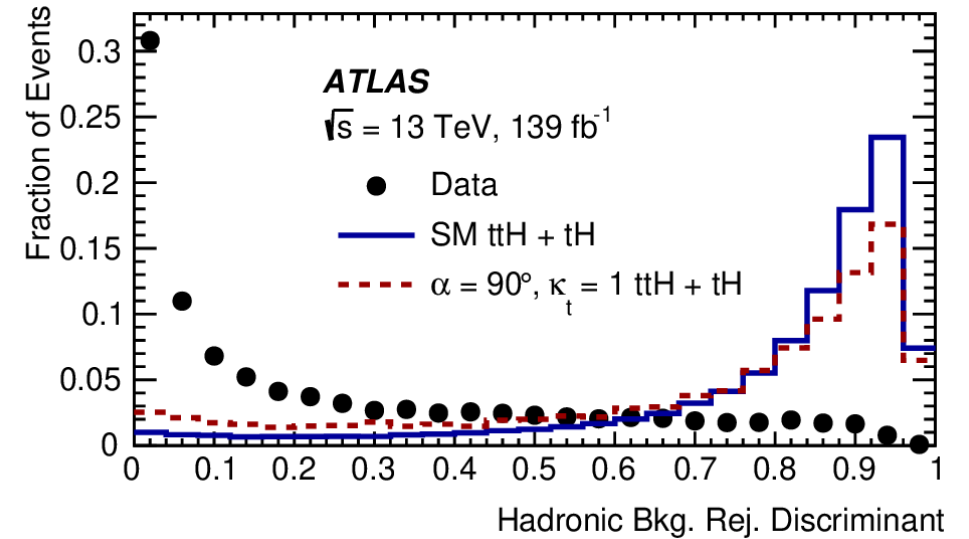
➡ Messy final states but low backgrounds ($t\bar{t}\gamma\gamma$) and excellent mass resolution

$t\bar{t}H/tH, H \rightarrow \gamma\gamma$ event in the detector

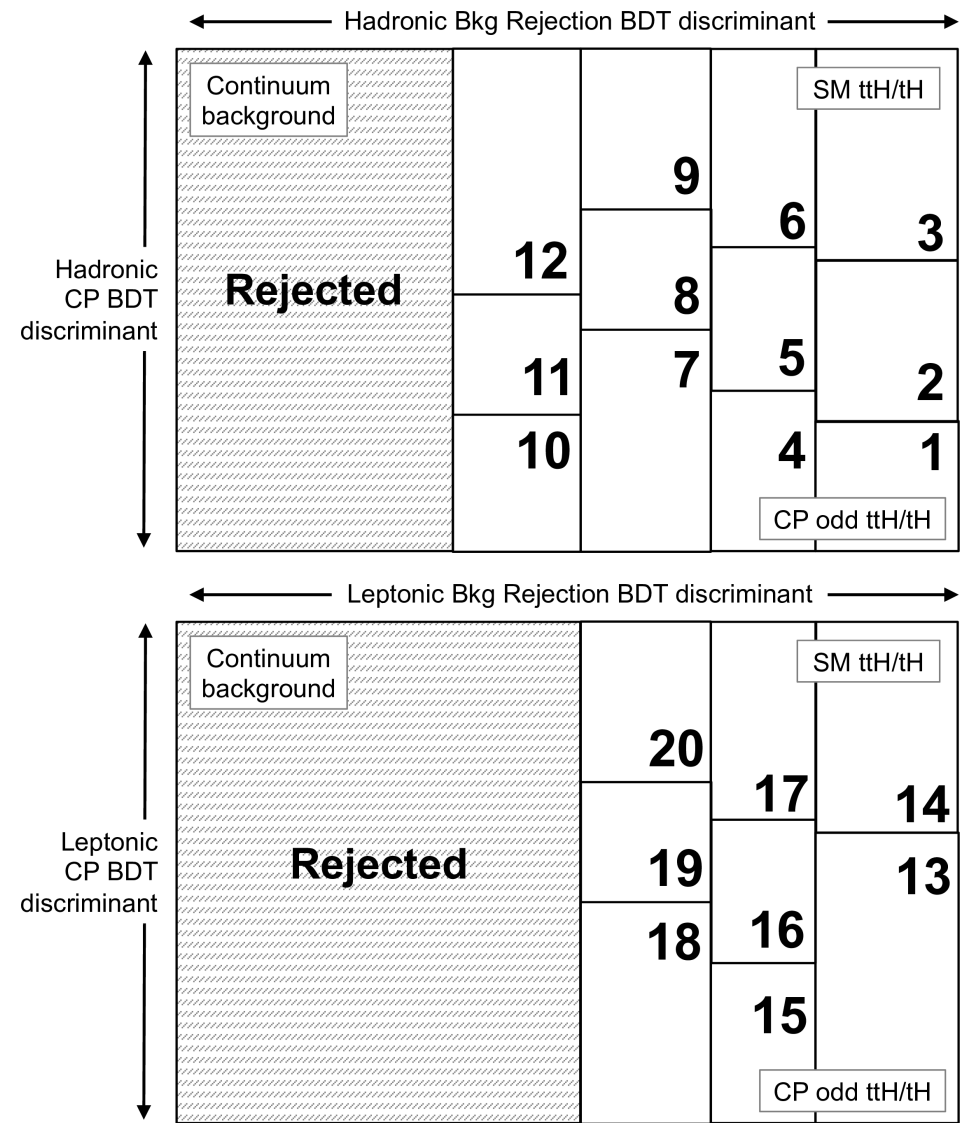
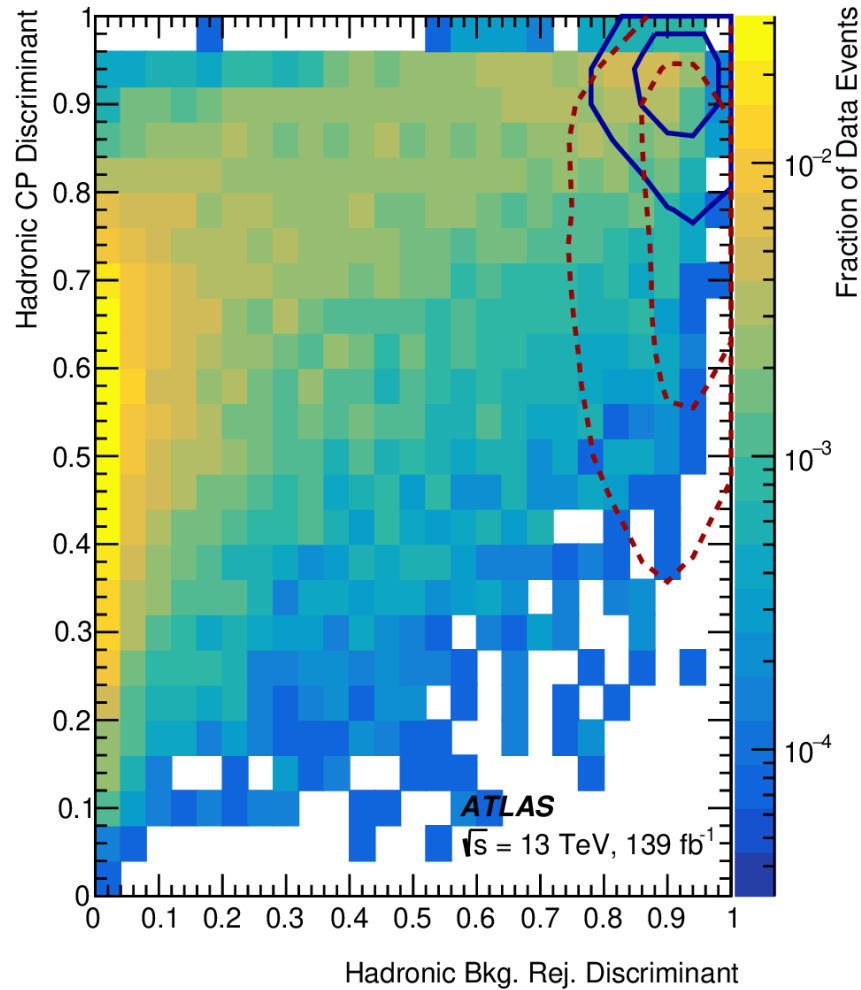


MVA to select $t\bar{t}H/tH$ and to probe CP

- **Background rejection BDT** uses 4-vectors of objects, b-tagging information and $p_T^{\gamma i} / m_{\gamma\gamma}$
- BDT used to select objects for **top-quark reconstruction** to reconstruct t_1 and if possible t_2
- Long list of variables for **CP BDT**
 - Most important:
 $p_T^{\gamma\gamma}, H_T, \Delta R_{min2}^{\gamma j}, m_{tt}, \Delta\eta_{tt}$
- Background rejection and CP BDT used for categorisation

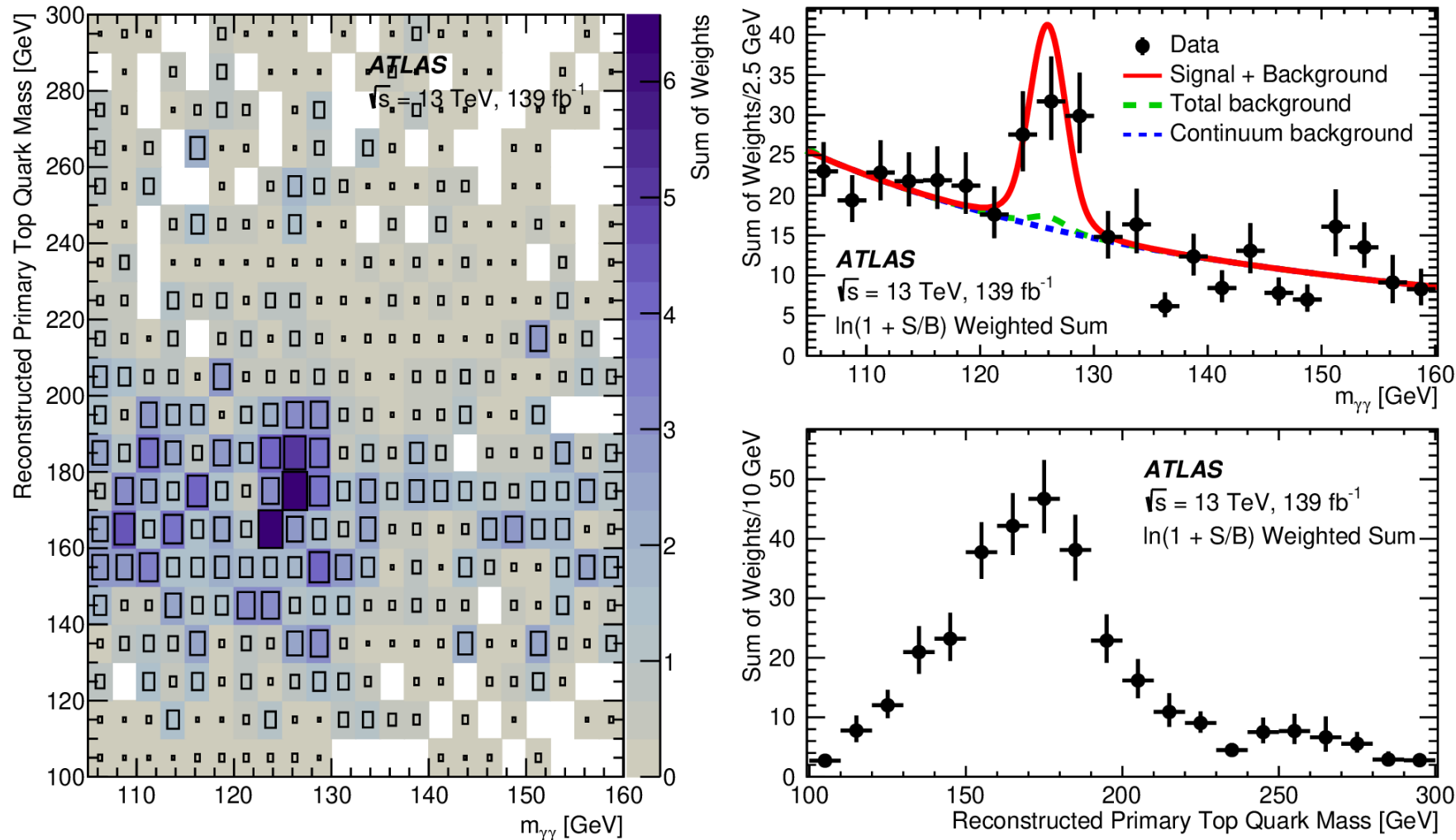


Categorisation



Simultaneous fit of $m_{\gamma\gamma}$ in each category

How well was $t\bar{t}H/tH$ selected?

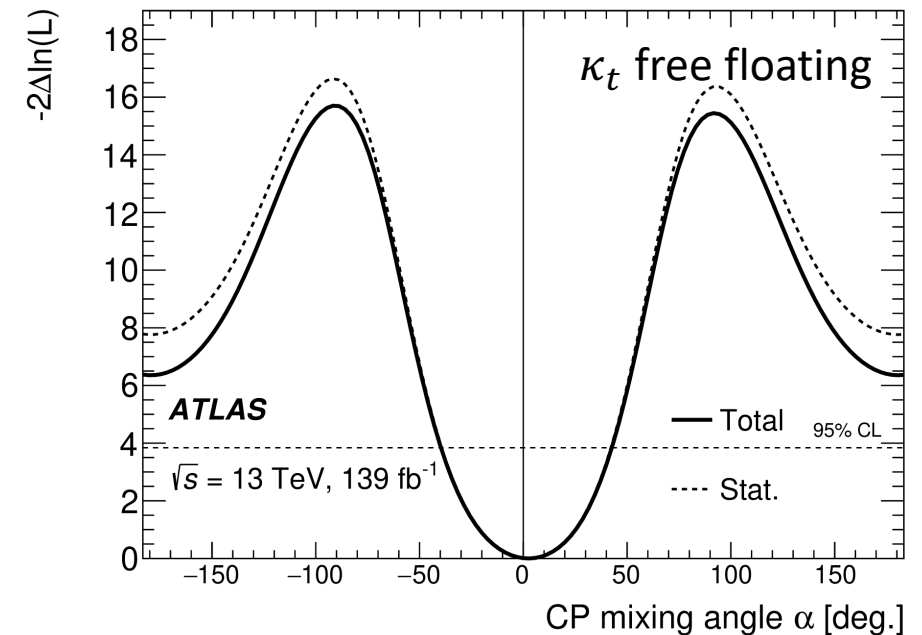
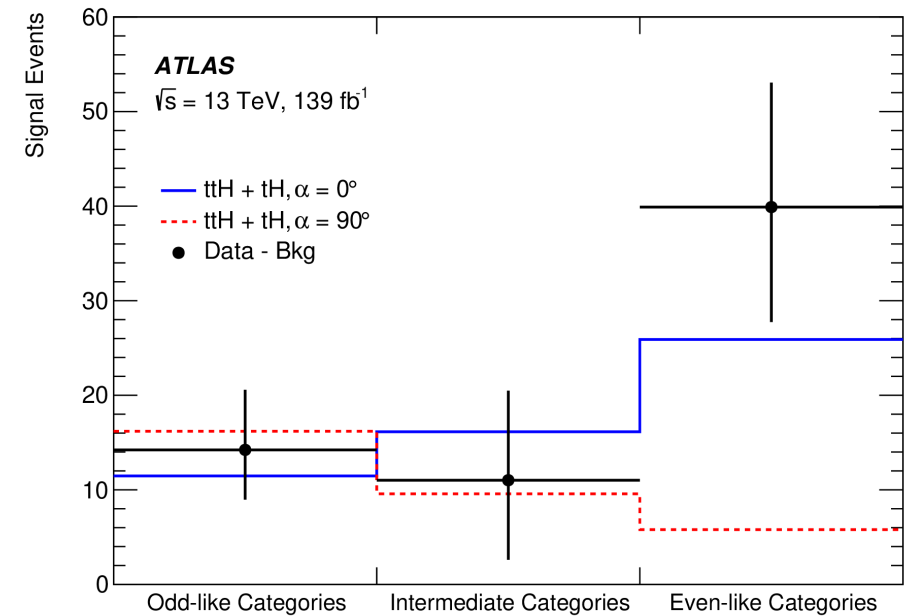


Pretty well!

Results

- $t\bar{t}H$ observation at 5.2σ :
 $\mu = 1.4 \pm 0.4$ (stat.) ± 0.2 (sys.)
- Limit on tH at 95% C.L. of $12 \times \sigma_{SM}^{tH}$
- To extract Top Yukawa coupling κ_t need sensitivity to κ_g and κ_γ
 - Add ATLAS Higgs combination data to constrain κ_g and κ_γ from data

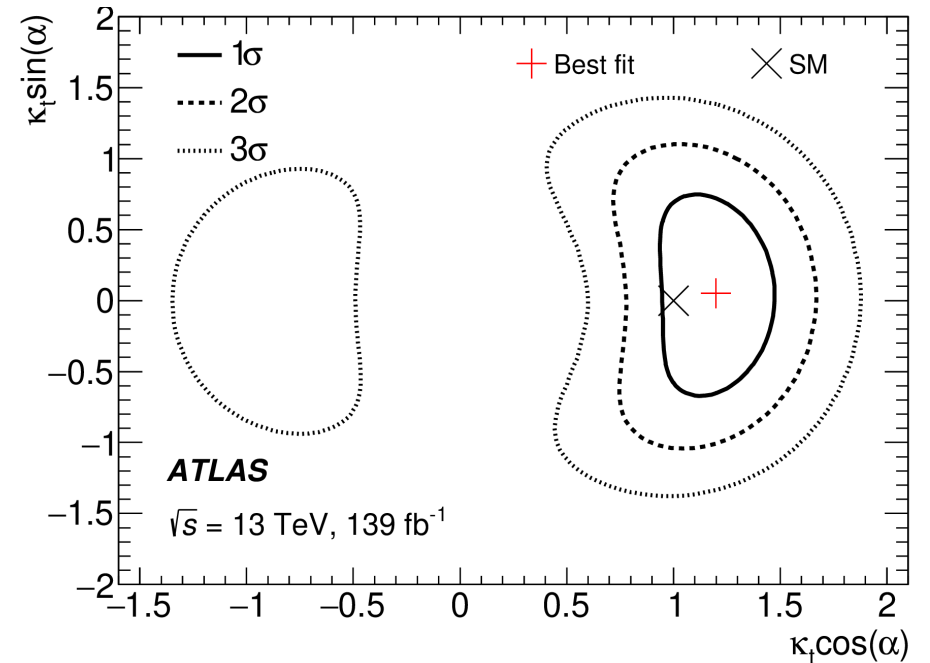
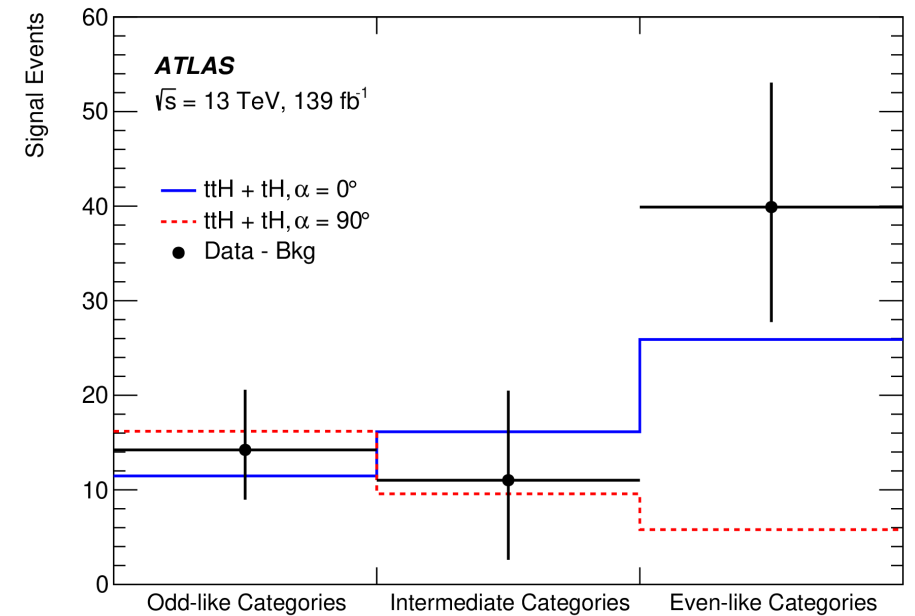
- $|\alpha| > 43^\circ$ excluded at 95% CL
 - $\alpha = 90^\circ$ (CP odd) excluded at 3.9σ
 - Similar results by CMS



Results

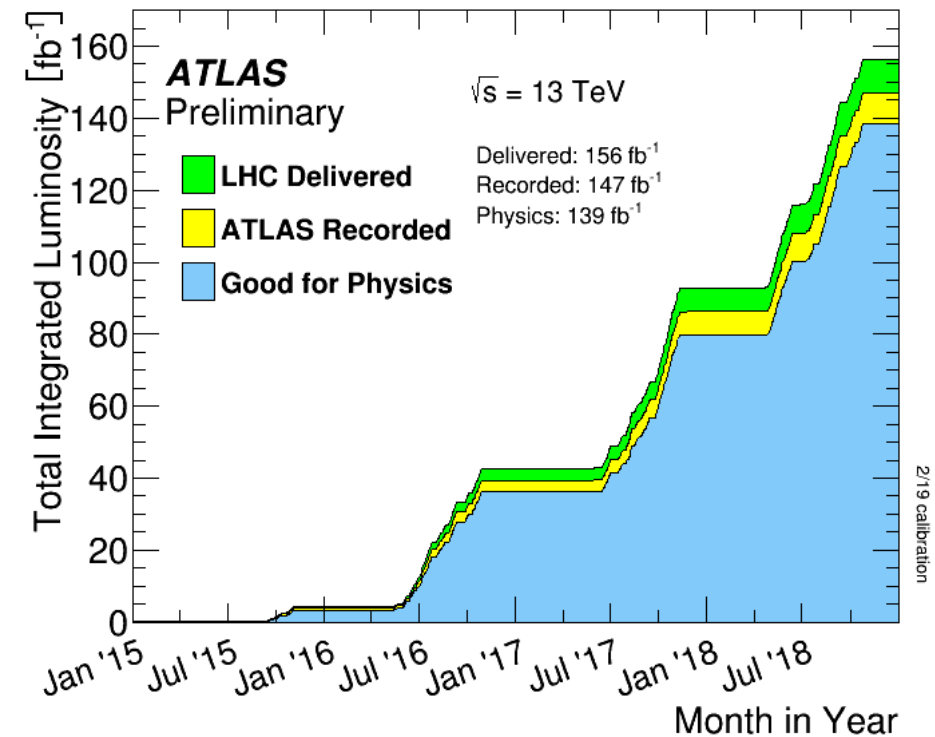
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- $|\alpha| > 43^\circ$ excluded at 95% CL
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- Similar results by CMS



Conclusion

- ATLAS and CMS probe the CP nature of the Higgs boson
 - Higgs-Gauge couplings
 - Yukawa couplings
 - Studies only at the beginning
- So far, no hint of CP violation has been observed
 - Higgs-gauge CP mixing parameter $-0.81 < \tilde{a} < 0.30$
 - Top Yukawa mixing angle $|\alpha| < 44^\circ$
- Results statistics dominated
 - More data and more decay channels can be analysed
 - Run 3 and HL-LHC data will help gain more insights

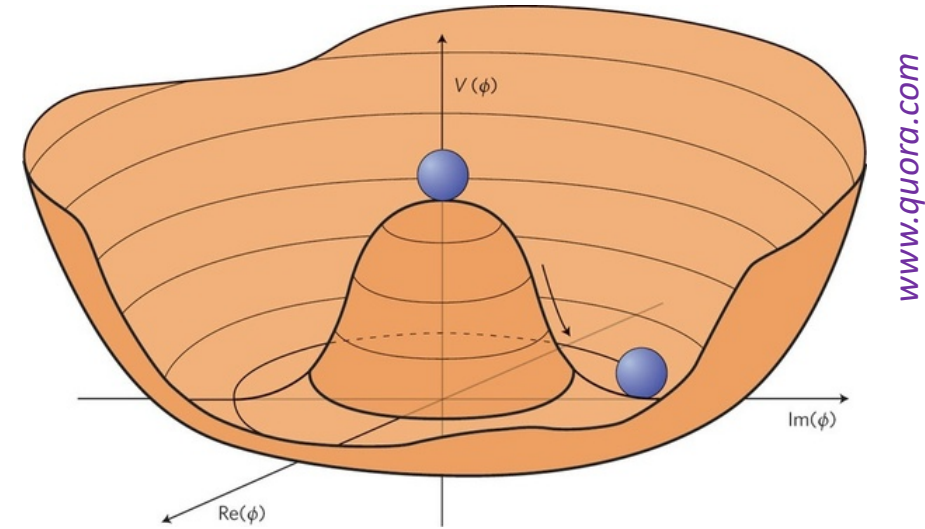


Thank you for your attention!

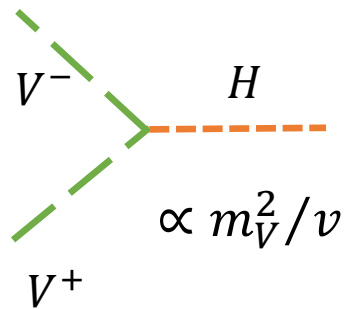
BACK-UP

The Higgs boson: Last puzzle piece of the SM

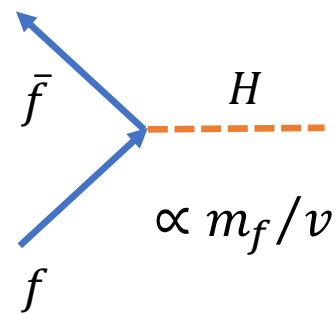
- Predicted 1964, discovered 2012
- Particles interact with the Higgs field
→ via spontaneous symmetry breaking
the particles acquire masses
- Introduces one scalar particle:
the Higgs boson



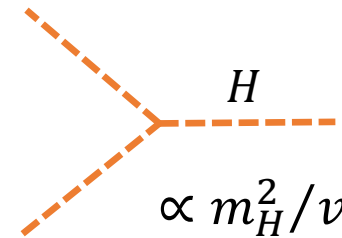
Gauge coupling:



Yukawa coupling:



Self coupling:



Higgs potential:

$$V(\phi) = -\mu^2|\phi|^2 + \lambda|\phi|^4$$

Higgs Vacuum Expectation Value:

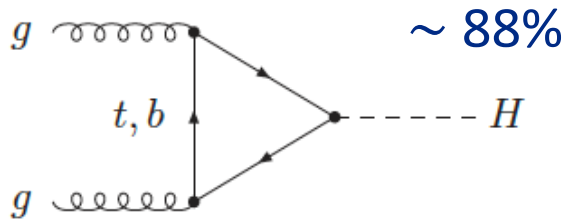
$$v = \frac{\mu}{\sqrt{\lambda}}$$

➡ Is new physics hiding in the Higgs sector?

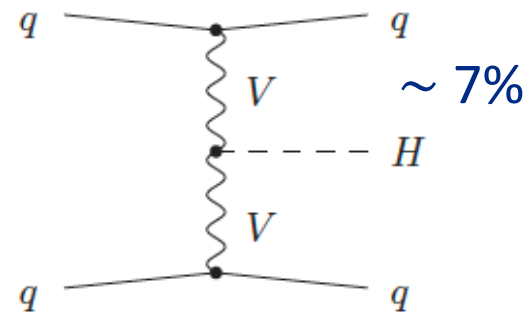
Higgs boson production in pp collisions

SM production modes

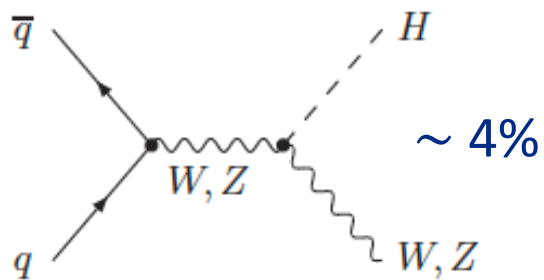
Gluon fusion (ggF)



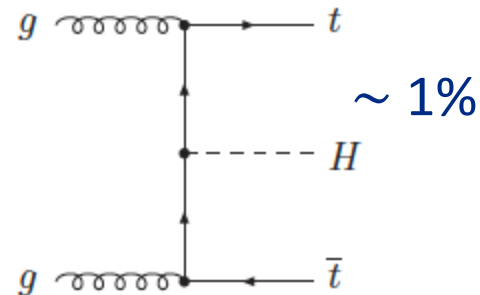
Vector boson fusion (VBF)



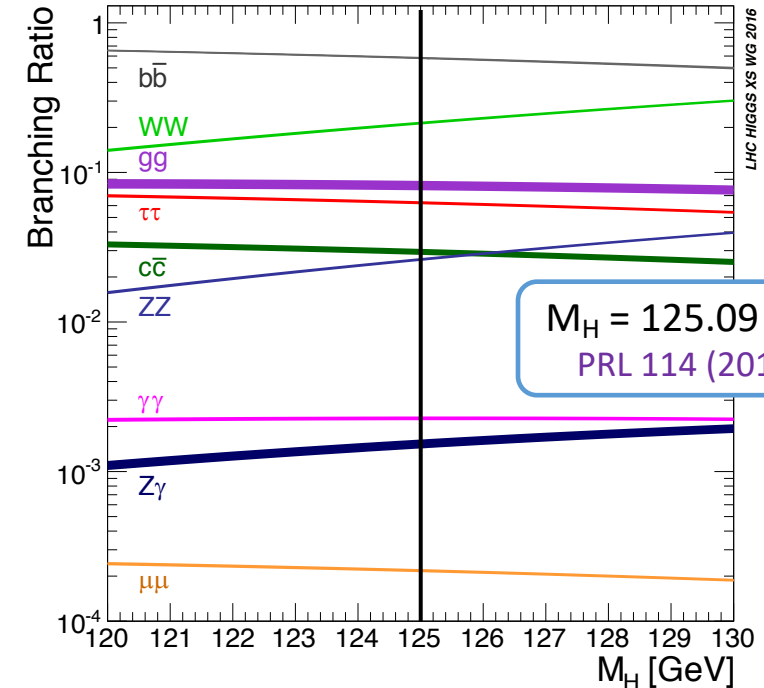
Higgs-Strahlung (VH)



ttH production



SM decay branching fractions



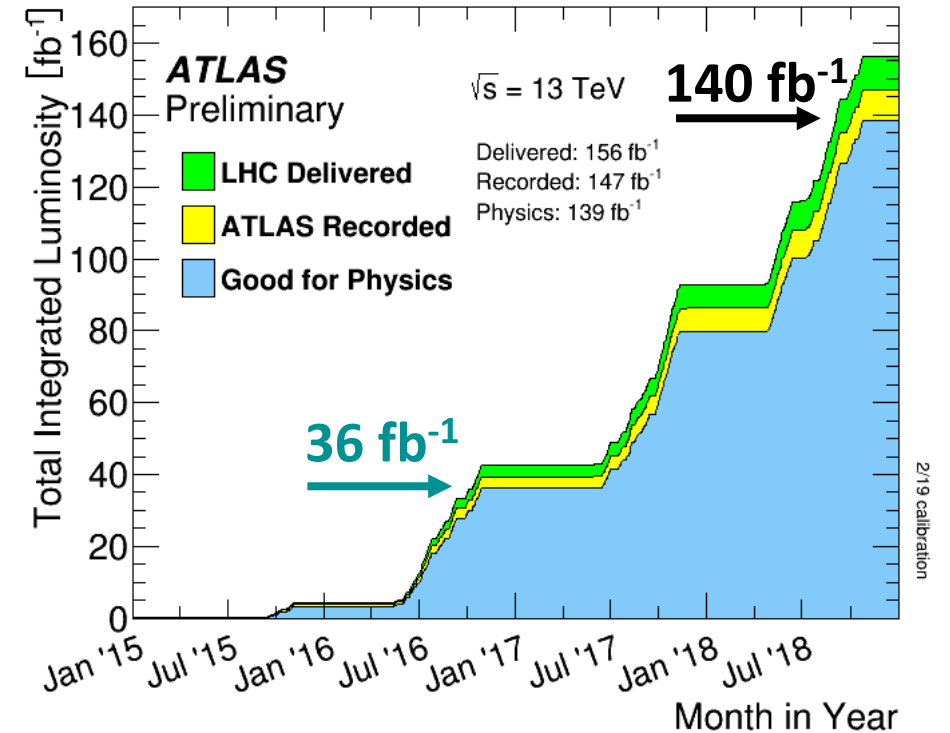
- All main production modes probed at the LHC
- Many decay channels investigated
- From $\sqrt{s} = 8 \text{ TeV}$ to 13 TeV : Increase in $\sigma_{pp \rightarrow H}(\text{ggF, VBF})$ by factor of 2.3

The pp collision dataset

Results use Run 2 dataset

| LHC Run | \sqrt{s} | Luminosity |
|---------|------------|----------------------|
| Run 1 | 7+8 TeV | 25 fb ⁻¹ |
| Run 2 | 13 TeV | 36 fb ⁻¹ |
| | | 139 fb ⁻¹ |

→ 1/4 of Run 2



Run 1: 0.5 million produced Higgs-boson events (35k VBF events)

→ Run 2: 8 million produced Higgs-boson events (0.5 million VBF events)